

# AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)

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BLUEPRINT

OneWorld

A fee is payable with this form  
Please see 'How to pay' on the  
last page

You can use the WebFiling service to file this form online  
Please go to [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

☒ What this form is for  
You may use this form to confirm  
that the company information is  
correct as at the date of this return  
You must file an Annual Return at  
least once every year

☒ What this form is NOT for  
You cannot use this form to give  
notice of changes to the company  
officers, registered office address,  
company type or information  
relating to the company records

MONDAY



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LD4

14/11/2011

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COMPANIES HOUSE

### Part 1

### Company details

The section must be completed by all companies

→ Filling in this form  
Please complete in typescript or in  
bold black capitals

All fields are mandatory unless  
specified or indicated by \*

#### A1 Company details

Company number 0 4 5 6 7 3 7 8

Company name in full JPMORGAN RUSSIAN SECURITIES PLC

① Company name change  
If your company has recently  
changed its name, please provide  
the company name as at the date of  
this return

#### A2 Return date

Please give the annual return made up date. The return date must not be a future  
date. The annual return must be delivered within 28 days of the date given below.

If you would like the company's made up date to be earlier than 1 October 2011,  
please complete the AR01 appropriate for earlier made up dates

Date of this return ② 1 8 1 0 2 0 1 1

② Date of this return  
Your company's return date  
is usually the anniversary of  
incorporation or the anniversary  
of the last annual return filed at  
Companies House. You may choose  
an earlier return date but it must not  
be a later date.

#### A3 Principal business activity

Please show the trade classification code number(s) for the principal  
activity or activities ③

Classification code 1 6 5 2 3

Classification code 2 8 2 9 9 0

Classification code 3

Classification code 4

If you cannot determine a code, please give a brief description of your  
business activity below

Principal activity  
description

③ Principal business activity  
You must provide a trade  
classification code (SIC code 2007)  
or a description of your company's  
main business in this section

A full list of the trade classification  
codes are available on our website  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

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A4

Company type<sup>1</sup>

Please confirm your company type by ticking the appropriate box below (only one box must be ticked)

- ☒ Public limited company  
☐ Private company limited by shares  
☐ Private company limited by guarantee  
☐ Private company limited by shares exempt under section 60  
☐ Private company limited by guarantee exempt under section 60  
☐ Private unlimited company with share capital  
☐ Private unlimited company without share capital

<sup>1</sup> Company type

If you are unsure of your company type, please check your latest certificate of incorporation or our website

[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

A5

Registered office address<sup>2</sup>

Building name/number FINSBURY DIALS

Street 20 FINSBURY STREET

Post town LONDON

County/Region

Postcode E C 2 Y 9 A Q

<sup>2</sup> Change of registered office

This must agree with the address that is held on the Companies House record at the date of this return

If the registered office address has changed, you should complete form AD01 and submit it together with this annual return

A6

Single alternative inspection location (SAIL) of the company records (if applicable)<sup>3</sup>

Building name/number EQUINITY

Street HOLM OAK, HOLM OAK BUSINESS PARK

WOODS WAM, GORING BY SEA

Post town NORTHING

County/Region WEST SUSSEX

Postcode B N 1 2 4 F E

<sup>3</sup> SAIL address

This must agree with the address that is held on the Companies House record at the date of this return

If the address has changed, you should complete form AD02 and submit it together with this annual return

A7

Location of company records<sup>4</sup>

Please tick the appropriate box to indicate which records are kept at the SAIL address in Section A6

- ☒ Register of members  
☐ Register of directors  
☐ Directors' service contracts  
☐ Directors' indemnities  
☐ Register of secretaries  
☐ Records of resolutions etc  
☐ Contracts relating to purchase of own shares  
☐ Documents relating to redemption or purchase of own share out of capital by private company  
☐ Register of debenture holders  
☐ Report to members of outcome of investigation by public company into interests in its shares  
☒ Register of interests in shares disclosed to public company  
☐ Instruments creating charges and register of charges England and Wales or Northern Ireland  
☐ Instruments creating charges and register of charges Scotland

