



Companies House
— for the record —

AR01 (ef)

Annual Return



Received for filing in Electronic Format on the: **31/01/2011**

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Company Name: **SOUTHAMPTON ASSET MANAGEMENT LIMITED**

Company Number: **04367489**

Date of this return: **25/01/2011**

SIC codes: **7487**

Company Type: **Private company limited by shares**

Situation of Registered Office: **FINANCE DEPARTMENT BUILDING 37
UNIVERSITY OF SOUTHAMPTON
SOUTHAMPTON
HAMPSHIRE
SO17 1BJ**

Officers of the company

Company Secretary 1

Type: **Person**
Full forename(s): **MRS JULIETTE**

Surname: **BAKER**

Former names:

Service Address recorded as Company's registered office

Company Director 1

Type: **Person**
Full forename(s): **MR MALCOLM JAMES**

Surname: **ACE**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **21/07/1962** Nationality: **BRITISH**
Occupation: **UNIVERSITY ADMINISTRATOR**

Company Director **2**

Type: **Person**
Full forename(s): **PROF PHILIP NIGEL**

Surname: **BARTLETT**

Former names:

Service Address: **AMARA 11 ROSEBERRY ROAD
ALRESFORD
HAMPSHIRE
SO24 9HD**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **27/07/1956** *Nationality:* **BRITISH**
Occupation: **ACADEMIC**

Company Director **3**

Type: **Person**
Full forename(s): **JACK BYRON**

Surname: **BOYER**

Former names:

Service Address: **25 PEMBROKE GARDENS
LONDON
W8 6HU**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **19/10/1959** *Nationality:* **BRITISH**

Occupation: **COMPANY DIRECTOR**

Company Director 4

Type: **Person**

Full forename(s): **PIERRE**

Surname: **BRAIS**

Former names:

Service Address: **FLAT 3 33 KEMPSFORD GARDENS
LONDON
SW5 9LA**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **29/04/1959** *Nationality:* **CANADIAN**

Occupation: **FINANCIAL CONSULTANT**

Company Director **5**

Type: **Person**
Full forename(s): **RICHARD JOHN**

Surname: **DAVIES**

Former names:

Service Address: **140 CANFORD CLIFFS ROAD**
 POOLE
 DORSET
 BH13 7ER

Country/State Usually Resident: **ENGLAND**

Date of Birth: **01/02/1943** *Nationality:* **BRITISH**
Occupation: **CHAIRMAN**

Company Director **6**

Type: **Person**
Full forename(s): **RICHARD JAMES**

Surname: **MARSDEN**

Former names:

Service Address: **53 PARK ROAD
HAYLING ISLAND
HAMPSHIRE
PO11 0HT**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **17/01/1967** *Nationality:* **BRITISH**
Occupation: **MANAGING DIRECTOR**

Company Director 7

Type: **Person**
Full forename(s): **PROFESSOR PHILIP ARTHUR**

Surname: **NELSON**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **22/06/1952** Nationality: **BRITISH**

Occupation: **UNIVERSITY PROFESSOR**

Company Director 8

Type: **Person**
Full forename(s): **PROFESSOR DONALD**

Surname: **NUTBEAM**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **18/05/1955** Nationality: **BRITISH**

Occupation: **VICE CHANCELLOR**

Company Director **9**

Type: **Person**
Full forename(s): **PROFESSOR RICHARD**

Surname: **OREFFO**

Former names:

Service Address: **20 SCHOOL ROAD
WEST WELLOW
ROMSEY
HAMPSHIRE
UNITED KINGDOM
SO51 6AR**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **26/09/1961** *Nationality:* **BRITISH**
Occupation: **PROFESSOR**

Company Director **10**

Type: **Person**

Full forename(s): **PROFESSOR DAVID NEIL**

Surname: **PAYNE**

Former names:

Service Address: **13 SYLVAN CLOSE
HAMBLE
SOUTHAMPTON
HAMPSHIRE
SO31 4QG**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **13/08/1944**

Nationality: **BRITISH**

Occupation: **PROFESSOR**

Company Director 11

Type: **Person**
Full forename(s): **GRAEME**

Surname: **PURDY**

Former names:

Service Address: **10 BROADSHARD LANE
RINGWOOD
HAMPSHIRE
BH24 1RR**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **18/09/1965** *Nationality:* **BRITISH**
Occupation: **ENGINEER**

Company Director 12

Type: **Person**
Full forename(s): **DR ANTHONY**

Surname: **RAVEN**

Former names:

Service Address: **THE OLD ORCHARD CHAPEL LANE
MELBOURN
ROYSTON
HERTFORDSHIRE
SG8 6BN**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **21/09/1954** *Nationality:* **BRITISH**

Occupation: **COMPANY DIRECTOR**

Company Director 13

Type: **Person**
Full forename(s): **PROFESSOR SIMON MARK**

Surname: **SPEARING**

Former names:

Service Address: **2 BUTTERFIELD ROAD
SOUTHAMPTON
HAMPSHIRE
UNITED KINGDOM
SO16 7EE**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **17/12/1964** *Nationality:* **BRITISH**
Occupation: **PROFESSOR**

Company Director 14

Type: **Person**
Full forename(s): **MR NIGEL JOHN**

Surname: **SQUIBB**

Former names:

Service Address: **127 COPERS COPE ROAD
BECKENHAM
KENT
BR3 1NY**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **25/10/1953** *Nationality:* **ENGLISH**

Occupation: **ENGINEER**

Statement of Capital (Share Capital)

Class of shares	ORDINARY A	<i>Number allotted</i>	80
		<i>Aggregate nominal value</i>	80
<i>Currency</i>	GBP	<i>Amount paid per share</i>	0
		<i>Amount unpaid per share</i>	0

Prescribed particulars

DIVIDENDS AND PROFITS MAY ONLY BE PAID TO THE HOLDERS OF 'A' SHARES OUT OF THE 'A' FUND. EXCLUSIVELY ENTITLED TO 'A' FUND OR ALL OF THE CONSIDERATION PAYABLE IN RESPECT OF THE 'A' FUND ON A WINDING UP, RECONSTRUCTION OR OTHER OCCASSION. OTHERWISE A SHARES RANK PARI PASSU WITH ALL OTHER SHARES.

Class of shares	ORDINARY B	<i>Number allotted</i>	20
		<i>Aggregate nominal value</i>	20
<i>Currency</i>	GBP	<i>Amount paid per share</i>	0
		<i>Amount unpaid per share</i>	0

Prescribed particulars

THEY RANK PARI PASSU IN ALL RESPECTS EXCEPT THEY ARE NOT ENTITLED TO ANY INCOME/DISTRIBUTION FROM THE 'A' FUND; NOT ENTITLED TO BALANCE AND/OR CONSIDERATION GIVEN IN RESPECT OF THE 'A' FUND.

Statement of Capital (Totals)

<i>Currency</i>	GBP	<i>Total number of shares</i>	100
		<i>Total aggregate nominal value</i>	100

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 25/01/2011 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for a private or non-traded public company are shown below

Shareholding 1 : 80 ORDINARY A shares held as at 2011-01-25
Name: UNIVERSITY OF SOUTHAMPTON HOLDINGS LIMITED

Shareholding 2 : 20 ORDINARY B shares held as at 2011-01-25
Name: IP2 IPO LIMITED

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.