



Companies House

— for the record —

Company Name

**NEPTUNE INVESTMENT
MANAGEMENT LIMITED**

363s Annual Return

000171/15

Company Type

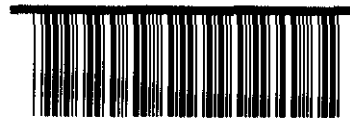
**Private Company Limited By
Shares**

Company Number

4341768

Information extracted from
Companies House records on
30th November 2002

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.



A34
COMPANIES HOUSE

0892
18/01/03

Section 1: Company details

Ref: 4341768/01/01

	Current details	Amended details														
> Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	C/O Saffery Champness Lion House Red Lion Street London WC1R 4GB	Address _____ _____ _____ UK Postcode _ _ _ _ _														
> Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Address where the Register is held At Registered Office	Address _____ _____ _____ UK Postcode _ _ _ _ _														
> Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Not Applicable	Address _____ _____ _____ UK Postcode _ _ _ _ _														
> Principal Business Activities <i>Please enter principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes. Please use the most appropriate code in the list, or write a short description of your company's activities.</i>	<i>None held, please enter SIC code or description in the amended details column.</i>	<table border="0"> <tr> <th>SIC CODE</th> <th>Description</th> </tr> <tr> <td>6712</td> <td>SECURITY BROKING +</td> </tr> <tr> <td></td> <td>FUND MANAGEMENT</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> </table>	SIC CODE	Description	6712	SECURITY BROKING +		FUND MANAGEMENT	_____	_____	_____	_____	_____	_____	_____	_____
SIC CODE	Description															
6712	SECURITY BROKING +															
	FUND MANAGEMENT															
_____	_____															
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Section 2: Details of Officers of the Company

	Current details	Amended details
> Company Secretary <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Company Secretary must be notified on form 288.</i>	Name David GREGORY Address 17 Leeson Road Bournemouth Dorset BH7 7AZ	Name <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Address UK Postcode _ _ _ _ _ Date of change _ _ / _ _ / _ _ _ _ Date David GREGORY ceased to be secretary (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288.</i>	Name The Hon Alexander Gordon CATTO Address Sudgrove House Sudgrove, Miserden Stroud Gloucestershire GL6 7JD Date of birth 22/06/1952 Nationality British Occupation Director	Name <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date The Hon Alexander Gordon CATTO ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

	Current details	Amended details
<p>> Director</p> <p><i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p> <p><i>Particulars of a new Director must be notified on form 288.</i></p>	<p>Name David GREGORY</p> <p>Address 17 Leeson Road Bournemouth Dorset BH7 7AZ</p> <p>Date of birth 14/12/1959</p> <p>Nationality British</p> <p>Occupation Director</p>	<p>Name</p> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p>Address</p> <p>UK Postcode _ _ _ _ _</p> <p>Date of birth _ _ / _ _ / _ _ _ _</p> <p>Nationality _ _ _ _ _</p> <p>Occupation _ _ _ _ _</p> <p>Date of change _ _ / _ _ / _ _ _ _</p> <p>Date David GREGORY ceased to be director (if applicable) _ _ / _ _ / _ _ _ _</p>
<p>> Director</p> <p><i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p> <p><i>Particulars of a new Director must be notified on form 288.</i></p>	<p>Name Paul Anthony HART</p> <p>Address 44 Paultons Square London SW3 5DT</p> <p>Date of birth 23/07/1958</p> <p>Nationality British</p> <p>Occupation Broker</p>	<p>Name</p> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p>Address</p> <p>UK Postcode _ _ _ _ _</p> <p>Date of birth _ _ / _ _ / _ _ _ _</p> <p>Nationality _ _ _ _ _</p> <p>Occupation _ _ _ _ _</p> <p>Date of change _ _ / _ _ / _ _ _ _</p> <p>Date Paul Anthony HART ceased to be director (if applicable) _ _ / _ _ / _ _ _ _</p>

	Current details	Amended details
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Name Robin John HENRY GEFFEN Address 7 Hurlingham Gardens London SW6 3PL Date of birth 11/10/1957 Nationality British Occupation Fund Manager	Name <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Robin John HENRY GEFFEN ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Name Jonathan Dominic PUNTER BSC FIA Address 7 Orchard Drive Blackheath London SE3 0QP Date of birth 08/05/1957 Nationality British Occupation Consulting Actuary	Name <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Jonathan Dominic PUNTER BSC FIA ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

Particulars of a new Director must be notified on form 288.