<sup>4</sup> Location of company records

If the company records are held at the registered office address, do not tick any of the boxes in this section

Certain records must be kept by every company while other records are only kept by certain company types where appropriate

If the records are not kept at the SAIL address, they must be available at the registered office

If any of the company records have moved from the registered office to the address in Section A6 since the last annual return, you must complete form AD03 and submit it together with this annual return

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## Annual Return

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## Part 2

## Officers of the company

This section should include details of the company at the date to which this annual return is made up

- For a secretary who is an individual, go to Section B1
- For a corporate secretary, go to Section C1
- For a director who is an individual, go to Section D1
- For a corporate director, go to Section E1

## Continuation pages

Please use a continuation page if you need to enter more officer details

## Secretary

## B1

Secretary's details <sup>①</sup>

Please use this section to list all the secretaries of the company  
For a corporate secretary, complete Section C1-C4

Title\*

Full forename(s)

Surname

Former name(s) <sup>②</sup><sup>①</sup> Secretary appointments

You may not use this form to appoint a secretary. To do this, please complete form AP03 and submit it together with this annual return

## Corporate details

Please use Section C1-C4 to enter corporate secretary details

## Secretary details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH03

<sup>②</sup> Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes

## B2

Secretary's service address <sup>③</sup>

Building name/number

Street

Post town

County/Region

Postcode

Country

<sup>③</sup> Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address

This information will appear on the public record

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## Annual Return

(For returns made up to a date on or after 1 October 2011)

## Corporate secretary

<b>C1</b>	<b>Corporate secretary's details <sup>1</sup></b>	
	Please use this section to list all the corporate secretaries of the company	
Corporate body/firm name	JPMORGAN ASSET MANAGEMENT (UK) LIMITED	
Building name/number	125	
Street	LONDON WALL	
Post town	LONDON	
County/Region		
Postcode	E C 2 Y 5 A J	
Country	United Kingdom	
	<b><sup>1</sup> Corporate secretary appointments</b> You cannot use this form to appoint a corporate secretary. To do this, please complete form AP04 and submit it together with this annual return.  <b>Corporate secretary details</b> All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH04.  This information will appear on the public record.	
<b>C2</b>	<b>Location of the registry of the corporate body or firm</b>	
	Is the corporate secretary registered within the European Economic Area (EEA)? → Yes Complete Section C3 only → No Complete Section C4 only	
<b>C3</b>	<b>EEA companies <sup>2</sup></b>	
	Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register	
Where the company/firm is registered <sup>3</sup>	United Kingdom	
Registration number	00871882	
	<b><sup>2</sup> EEA</b> A full list of countries of the EEA can be found in our guidance <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a>  <b><sup>3</sup> This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)</b>	
<b>C4</b>	<b>Non-EEA companies</b>	
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register	
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered <sup>4</sup>		
If applicable, the registration number		
	<b><sup>4</sup> Non-EEA</b> Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.	

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## Annual Return

(For returns made up to a date on or after 1 October 2011)

## Director

D1

Director's details <sup>①</sup>

Please use this section to list all the directors of the company  
For a corporate director, complete Section E1-E4

Title*	
Full forename(s)	Alexander
Surname	Easton
Former name(s) <sup>②</sup>	
Country/State of residence	United Kingdom
Nationality	British
Date of birth	<div>d1</div> <div>d4</div> <div>m0</div> <div>m7</div> <div>y1</div> <div>y9</div> <div>y6</div> <div>y5</div>
Business occupation (if any)	Company Director

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2

Director's service address <sup>③</sup>

Building name/number	Cliff House
Street	South Park
Post town	Sevenoaks
County/Region	Kent
Postcode	<div>T</div> <div>N</div> <div>1</div> <div>3</div> <div></div> <div>1</div> <div>E</div> <div>L</div>
Country	United Kingdom

**③ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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## Director

<b>D1</b>	<b>Director's details <sup>①</sup></b>	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*		
Full forename(s)	PATRICK ANTONY FRANCIS	
Surname	GIFFORD	
Former name(s) <sup>②</sup>		
Country/State of residence	United Kingdom	
Nationality	BRITISH	
Date of birth	<div> <div>d</div> <div>2</div> <div>d</div> <div>4</div> <div>m</div> <div>0</div> <div>m</div> <div>5</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>4</div> <div>y</div> <div>5</div> </div>	
Business occupation (if any)	COMPANY DIRECTOR	

