

Rule 4 223 - CVL

The Insolvency Act 1986

**Liquidator's Statement of  
Receipts and Payments  
Pursuant to Section 192 of  
The Insolvency Act 1986**

# S.192

To the Registrar of Companies

For Official Use

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Company Number

4397988

Name of Company

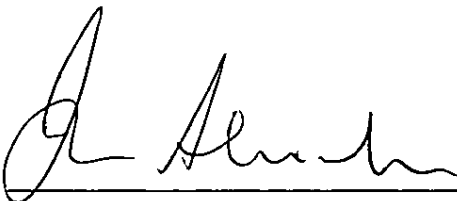
Dawnay, Day Investment Banking Ltd In Creditors' Voluntary Liquidation

I / We  
John Alfred George Alexander  
Enterprise House  
21 Buckle Street  
London  
E1 8NN

Melvyn Julian Carter  
Enterprise House  
21 Buckle Street  
London  
E1 8NN

the liquidator(s) of the company attach a copy of my/our statement of receipts and  
payments under section 192 of the Insolvency Act 1986

Signed



Date

16.3.2010

Carter Backer Winter LLP  
Enterprise House  
21 Buckle Street  
London  
E1 8NN

Ref D2046/JAGA/MJC/VT/AED/YM

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THURSDAY



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COMPANIES HOUSE

# Statement of Receipts and Payments under section 192 of the Insolvency Act 1986

Name of Company	Dawnay, Day Investment Banking Ltd In Creditors' Voluntary Liquidat
Company Registered Number	4397988
State whether members' or creditors' voluntary winding up	Creditors
Date of commencement of winding up	23 February 2009
Date to which this statement is brought down	22 February 2010
Name and Address of Liquidator	
John Alfred George Alexander Enterprise House 21 Buckle Street London E1 8NN	Melvyn Julian Carter Enterprise House 21 Buckle Street London E1 8NN

## NOTES

You should read these notes carefully before completing the forms. The notes do not form part of the return to be sent to the registrar of companies.

### Form and Contents of Statement

(1) Every statement must contain a detailed account of all the liquidator's realisations and disbursements in respect of the company. The statement of realisations should contain a record of all receipts derived from assets existing at the date of the winding up resolution and subsequently realised, including balance at bank, book debts and calls collected, property sold etc., and the account of disbursements should contain all payments of costs, charges and expenses, or to creditors or contributories. Receipts derived from deposit accounts and money market deposits are to be included in the 'balance at bank'. Only actual investments are to be included in the 'amounts invested' section in the analysis of balance on page 5 of the form. Where property has been realised, the gross proceeds of sale must be entered under realisations and the necessary payments incidental to sales must be entered as disbursements. A payment into the Insolvency Services Account is not a disbursement and should not be shown as such, nor are payments into a bank, building society or any other financial institution. However, the interest received on any investment should be shown in the realisations. Each receipt and payment must be entered in the account in such a manner as sufficiently to explain its nature. The receipts and payments must severally be added up at the foot of each sheet and the totals carried forward from one account to another without any intermediate balance, so that the gross totals represent the total amounts received and paid by the liquidator respectively.

### Trading Account

(2) When the liquidator carries on a business, a trading account must be forwarded as a distinct account, and the total of receipts and payments on the trading account must alone be set out in this statement.

### Dividends

(3) When dividends, instalments of compositions, etc. are paid to creditors or a return of surplus assets is made to contributories, the total amount of each dividend, etc. actually paid, must be entered in the statement of disbursements as one sum, and the liquidator must forward separate accounts showing in lists the amount of the claim of each creditor, and the amount of dividend, etc. payable to each creditor or contributory.

(4) When unclaimed dividends, etc. are paid into the Insolvency Services Account, the total amount so paid in should be entered in the statement of disbursements as one sum. The items to be paid in relation to unclaimed dividends should first be included in the realisations side of the account.

(5) Credit should not be taken in the statement of disbursements for any amount in respect of liquidator's remuneration unless it has been duly allowed by resolutions of the liquidation committee or of the creditors or of the company in general meeting, or by order of the court as the case may require, or is otherwise allowable under the provisions of the Insolvency Rules.