Particulars of a new Director must be notified on form 288.

> Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Particulars of a new Director must be notified on form 288.

Current details

Name
Stuart Malcolm SOUTHALL MA FIA

Address
Woodhurst
The Warren
East Horsley
Surrey
KT24 5RH

Date of birth 20/02/1958

Nationality British

Occupation Consulting Actuary

Amended details

Name

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

UK Postcode _ _ _ _ _

Date of birth _ _ / _ _ / _ _ _ _

Nationality _ _ _ _ _

Occupation _ _ _ _ _

Date of change _ _ / _ _ / _ _ _ _

Date Stuart Malcolm SOUTHALL MA
FIA ceased to be director (if applicable)

_ _ / _ _ / _ _ _ _

Section 3: Total Issued Share Capital**(B)**

> Please enter the details of the company's total share capital in the space provided below.

Issued share capital details

> Please fill in the details of total share capital by class (eg. ordinary, preference etc) that has been issued to the company's shareholders.

Class of Share

Number of shares issued

ORDINARY SHARES5,000,000

Aggregate Nominal Value of issued shares

£50,000

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

> Please fill in the total number of issued shares and their total nominal value.

Number of shares issued

5,000,000

Aggregate Nominal Value of issued shares

£50,000

> Please send a full list of shareholders with this annual return. Use Sections 4A (and 4B if appropriate) to give the details. You must name all the shareholders.

- > Please fill in the details of any persons or corporate bodies who are shareholders at the date of this return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name <u>ROBIN GEFEN</u> Address <u>7 HURLINGHAM GARDENS</u> <u>LONDON</u> UK Postcode <u>SW6 3PL</u>	ORDINARY 3,275,000	ORDINARY 3,275,000	8.5.02
Name <u>CAIRNSGA INVESTMENTS LTD</u> Address <u>CLAREBEN HOUSE, 6 CORK</u> <u>STREET LONDON</u> UK Postcode <u>W1S 3NX</u>	ORDINARY 25,000	ORDINARY 25,000	8.5.02
Name <u>JS QUIRK</u> Address <u>CLAREBEN HOUSE</u> <u>6 CORK STREET</u> <u>LONDON</u> UK Postcode <u>W1S 3NX</u>	ORDINARY 12,500	ORDINARY 12,500	8.5.02
Name <u>A G CATTO</u> Address <u>CLAREBEN HOUSE</u> <u>6 CORK STREET</u> <u>LONDON</u> UK Postcode <u>W1S 3NX</u>	ORDINARY 12,500	ORDINARY 12,500	8.5.02

Section 4B: Details of Former Shareholders

- > Please fill in details of any persons or corporate bodies who have ceased to be shareholders at the date of this return. Also, please give the dates that their shares were transferred.
- > Please copy this page if there is not enough space to enter all the company's former shareholders.

Former shareholders details	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <u>TRUCIDATOR NOMINEES LTD</u> Address <u>2 LAMBS PASSAGE</u> <u>LONDON</u> UK Postcode <u>EC1Y 8BB</u>	ONE ORDINARY SHARE	7.5.02
Name <u>TREXCO LTD</u> Address <u>2 LAMBS PASSAGE</u> <u>LONDON</u> UK Postcode <u>EC1Y 8BB</u>	ONE ORDINARY SHARE	7.5.02
Name _____ Address _____ _____ _____ UK Postcode <u> - - - - - -</u>		
Name _____ Address _____ _____ _____ UK Postcode <u> - - - - - -</u>		
Name _____ Address _____ _____ _____ UK Postcode <u> - - - - - -</u>		