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address <sup>①</sup></b>	
Building name/number	250	
Street	RANDOLPH AVENUE	
Post town	LONDON	
County/Region		
Postcode	<div> <div>W</div> <div>9</div> <div></div> <div>1</div> <div>P</div> <div>F</div> <div></div> </div>	
Country	United Kingdom	

**① Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

<b>D1</b>	<b>Director's details</b> ●		<p><b>1 Director appointments</b> You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.</p> <p><b>Corporate details</b> Please use Section E1-E4 to enter corporate director details.</p> <p><b>Director details</b> All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.</p> <p><b>2 Former name(s)</b> Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.</p>
Please use this section to list all the directors of the company. For a corporate director, complete Section E1-E4.			
Title*			
Full forename(s)	George		
Surname	Nianias		
Former name(s) ●			
Country/State of residence	UK		
Nationality	British		
Date of birth	<div>d</div> <div>0</div> <div>1</div> <div>m</div> <div>0</div> <div>1</div> <div>y</div> <div>1</div> <div>9</div> <div>6</div> <div>0</div>		
Business occupation (if any)	Company Director		

<b>D2</b>	<b>Director's service address</b> ●		<p><b>3 Service address</b> If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.</p> <p>This information will appear on the public record.</p>
Building name/number	FLAT 403, MOHAMMED ALI AHMAD BUILDING		
Street			
Post town	MOYLEH TRADING AREA		
County/Region	SHARJAH		
Postcode			
Country	UNITED ARAB EMIRATES		

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## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

<b>D1</b>	<b>Director's details <sup>①</sup></b>	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*		
Full forename(s)	JAMES RICHARD	
Surname	NICHOLSON	
Former name(s) <sup>②</sup>		
Country/State of residence	United Kingdom	
Nationality	British	
Date of birth	<div> <div>d2</div> <div>d4</div> <div>m1</div> <div>m0</div> <div>y1</div> <div>y9</div> <div>y4</div> <div>y7</div> </div>	
Business occupation (if any)	RETIRED	

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address <sup>①</sup></b>	
Building name/number	THE STABLES	
Street	KIRTLINGTON PARK	
Post town	OXFORD	
County/Region		
Postcode	<div> <div>O</div> <div>X</div> <div>5</div> <div></div> <div>3</div> <div>J</div> <div>N</div> <div></div> </div>	
Country	United Kingdom	

**① Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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## Annual Return

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### Director

<b>D1</b>	<b>Director's details</b>	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*		
Full forename(s)	PAMELA IDELSON	
Surname	SMITH	
Former name(s)		
Country/State of residence	SWITZERLAND	
Nationality	British	
Date of birth	<div> <div>d</div> <div>0</div> <div>d</div> <div>5</div> <div>m</div> <div>0</div> <div>m</div> <div>7</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>4</div> <div>y</div> <div>7</div> </div>	
Business occupation (if any)	CHIEF EXECUTIVE	

**Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address</b>	
Building name/number	14	
Street	AVENUE BEAU SEJOUR	
Post town	GENEVA	
County/Region		
Postcode	<div> <div>1</div> <div>2</div> <div>0</div> <div>6</div> <div></div> <div></div> <div></div> <div></div> </div>	
Country	United Kingdom	

**Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

<b>D1</b>	<b>Director's details</b> <sup>①</sup>	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*	MR	
Full forename(s)	ROBERT CHARLES HUBERT	
Surname	JEENS	
Former name(s) <sup>②</sup>		
Country/State of residence	ENGLAND	
Nationality	BRITISH	
Date of birth	<div> <div>d</div> <div>1</div> <div>d</div> <div>6</div> <div>m</div> <div>1</div> <div>m</div> <div>2</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>5</div> <div>y</div> <div>3</div> </div>	
Business occupation (if any)		

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address</b> <sup>①</sup>	
Building name/number	FINSBURY DIALS	
Street	20 FINSBURY STREET	
Post town	LONDON	
County/Region		
Postcode	<div> <div>E</div> <div>C</div> <div>2</div> <div>Y</div> <div>9</div> <div>A</div> <div>Q</div> </div>	
Country	United Kingdom	

**① Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.



This information will appear on the public record.

