

012697/40

In accordance with
Section 853A of the
Companies Act 2006.

CS01

Confirmation statement



Go online to file this information
www.gov.uk/companieshouse

A fee may be payable with this form
Please see 'How to pay' on the last page.

✓ **What this form is for**
You may use this form to confirm that the company has filed up to date. You must file a confirmation statement at least once every year.

✗ **What this form is NOT for**
You cannot use this form to report changes to the company's details, such as changes to the company's name, people with significant control (PSC), registered office address or single alternative inspection address (SAIL) information.

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10/01/2024

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COMPANIES HOUSE

Before you start

You can check your company details for free on our online service:

<https://beta.companieshouse.gov.uk>

Change to your company information

If you need to make any changes to:

- **Part 1** Principal business activities or standard industrial classification (SIC)
- **Part 2** Statement of capital
- **Part 3** Trading status of shares and exemption from keeping a register of people with significant control (PSC)
- **Part 4** Shareholder information

Use the additional parts of this form to do this.

Other changes

If you need to make any changes to:

- registered office address
- single alternative inspection address (SAIL) and company records
- officer appointments
- information about people with significant control

You must do this separately before or at the same time as this confirmation statement.

1 Company details

Company number 0 4 6 1 0 0 1 8

Company name in full CAPRICORN RESOURCES PLC

→ Filling in this form

Please complete in typescript or in bold black capitals.

2 Confirmation date

Please give the confirmation statement date. You must deliver this form within 14 days of this date. Please check your company records for the date of your confirmation period.

Confirmation date ① 0 5 1 2 2 0 2 3

① Check when your confirmation statement is due

To check your confirmation statement date:
<https://beta.companieshouse.gov.uk>

You can make a statement at any time during the confirmation period. This will change your next confirmation date.

3 Confirmation statement

I confirm that all information required to be delivered by the company pursuant to section 853A(1)(a) of the Companies Act 2006 in relation to the confirmation period ending on the confirmation date above either has been delivered or is being delivered with this statement.

Signature

Signature

✗

✗

This form may be signed by:

Director ②, Secretary, Person authorised ③, Charity commission receiver and manager, CIC manager, Judicial factor.

② Societas Europaea

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership.

③ Person authorised

Under either section 270 or 274 of the Companies Act 2006.

CS01

Confirmation statement



Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name MSP SECRETARIES LIMITED

Address 27/28 EASTCASTLE STREET

Post town LONDON

County/Region

Postcode W 1 W 8 D H

Country United Kingdom

DX

Telephone



Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You have checked the company information that we hold.
- ☐ You have shown any relevant changes made to your information on the additional parts to this form or filed the appropriate form before or at the same time as this confirmation statement.
- ☐ You have signed the form.
- ☐ You have enclosed the correct fee if appropriate.



How to pay

You must include a £40 fee with the first Confirmation Statement you file each year. Further Confirmation Statements made in the same year don't require a fee.

Make cheques or postal orders payable to 'Companies House.'



Important information

All information on this form will appear on the public record.



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales:

The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For companies registered in Scotland:

The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland:

The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1.



Further information

For further information, please see the guidance notes on the website at www.gov.uk/companieshouse or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.gov.uk/companieshouse

CS01- additional information page

Confirmation statement

Part 4

Shareholder information change

Only use this Part to tell us of a change to shareholder information since the company last delivered this information.

✓ If completed this Part must be sent at the same time as your confirmation statement.

✗ Not required for companies without share capital or DTR5 companies.

For further information, please refer to our guidance at www.gov.uk/companieshouse

D1

Shareholder information for a non-traded company

How is the list of shareholders enclosed. Please tick the appropriate box below:

☐ The list of shareholders is enclosed on paper.

☒ The list of shareholders is enclosed in another format.

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Further shareholders

Please use a Shareholder information (for a non-traded company) continuation page if necessary.

| Shareholder's Name (Address not required) | Class of share | Shares held at confirmation date | | Shares transferred (if appropriate) | |
|--|----------------|----------------------------------|------------------|-------------------------------------|--|
| | | Number of shares | Number of shares | Date of registration of transfer | |
| | | | | / / | |
| | | | | / / | |
| | | | | / / | |
| | | | | / / | |
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List of
Shareholder Information
for a non-traded Company