Liquidator's statement of account  
under section 192 of the Insolvency Act 1986

Realisations			
Date	Of whom received	Nature of assets realised	Amount
		Brought Forward	0 00
24/02/2009	Transferred from Administration	Transferred from Administration	101,414 45
07/04/2009	BOI Interest 5/3/9	Bank Interest Gross	24 80
11/05/2009	Bol Interest 6/4/9	Bank Interest Gross	38 63
11/05/2009	HM Revenue & Customs	VAT Control account	3,157 31
08/06/2009	Bol Interest 5/5/9	Bank Interest Gross	30 77
15/06/2009	Earthport Plc	Trade Debtors	3,482 36
26/06/2009	Amco Agency Ltd	Fixtures & Fittings	928 96
26/06/2009	Amco Agency Ltd	VAT Payable	162 57
01/07/2009	Brooke North	Inter-Co loan from DD Lockhart Limi	150,000 00
01/07/2009	Brooke North	Bank Interest Gross	584 08
01/07/2009	Brooke North	Bank Interest Gross	4 68
06/07/2009	Bol Interest 5/6/9	Bank Interest Gross	14 60
14/07/2009	Crosby Capital Partners	Trade Debtors	494 51
07/08/2009	Bol Interest 6/7/9	Bank Interest Gross	27 55
07/08/2009	Pixel Interactive Media Ltd	Trade Debtors	439 53
09/09/2009	Bol Interest 5/8/9	Bank Interest Gross	51 02
09/09/2009	Pershing 37,500 Pixel Shares	Investments	5,327 72
09/09/2009	Pershing 16,395 Pixel Shares	Investments	1,634 58
09/09/2009	Pershing 27,765 Mastermailer	Investments	968 86
15/09/2009	Pershing 70,000 Pixel Shares	Investments	6,979 00
15/09/2009	Pershing 15,000 Pixel Shares	Investments	1,532 89
15/09/2009	Pershing 10,000 Pixel Shares	Investments	1,020 00
15/09/2009	Pershing 5,000 Pixel Shares	Investments	498 50
07/10/2009	Bol Interest 7/9/9	Bank Interest Gross	25 62
20/10/2009	HM Revenue & Customs	VAT Control account	7,925 15
22/10/2009	Pershing Deposit (Gross)	Other Debtors	100,000 00
04/11/2009	Keycom	Trade Debtors	3,457 42
05/11/2009	Bol Interest 5/10/9	Bank Interest Gross	24 31
07/12/2009	Bol Interest 7/12/9	Bank Interest Gross	67 79
08/12/2009	Bol Interest 5/11/9	Bank Interest Gross	47 28
05/01/2010	Bol Interest 5/1/10	Bank Interest Gross	55 78
20/01/2010	Correction	Employee Loans	105,875 46
20/01/2010	Correction	Employee Loans	790 04
16/02/2010	HM Revenue & Customs	VAT Control account	2,714 55
18/02/2010	Trevor Mayhew Loan	Employee Loans	775 00
18/02/2010	Repayment of Loan Re Hochschule	Employee Loans	15 04
Carried Forward			500,590 81

NOTE No balance should be shown on this account but only the total realisations and disbursements which should be carried forward to the next account

Disbursements			
Date	To whom paid	Nature of disbursements	Amount
		Brought Forward	0 00
24/02/2009	Carter Backer Winter	Office Holders Fees	10,500 00
24/02/2009	Carter Backer Winter	VAT Receivable	1,575 00
06/03/2009	Chaps Charge 24/2/9	Bank Charges	21 00
16/03/2009	Brooke North LLP	Legal Fees (1)	750 00
16/03/2009	Brooke North LLP	VAT Receivable	112 50
25/03/2009	Carter Backer Winter	Office Holders Fees	8,798 76
25/03/2009	Carter Backer Winter	VAT Receivable	1,319 81
29/04/2009	Carter Backer Winter	Office Holders Fees	5,577 50
29/04/2009	Carter Backer Winter	VAT Receivable	836 63
29/04/2009	Carter Backer Winter	Office Holders Expenses	319 79
29/04/2009	Carter Backer Winter	VAT Receivable	47 97
07/05/2009	C&V Data Management Services Ltd	Storage Costs	39 42
07/05/2009	C&V Data Management Services Ltd	VAT Receivable	5 91
07/05/2009	C&V Data Management Services Ltd	Storage Costs	2 02
07/05/2009	C&V Data Management Services Ltd	VAT Receivable	0 30
18/05/2009	Dawnay Day Corp Finance Ltd	Employee Loans	71,980 05
15/06/2009	HM Revenue & Customs	Corporation Tax	485 15
26/06/2009	Amco Agency Ltd	Agents/Valuers Fees (1)	472 40
26/06/2009	Amco Agency Ltd	VAT Receivable	70 86
26/06/2009	Amco Agency Ltd	Diff on AMCO chaps payment in	0 73
01/07/2009	Brooke North Inv 35523A	Legal Fees (1)	6,000 00
01/07/2009	Brooke North Inv 35523A	VAT Receivable	900 00
01/07/2009	Brooke North Inv 35587A	Legal Fees (1)	4,250 00
01/07/2009	Brooke North Inv 35587A	VAT Receivable	638 43
01/07/2009	Brooke North Inv 35587A	Legal fees (2)	6 20
01/07/2009	Brooke North Inv 35587A	Bank Charges	20 00
15/07/2009	Distribution	Employees	15,662 12
15/07/2009	Distribution Tax	Redundancy Payments Office	3,354 63
15/07/2009	Distribution NI	Redundancy Payments Office	1,845 04
29/07/2009	Carter Backer Winter LLP	Office Holders Fees	17,345 84
29/07/2009	Carter Backer Winter LLP	VAT Receivable	2,601 88
29/07/2009	Carter Backer Winter LLP	Office Holders Fees	42,604 92
29/07/2009	Carter Backer Winter LLP	VAT Receivable	6,391 04
29/07/2009	Carter Backer Winter LLP	Office Holders Expenses	2 00
04/08/2009	C & V Data Management Services Ltd	Storage Costs	2 02
04/08/2009	C & V Data Management Services Ltd	VAT Receivable	0 30
04/08/2009	C & V Data Management Services Ltd	Storage Costs	35 42
04/08/2009	C & V Data Management Services Ltd	VAT Receivable	5 31
05/08/2009	C & V Data Management Services Ltd	Storage Costs	1 96
05/08/2009	C & V Data Management Services Ltd	VAT Receivable	0 29
05/08/2009	C & V Data Management Services Ltd	Storage Costs	34 27
05/08/2009	C & V Data Management Services Ltd	VAT Receivable	5 14
07/08/2009	Pixel Interactive Media Ltd	Bank Charges	6 00
13/08/2009	Denton Wilde Sapte LLP	Legal Fees (1)	1,687 50
13/08/2009	Denton Wilde Sapte LLP	VAT Receivable	253 13
13/08/2009	Denton Wilde Sapte LLP	Legal fees (2)	31 14
13/08/2009	Denton Wilde Sapte LLP	VAT Receivable	4 67
01/09/2009	Carter Backer Winter LLP	Office Holders Fees	5,000 00
01/09/2009	Carter Backer Winter LLP	VAT Receivable	750 00
Carried Forward			212,355 05