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## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director


<b>D1</b>	<b>Director's details</b> 	
Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4		
Title*		
Full forename(s)	LYSANDER PHILIP ROBY	
Surname	TENNANT	
Former name(s) 		
Country/State of residence	United Kingdom	
Nationality	British	
Date of birth	<div> <div>d</div><div>1</div> <div>d</div><div>1</div> <div>m</div><div>1</div> <div>m</div><div>1</div> <div>y</div><div>1</div> <div>y</div><div>9</div> <div>y</div><div>6</div> <div>y</div><div>8</div> </div>	
Business occupation (if any)	CONSULTANT	

**1 Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**2 Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address</b> 	
Building name/number	204	
Street	IVERSON ROAD	
Post town	LONDON	
County/Region		
Postcode	<div> <div>N</div><div>W</div><div>6</div><div></div><div>2</div><div>H</div><div>L</div><div></div> </div>	
Country	United Kingdom	

**3 Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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## Corporate director

<b>E1</b>	<b>Corporate director's details <sup>1</sup></b>	
	Please use this section to list all the corporate director's of the company	
Corporate body/firm name		<b><sup>1</sup> Corporate director appointments</b> You cannot use this form to appoint a corporate director. To do this, please complete form AP02 and submit it together with this annual return.  <b>Corporate director details</b> All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH02.  This information will appear on the public record.
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
<b>E2</b>	<b>Location of the registry of the corporate body or firm</b>	
	Is the corporate director registered within the European Economic Area (EEA)? → Yes Complete <b>Section E3</b> only → No Complete <b>Section E4</b> only	
<b>E3</b>	<b>EEA companies <sup>2</sup></b>	
	Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register	
Where the company/firm is registered <sup>3</sup>		<b><sup>2</sup> EEA</b> A full list of countries of the EEA can be found in our guidance <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a>  <b><sup>3</sup> This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)</b>
Registration number		
<b>E4</b>	<b>Non-EEA companies</b>	
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register	
Legal form of the corporate body or firm		<b><sup>4</sup> Non-EEA</b> Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.
Governing law		
If applicable, where the company/firm is registered <sup>4</sup>		
If applicable, the registration number		

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Annual Return

(For returns made up to a date on or after 1 October 2011)

## Part 3

Statement of capital<sup>1</sup>

Does your company have share capital?

→ Yes Complete the sections below and the following Part 4

→ No Go to Part 5 (Signature)

<sup>1</sup> This should reflect the company's capital status at the made up date of this annual return

## F1

## Share capital in pound sterling (£)

Please complete the table below to show each class of shares held in pound sterling

If all your issued capital is in sterling, only complete Section F1 and then go to Section F4

Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share <sup>2</sup>	Amount (if any) unpaid on each share <sup>2</sup>	Number of shares <sup>3</sup>	Aggregate nominal value <sup>4</sup>
Founder Shares	£1 00	0 00	200	£ 200 00
ORDINARY SHARES OF 1p	FULLY £0.01	0 00	55,124,112	£ 551,241 12
				£
				£
Totals			55,124,312	£ 551,441 12

## F2

## Share capital in other currencies

Please complete the table below to show any class of shares held in other currencies

Please complete a separate table for each currency

Currency				
Class of shares (E g Ordinary/Preference etc.)	Amount paid up on each share ⑦	Amount (if any) unpaid on each share ⑦	Number of shares ③	Aggregate nominal value ④
Totals				

Currency				
Class of shares (E g Ordinary/Preference etc.)	Amount paid up on each share ②	Amount (if any) unpaid on each share ②	Number of shares ③	Aggregate nominal value ④
Totals				

## F3

## Totals

Please give the total number of shares and total aggregate nominal value of issued share capital

Total number of shares 55,124,312

Total aggregate nominal value <sup>5</sup> £551,441 12<sup>5</sup> Total aggregate nominal value  
Please list total aggregate values in different currencies separately. For example £100 + €100 + \$10 etc

£551,441 12

<sup>2</sup> Including both the nominal value and any share premium<sup>3</sup> Number of shares issued multiplied by nominal value of each shareContinuation Pages  
Please use a Statement of Capital continuation page if necessary<sup>4</sup> Total number of issued shares in this class.