Company Number 4610018
Company Name CAPRICORN RESOURCES PLC
Class of Shares ORDINARY SHARES OF 0.1P
Period From 06/12/2022 To 05/12/2023

| Shareholder | Number Of Shares Held | Number Of Shares Transferred | Date Of Registration |
|--|--------------------------|------------------------------------|-------------------------|
| ASSET NOMINEES LTD | 450,000 | | |
| MISS VANESSA ASTA | 50,000 | | |
| AURORA NOMINEES LIMITED A/C 2288700 | 110,000 | | |
| MR DENNIS BRYAN BAILEY | 856,000 | | |
| BARCLAYS DIRECT INVESTING NOMINEES LIMITED A/C CLIENT1 | 266,362 | -100,000 | 26/04/2023 |
| BARNARD NOMINEES LTD A/C OBNOMEX | 500,000 | | |
| MRS WENDY BELL | 42,000 | | |
| MR GEORGE ROBERT BENTON | 2,000 | | |
| MR MICHEAL WILLIAM BERESFORD | 7,000 | | |
| BREWIN NOMINEES LIMITED A/C NOM | 16,000 | | |
| MR MALCOLM BURNE | 350,000 | | |
| MR GLEN LOGAN CAMPBELL | 10,234 | | |
| CGWL NOMINEES LIMITED A/C GC1 | 150,000 | | |
| CHASE NOMINEES LIMITED | 200,000 | | |
| CHEVIOT CAPITAL (NOMINEES) LTD | 3,159,824 | | |
| MR TREVOR CLARKE | 100,000 | 100,000 | 26/04/2023 |
| BARRIE JAMES COLMAN ESQ | 5,000 | | |
| MRS JAYNE DARBY | 100,000 | | |
| DARTINGTON PORTFOLIO NOMINEES LIMITED A/C DPN | 8,260 | | |
| DAVYCREST NOMINEES A/C DLC | 100,000 | | |
| DIAGONAL NOMINEES LIMITED A/C EVOMM | 773,257 | | |
| COLIN EDWARD DIXON | 20,476 | | |
| MR AUBREY KENNETH ELLIOTT | 30,000 | | |
| ELY PLACE NOMINEES LIMITED | 6,150,000 | | |
| MRS SIMONE EVANS | 1,179 | | |
| MR SIMON JOHN EVANS | 10,000 | | |
| MRS REBECCA ANN EVANS | 10,000 | | |
| EVERSDEN CONSULTING LTD | 2,500,000 | | |
| FGL CAPITAL LTD | 500,000 | | |
| DEREK FIELD | 200,000 | | |
| FOREST NOMINEES LIMITED A/C GC1 | 77,500 | | |
| MR STEVEN FOSTER | 8,823 | | |
| MR LESLIE GIBBS | 1,500 | | |
| H&F CAPITAL PARTNERS LIMITED | 1,000,000 | | |
| MR RONALD ARTHUR HARDING | 130,000 | | |
| HARGREAVES LANSDOWN (NOMINEES) LIMITED A/C HLNOM | 25,568 | | |
| MRS GLYNIS KATHLEEN HILL | 15,000 | | |
| HSBC GLOBAL CUSTODY NOMINEE (UK) LIMITED A/C 956336 | 193,400 | | |
| HSDL NOMINEES LIMITED | 340,660 | | |
| HSDL NOMINEES LIMITED A/C IWEB | 91,499 | | |
| HSDL NOMINEES LIMITED A/C SBUILD | 43,752 | | |
| INTERACTIVE INVESTOR SERVICES NOMINEES LIMITED A/C SMKTNOMS | 905,920 | | |
| INTERACTIVE INVESTOR SERVICES NOMINEES LIMITED A/C TDWHSIPP | 2,658 | | |
| ITI CAPITAL NOMINEES LIMITED | 100,000 | | |
| JEFFERIES INTERNATIONAL (NOMINEES) LIMITED A/C JILSBL | 3,431 | | |
| JIM NOMINEES LIMITED A/C JARVIS | 3,000 | | |
| MR ARCHIBALD JOHN JOHNSON + MRS MARIA FRANZISKA JOHNSON | 1,000 | | |
| MRS JACQUELINE JOHNSTON | 12,000 | | |
| MR JOHN PETER JONES | 1,500 | | |
| MR MAHBOOB KHAN | 1,783 | | |
| MR PETER ANTHONY LINDSEY | 500 | | |
| LYNCHWOOD NOMINEES LIMITED A/C 2006420 | 819,600 | | |
| MR TERENCE MAYNE | 38,738 | | |
| MR TIMOTHY SIMON MAYS | 13,652 | | |
| MRS CAROLE ANN MILLINGTON | 4,000 | | |
| EXOR OF BRIAN JOHN MILLNER DECEASED + EXOR ANTHONY EDWARD MILLNER | 5,000 | | |
| MR BRIAN MORITZ | 22,100,742 | | |
| MRS CAROLYN MORRIS | 115,000 | | |