Section 4: Details of Current Shareholders

(A)

- > Please fill in the details of any persons or corporate bodies who are shareholders at the date of this return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name <u>MARTIN MAREY</u> Address <u>PARSONAGE FARMHOUSE</u> <u>KEWGDEN ROAD</u> <u>COLCHESTER</u> UK Postcode <u>CO5 9TA</u>	ORDINARY 120,000	ORDINARY 140,000 ORDINARY 10,000	17.5.02 17.5.02
Name <u>DAVID ALEXANDER HILL SCOTT</u> Address <u>40 THE GROVE</u> <u>EALING</u> <u>LONDON</u> UK Postcode <u>W5 5LH</u>	ORDINARY 50,000	ORDINARY 50,000	17.5.02
Name <u>EXPRESS CRUISES LTD</u> Address <u>THE SHIPYARD</u> <u>LYMINGTON</u> <u>HANTS</u> UK Postcode <u>SO41 3YL</u>	ORDINARY 50,000	ORDINARY 50,000	17.5.02
Name <u>LYMINGTON MARINA LTD</u> Address <u>THE SHIPYARD</u> <u>LYMINGTON</u> <u>HANTS</u> UK Postcode <u>SO41 3YL</u>	ORDINARY 40,000	ORDINARY 40,000	17.5.02

Section 4: Details of Current Shareholders

(A)

- > Please fill in the details of any persons or corporate bodies who are shareholders at the date of this return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (if appropriate)	Date of registration of transfer (if appropriate)
Name <u>DATHBONG NOMINEES LTD</u> Address <u>PORT OF LIVERPOOL BUILDINGS</u> <u>PIER HEAD</u> <u>LIVERPOOL</u> UK Postcode <u>L3 1NW</u>	ORDINARY 105,000	ORDINARY 100,000 5,000	17.5.02 22.05.02
Name <u>CAM NOMINEES LTD</u> Address <u>SUITE 8/4</u> <u>NEW CITY CLOISTERS</u> <u>196 OLD ST, LONDON</u> UK Postcode <u>EC1V 9FR</u>	ORDINARY 190,000	ORDINARY 190,000	17.5.02
Name <u>SPA FRENCH.</u> Address <u>42 NORTHUMBRIA PLACE</u> <u>LONDON</u> UK Postcode <u>W2 5AS</u>	ORDINARY 50,000	ORDINARY 30,000 20,000	17.5.02 22.5.02
Name <u>ROBIN BRUCE COLLINS</u> Address <u>HAZEL COTTAGE</u> <u>PROBERTS LANE, STODHAM</u> <u>LISS, HANTS</u> UK Postcode <u>GU33 7BT</u>	ORDINARY 50,000	ORDINARY 50,000	17.5.02

- > Please fill in the details of any persons or corporate bodies who are shareholders at the date of this return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name <u>MICHAEL I FREGMAN</u> Address <u>9 CONNAUGHT SQUARE</u> <u>LONDON</u> UK Postcode <u>W2 2HQ</u>	ORDINARY 50,000	ORDINARY 50,000	17.5.02
Name <u>LORD CATTO + ALEXANDER CATTO</u> Address <u>ASTRUSTEES FOR LORD CATTO'S</u> <u>SETTLEMENT NOV 1976</u> <u>6 CORK STREET</u> <u>LONDON</u> UK Postcode <u>W1S 3WX</u>	ORDINARY 200,000	ORDINARY 200,000	17.5.02
Name <u>LONDON HANOVER ASSOCIATED LTD</u> Address <u>NORTHUMBRIANO</u> <u>42 HANOVER PLACE</u> <u>LONDON</u> UK Postcode <u>W2 5AS</u>	ORDINARY 5,000	ORDINARY 5,000	17.5.02
Name <u>AL GWYNNE JONES + JAMES HAT</u> Address <u>PENSLON TRUSTEES LTD</u> <u>RAWANMOOR HOUSE</u> <u>46-50 CASTLE ST</u> <u>SALISBURY</u> UK Postcode <u>SP1 3TS</u>	ORDINARY 15,000	ORDINARY 15,000	17.5.02