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

F4

## Statement of capital (Voting rights)

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in Sections F1 and F2

Class of share	£1 00 Founder Shares
----------------	----------------------

Voting rights	FOUNDER SHARES HAVE NO VOTING RIGHTS.
---------------	---------------------------------------

Class of share	£0 01 ORDINARY SHARES OF 1p
----------------	-----------------------------

Voting rights	ONE VOTE FOR EVERY ONE SHARE HELD
---------------	-----------------------------------

Class of share	
----------------	--

Voting rights	
---------------	--

Class of share	
----------------	--

Voting rights	
---------------	--

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

**Part 4 Shareholders**

Does your company have share capital?

→ Yes go to Section G1 'Companies with share capital'

→ No Go to Part 5 (Signature)

**G1 Companies with share capital**

Question 1

Were any of the company's shares admitted to trading on a market at any time during this return period? Please tick the appropriate box below ❶

☐ No go to Section G2 'Past and present shareholders'☒ Yes go to Question 2

Question 2

Please only refer to Question 2 below if you have answered 'Yes' to Question 1. If you answered 'No', please go to Section G2 'Past and present shareholders'

Did the company, throughout the return period, have any shares admitted to trading on a relevant market and was it, throughout the return period, an issuer to which DTR5 applies?<sup>(3)</sup> Please tick the appropriate box below ❷☐ No go to Section G4 'Shareholders who hold at least 5% of any class of shares of the company as at the made up date of the return'☒ Yes go to Part 5 'Signature'❶ A market is one established under the rules of a UK recognised investment exchange or any other regulated markets in or outside of the UK, or any other market outside of the UK. The current UK recognized investment exchanges and regulated markets can be found at [www.fsa.gov.uk/register/exchanges.do](http://www.fsa.gov.uk/register/exchanges.do)

❷ DTR5 refers to the Vote Holder and Issuer Notification Rules contained in Chapter 5 of the Disclosure and Transparency Rules source book issued by the Financial Services Authority. Notification is required when the percentage acquisition of a shareholder in the company has reached a certain threshold (starting at 3%)

**G2 List of past and present shareholders ❶**

The company is required to provide a full list of past and present shareholders if one was not included with either of the last two returns. Please tick the appropriate box below

☐ There were no shareholder changes in this period. Go to Part 5 (Signature)☒ A full list of shareholders is enclosed☐ A list of shareholder changes is enclosed

How is the list of shareholders enclosed? Please tick the appropriate box below

☐ The list of shareholders is enclosed on paper. Go to Section G3 'List of past and present shareholders'☒ The list of shareholders is enclosed in another format. Go to Part 5 (Signature)

❶ This section only applies to companies answering 'No' in Section G1

## AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)

G3

List of past and present shareholders<sup>1</sup>

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

<sup>1</sup> Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

Further shareholders  
Please use a 'List of past and present shareholders' continuation page if necessary

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

(For returns made up to a date on or after 1 October 2011)

Shareholders who hold at least 5% of any class of share(s) of the company as at the made up date of this return

→ Go to Part 5 (Signature)

Further shareholders  
Please use a 'Shareholders who hold  
at least 5% of any class of share(s)  
of the company as at the made up  
date of this return' continuation  
page if necessary

CHFP010  
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AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

Part 5

Signature

This must be completed by all companies

I am signing this form on behalf of the company

Signature

Signature

X *Dhson Vincent* For and behalf of  
JPMorgan Asset Management (UK) Limited  
- Secretary X

This form may be signed by  
Director<sup>1</sup>, Secretary, Person authorised<sup>2</sup>, Charity commission receiver and  
manager, CIC manager, Judicial factor