List of
Shareholder Information
for a non-traded Company

Company Number 4610018
Company Name CAPRICORN RESOURCES PLC
Class of Shares ORDINARY SHARES OF 0.1P
Period From 06/12/2022 To 05/12/2023

| Shareholder | Number Of Shares Held | Number Of Shares Transferred | Date Of Registration |
|---|--------------------------|------------------------------------|-------------------------|
| EXOR ALAN EDWARD MOSS DECEASED + EXOR SUZANNE CLAIRE MOSS | 500,000 | | |
| NORTRUST NOMINEES LIMITED A/C JPT01 | 100,000 | | |
| MR RICK WILLIAM OGDEN | 23,529 | | |
| MR PETER OLD | 100,000 | | |
| MRS BETTY PATERSON | 1,000 | | |
| PEEL HUNT PARTNERSHIP LIMITED A/C PMPRINC | 11,603 | | |
| MR ANDREW PERKIN | 8,779 | | |
| PERSHING NOMINEES LIMITED A/C CACLT | 20,000 | | |
| PERSHING NOMINEES LIMITED A/C TMCLT | 27,500 | | |
| MICHAEL PIPER | 200,000 | | |
| MICHAEL ADRIAN PIPER | 100,000 | | |
| PLATFORM SECURITIES NOMINEES LIMITED A/C KKCHAR | 0 | -8,000 | 04/12/2023 |
| PLATFORM SECURITIES NOMINEES LIMITED A/C KKCLT | 0 | -430,500 | 04/12/2023 |
| PLATFORM SECURITIES NOMINEES LTD A/C PSLNOM | 430,500 | 430,500 | 04/12/2023 |
| PLATFORM SECURITIES NOMINEES LTD A/C PSLCHAR | 8,000 | 8,000 | 04/12/2023 |
| EXORS OF LUCY GERTRUDE POWELL DECEASED + EXOR 1 MATTHEW NICHOLLS + EXOR 2 CAROLYN MARGARET THEOBALD | 9,618 | | |
| PUMA NOMINEES LIMITED A/C XPIDEAL | 60,714 | | |
| MR MICHAEL EDWARD QUICK | 60,000 | | |
| REDMAYNE (NOMINEES) LIMITED A/C GENUN | 30,000 | | |
| REDMAYNE (NOMINEES) LIMITED A/C GENDOC | 10,000 | | |
| MR GARETH REDMOND | 9,302 | | |
| MR ALEXANDER JAMES REID | 3,260 | | |
| MRS THAHIRA RELA | 151,000 | | |
| ROCK (NOMINEES) LIMITED A/C CSHNET | 25,000 | | |
| ROCK (NOMINEES) LIMITED A/C FASGRADE | 1,872 | | |
| MRS CAROLE CHRISTINE ROWAN | 4,000,000 | | |
| SECURITIES SERVICES NOMINEES LIMITED A/C 2276200 | 11,500 | | |
| SECURITIES SERVICES NOMINEES LIMITED A/C 2003110 | 1,520,000 | | |
| SECURITIES SERVICES NOMINEES LIMITED A/C 2012020 | 0 | -91,000 | 17/04/2023 |
| SECURITIES SERVICES NOMINEES LIMITED A/C 1018608 | 91,000 | 91,000 | 17/04/2023 |
| SANTOKH SINGH | 20,000 | | |
| EXORS OF DONALD EDWIN SMITH DECEASED + EXECUTRIX MARIAN VALERIE SMITH | 5,000 | | |
| MR MAURICE ALAN SMITH | 3,000 | | |
| SYDNEY PRICE SMITH | 14,000 | | |
| MRS MARILYN ANN STACEY | 2,881 | | |
| SUNVEST CORPORATION LIMITED | 20,000,000 | | |
| MRS GLADYS TAKAN | 8,763 | | |
| MR PERCY JAMES TALMAN + MRS GERALDINE TALMAN | 24,000 | | |
| LEON TAUBER | 121,000 | | |
| MR LEON TAUBER + MRS RACHEL TAUBER | 243,000 | | |
| MR SURJAN SINGH TIWANA | 28,950 | | |
| EXORS OF SUSAN TUDOR DECEASED + EXOR JOHN LESLIE TUDOR | 587 | | |
| MR WALTER CHARLES VICK | 25,000 | | |
| VIDACOS NOMINEES LIMITED A/C CLRLUX2 | 400,000 | | |
| VIDACOS NOMINEES LIMITED A/C 978 | 10,185,000 | | |
| WALKER CRIPS INVESTMENT MANAGEMENT LIMITED | 300,000 | | |
| MISS CAROLINE VICTORIA WALSH | 5,000 | | |
| WEALTH NOMINEES LIMITED A/C WRAP | 120,892 | | |
| WINTERFLOOD SECURITIES LIMITED A/C WINSREP | 74,486 | | |
| ANDREW WRIGHT | 20,000 | | |
| MR MUSTAFA YIGIT | 50,000 | | |

Total In Issue as at 05/12/2023 81,899,554 Shares