- > Please fill in the details of any persons or corporate bodies who are shareholders at the date of this return.
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- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name <u>RICHARD JOHN TEMPLE</u> Address <u>32 EBURY BRIDGE ROAD</u> <u>LONDON</u> UK Postcode <u>SW1W 8PZ</u>	ORDINARY 50,000	ORDINARY 50,000	22.5.02
Name <u>KENNETH JOHN MCGWY</u> Address <u>27 PRIORY AVENUE</u> <u>LONDON</u> UK Postcode <u>W4 1TZ</u>	ORDINARY 200,000	ORDINARY 200,000	22.5.02
Name <u>JONATHAN DOMINIC PUNTER</u> Address <u>7 ORCHARD DRIVE</u> <u>BLACKHEATH</u> <u>LONDON</u> UK Postcode <u>SE3 0BP</u>	ORDINARY 250,000	ORDINARY 250,000	22.5.02
Name <u>NICHAN ANTHONY ANGEARNE</u> Address <u>25 ECCLESTON SQUARE</u> <u>LONDON</u> UK Postcode <u>SW1V 1NS</u>	ORDINARY 50,000	ORDINARY 50,000	22.5.02 6.6.02

- > Please fill in the details of any persons or corporate bodies who are shareholders at the date of this return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name <u>STUART MALCOLM SATHAN</u> Address <u>WOODHURST, THE WARRAN</u> <u>EAST MORSELEY</u> <u>SURREY</u> UK Postcode <u>KT 24 5RH</u>	ORDINARY 50,000	ORDINARY 50,000	6.6.02
Name <u>DAVID CULE</u> Address <u>LODS HILL HOUSE</u> <u>LODS HILL COMMON, SHARLEIGH</u> <u>CREW, SURREY</u> UK Postcode <u>GU5 0U2</u>	ORDINARY 50,000	ORDINARY 50,000	6.6.02
Name <u>MICHAEL SANDWICK</u> Address <u>2 BRACKEN CARROWS</u> <u>BARNES</u> <u>LONDON</u> UK Postcode <u>SW13 9HW</u>	ORDINARY 25,000	ORDINARY 25,000	9.9.02
Name <u>HUGH McLAUGHLIN</u> Address <u>2 BRACKEN CARROWS</u> <u>BARNES</u> <u>LONDON</u> UK Postcode <u>SW13 9HW</u>	ORDINARY 25,000	ORDINARY 25,000	9.9.02

- > Please fill in the details of any persons or corporate bodies who are shareholders at the date of this return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (if appropriate)	Date of registration of transfer (if appropriate)
Name JAA SAMUGUS Address C/O HIGGS SAYER, PUNTER COOMBS 126 JERMYN STREET LONDON UK Postcode SW 17 4UT	ORDINARY 25000	ORDINARY 25,000	10.9.02
Name L GARY JACKSON Address APARTMENT 39 52 GROSVENOR ROAD LONDON UK Postcode SW 1V 3JL	ORDINARY 25000	ORDINARY 25000	10.9.02
Name Address UK Postcode			
Name Address UK Postcode			



Companies House

— for the record —

363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

1. Declaration

- ☒ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

(Director / Secretary)

Date

14 / 01 / 2003

This date must not be earlier than the return date at 2 below

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

2. Date of this return

- ☒ This AR is made up to
18/12/2002

If you are making this return up to an earlier date, please give the date here

__ / __ / ____

Note: The form must be delivered to CH within 28 days of this date

3. Date of next return

- ☐ If you wish to change your next return to a date earlier than **18th December 2003** please give the new date here:

__ / __ / ____

4. Where to send this form

- ☐ Please return this form to:

Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ

OR

For members of the Hays Document
Exchange service
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Contact Address

You do not have to give any contact information below, but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Contact Name

DAVID ALBION

Telephone number inc code

020 83238190

Address

2 QUEEN CAROLINE ST

DX number if applicable

LONDON

DX exchange

Postcode

W6 9DX