<sup>1</sup> Societas Europaea

If the form is being filed on behalf  
of a Societas Europaea (SE) please  
delete 'director' and insert details  
of which organ of the SE the person  
signing has membership

Person authorised  
Under either section 270 or 274 of  
the Companies Act 2006

# AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)



### Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

ALISON VINCENT

Address

FINSBURY DIALS

20 FINSBURY STREET

Post town

LONDON

County/Region

Postcode

E C 2 Y 9 A Q

Country

United Kingdom

DX

Telephone



### Checklist

We may return forms completed incorrectly or with information missing

Please make sure you have remembered the following

- ☐ That if the made up date of the return is any earlier than 1 October 2011, you must complete the appropriate form AR01
- ☐ The company name and number match the information held on the public Register
- ☐ You have completed your principal business activity
- ☐ You have not used this form to make changes to the registered office address
- ☐ You have not used this form to make changes to secretary and director details
- ☐ You have fully completed the Statement of capital (if applicable)
- ☐ You have signed the form
- ☐ You have enclosed the correct fee



### Important information

Please note that all information on this form will appear on the public record



### How to pay

A fee of £40 is payable to Companies House in respect of an Annual Return

Make cheques or postal orders payable to 'Companies House'



### Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below

For companies registered in England and Wales  
The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ  
DX 33050 Cardiff

For companies registered in Scotland  
The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post)

For companies registered in Northern Ireland  
The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG  
DX 481 N R Belfast 1



### Further information

For further information, please see the guidance notes on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

# TM01

**BLUEPRINT****OneWorld**

## Termination of appointment of director

You can use the WebFiling service to file this form online  
Please go to [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

☒ What this form is for  
You may use this form  
to terminate the appointment of a  
director (individual or corporate)

☒ What this form is NOT for  
You cannot use this form to  
terminate the appointment of a  
secretary To do this, please use form  
TM02 'Termination of appointment  
of secretary'

For further information, please  
refer to our guidance at  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

### 1 Company details

Company number 0 4 5 6 7 3 7 8

Company name in full JPMORGAN RUSSIAN SECURITIES PLC

→ Filling in this form  
Please complete in typescript or in  
bold black capitals

All fields are mandatory unless  
specified or indicated by \*

### 2 Director's current details on the Register

Please give us the current appointment details of this director held on the  
public Register

Date of birth\* 3 0 0 4 1 9 3 7

Title\*

Full forename(s) PAUL

Surname/Corporate  
name TELEKI

#### ① Date of birth

Providing a date of birth will help  
us identify the correct person on  
the public record This is voluntary  
information and if completed it will  
be placed on the public record

### 3 Termination date②

Date of termination of  
appointment 1 0 0 1 2 0 1 1

② Only one director appointment can  
be terminated per form

### 4 Signature

I am signing this form on behalf of the company

Signature

Signature

☒ *Paul Vincent* For and behalf of  
JPMorgan Asset Management (UK) Limited  
- Secretary

#### ③ Societas Europaea

If the form is being filed on behalf  
of a Societas Europaea (SE) please  
delete 'director' and insert details  
of which organ of the SE the person  
signing has membership

#### ④ Person authorised

Under either section 270 or 274 of  
the Companies Act 2006

This form may be signed by  
Director ①, Secretary, Person authorised ④, Liquidator, Administrator,  
Administrative receiver, Receiver, Receiver manager, Charity Commission receiver  
and manager, CIC manager, Judicial factor

# TM01

## Termination of appointment of director



### Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

ALISON VINCENT

Address

FINSBURY DIALS

20 FINSBURY STREET

Post town

LONDON

County/Region

Postcode

E C 2 Y 9 A Q

Country

United Kingdom

DX

Telephone



### Checklist

We may return forms completed incorrectly or with information missing

Please make sure you have remembered the following

- ☐ The company name and number match the information held on the public Register
- ☐ You have correctly entered the name of the director being terminated
- ☐ You have included the date of termination
- ☐ You have signed the form



### Important information

Please note that all information on this form will appear on the public record.



### Where to send

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DX 33050 Cardiff

For companies registered in Scotland  
The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post)

For companies registered in Northern Ireland  
The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG  
DX 481 N R Belfast 1



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