NOTE No balance should be shown on this account but only the total realisations and disbursements which should be carried forward to the next account

Disbursements			
Date	To whom paid	Nature of disbursements	Amount
		Brought Forward	212,355 05
01/09/2009	Carter Backer Winter LLP	Office Holders Expenses	667 00
01/09/2009	Carter Backer Winter LLP	VAT Receivable	100 05
03/09/2009	H M Revenue & Customs	Corporation Tax	3 11
22/10/2009	Pershing Deductions	Agents/Valuers Fees (2)	16,214 37
26/11/2009	Carter Backer Winter LLP	Office Holders Fees	15,000 00
26/11/2009	Carter Backer Winter LLP	VAT Receivable	2,250 00
26/11/2009	Carter Backer Winter LLP	Office Holders Expenses	3,059 60
26/11/2009	Carter Backer Winter LLP	VAT Receivable	458 94
09/12/2009	C & V Data Management Services Ltd	Storage Costs	2 02
09/12/2009	C & V Data Management Services Ltd	VAT Receivable	0 30
09/12/2009	C & V Data Management Services Ltd	Storage Costs	35 42
09/12/2009	C & V Data Management Services Ltd	VAT Receivable	5 31
20/01/2010	Correction	Employee Loans	106,665 50
28/01/2010	Carter Backer Winter LLP	Office Holders Fees	10,000 00
28/01/2010	Carter Backer Winter LLP	VAT Receivable	1,750 00
04/02/2010	C&V Data Management Services Ltd	Storage Costs	34 27
04/02/2010	C&V Data Management Services Ltd	VAT Receivable	6 00
04/02/2010	C&V Data Management Services Ltd	Storage Costs	1 96
04/02/2010	C&V Data Management Services Ltd	VAT Receivable	0 34
18/02/2010	Distribution	Trade & Expense Creditors	77,817 54
18/02/2010	Distribution Tax	Redundancy Payments Office	6,387 94
18/02/2010	Distribution NI	Redundancy Payments Office	3,513 36
Carried Forward			456,328 08

NOTE No balance should be shown on this account but only the total realisations and disbursements which should be carried forward to the next account

**Analysis of balance**

Total realisations  
Total disbursements

Balance £

This balance is made up as follows

- 1 Cash in hands of liquidator
- 2 Balance at bank
- 3 Amount in Insolvency Services Account

- 4 Amounts invested by liquidator  
Less The cost of investments realised  
Balance
- 5 Accrued Items

Total Balance as shown above

£		500,590 81
		456,328 08
Balance £		44,262 73
		0 00
		44,262 73
		0 00
£	0 00	
	0 00	
		0 00
		0 00
		44,262 73

NOTE - Full details of stocks purchased for investment and any realisation of them should be given in a separate statement

The Liquidator should also state -

- (1) The amount of the estimated assets and liabilities at the date of the commencement of the winding up

£

Assets (after deducting amounts charged to secured creditors including the holders of floating charges)	0 00
Liabilities - Fixed charge creditors	0 00
Floating charge holders	0 00
Preferential creditors	0 00
Unsecured creditors	0 00

- (2) The total amount of the capital paid up at the date of the commencement of the winding up -

Paid up in cash	0 00
Issued as paid up otherwise than for cash	0 00

- (3) The general description and estimated value of any outstanding assets (if there is insufficient space here, attach a separate sheet)

Investments Debtors

- (4) Why the winding up cannot yet be concluded

As above

- (5) The period within which the winding up is expected to be completed

6-9 Months