

DON'T
STAPLE

SH01

Return of allotment of shares



Companies House

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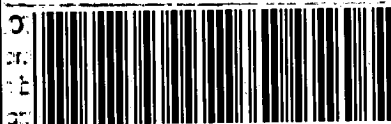
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✓ **What this form is for**
You may use this form to give notice of shares allotted following incorporation.

✗ **What this form is for**
You cannot use this form to give notice of shares allotted following formation of a company for an allotment of shares by an unincorporated body.

WEDNESDAY



For more information, please visit www.gov.uk/companieshouse

AC1BMZB5
12/04/2023 #49
COMPANIES HOUSE

1 Company details

Company number 04280530

Company name in full NORTHERN 3 VCT PLC

→ Filling in this form

Please complete in typescript or in bold black capitals.

All fields are mandatory unless specified or indicated by *

2 Allotment dates

From Date 04/02/2023
To Date 04/02/2023

① Allotment date

If all shares were allotted on the same day enter that date in the 'from date' box. If shares were allotted over a period of time, complete both 'from date' and 'to date' boxes.

3 Shares allotted

Please give details of the shares allotted, including bonus shares. (Please use a continuation page if necessary.)

② Currency

If currency details are not completed we will assume currency is in pound sterling.

Currency ②	Class of shares (E.g. Ordinary/Preference etc.)	Number of shares allotted	Nominal value of each share	Amount paid (including share premium) on each share	Amount (if any) unpaid (including share premium) on each share
GBP	ORDINARY	968,308	0.05	0.9028	0.00
GBP	ORDINARY	1,206,871	0.05	0.9029	0.00
GBP	ORDINARY	46,142	0.05	0.9030	0.00

If the allotted shares are fully or partly paid up otherwise than in cash, please state the consideration for which the shares were allotted.

Details of non-cash consideration.

If a PLC, please attach valuation report (if appropriate)

Continuation page

Please use a continuation page if necessary.

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Shares allotted

Please give details of the shares allotted, including bonus shares.

② Currency

If currency details are not
completed we will assume currency
is in pound sterling.

Class of shares (E.g. Ordinary/Preference etc.)	Currency ②	Number of shares allotted	Nominal value of each share	Amount paid (including share premium) on each share	Amount (if any) unpaid (including share premium) on each share
ORDINARY	GBP	145,282	0.05	0.9031	0.00
ORDINARY	GBP	1,098,924	0.05	0.9051	0.00
ORDINARY	GBP	182,791	0.05	0.9052	0.00
ORDINARY	GBP	91,097	0.05	0.9053	0.00
ORDINARY	GBP	59,643	0.05	0.9054	0.00
ORDINARY	GBP	762,217	0.05	0.9074	0.00
ORDINARY	GBP	11,956	0.05	0.9075	0.00
ORDINARY	GBP	2,975	0.05	0.9076	0.00
ORDINARY	GBP	2,644	0.05	0.9077	0.00
ORDINARY	GBP	125,787	0.05	0.9097	0.00
ORDINARY	GBP	784,993	0.05	0.9098	0.00
ORDINARY	GBP	63,084	0.05	0.9099	0.00
ORDINARY	GBP	16,469	0.05	0.9108	0.00
ORDINARY	GBP	20,011	0.05	0.9145	0.00
ORDINARY	GBP	2,209	0.05	0.9190	0.00
ORDINARY	GBP	157,111	0.05	0.9192	0.00
ORDINARY	GBP	14,468	0.05	0.9193	0.00
ORDINARY	GBP	36,794	0.05	0.9240	0.00
ORDINARY	GBP	14,416	0.05	0.9249	0.00
ORDINARY	GBP	67,637	0.05	0.9288	0.00
ORDINARY	GBP	60,109	0.05	0.9289	0.00
ORDINARY	GBP	26,846	0.05	0.9312	0.00
ORDINARY	GBP	39,455	0.05	0.9313	0.00
ORDINARY	GBP	11,041	0.05	0.9329	0.00
ORDINARY	GBP	52,819	0.05	0.9331	0.00
ORDINARY	GBP	11,781	0.05	0.9337	0.00
ORDINARY	GBP	216,010	0.05	0.9378	0.00
ORDINARY	GBP	226,390	0.05	0.9386	0.00
ORDINARY	GBP	35,511	0.05	0.9387	0.00
ORDINARY	GBP	14,167	0.05	0.9388	0.00
ORDINARY	GBP	21,082	0.05	0.9487	0.00

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Statement of capital

Complete the table(s) below to show the issued share capital at the date to which this return is made up.

Complete a separate table for each currency (if appropriate). For example, add pound sterling in 'Currency table A' and Euros in 'Currency table B'.

Continuation page
Please use a Statement of Capital continuation page if necessary.

Currency Complete a separate table for each currency	Class of shares E.g. Ordinary/Preference etc.	Number of shares	Aggregate nominal value (£, €, \$, etc) Number of shares issued multiplied by nominal value	Total aggregate amount unpaid, if any (£, €, \$, etc) Including both the nominal value and any share premium
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Currency table A

GBP	ORDINARY	129,916,819	£6,495,840.95	
Totals		129,916,819	£6,495,840.95	£0.00

Currency table B

Totals				

Currency table C

Totals				

Total issued share capital table

You must complete this table to show your total issued share capital. Add the totals from all currency tables, including continuation pages.	Total number of shares	Total aggregate nominal value Show different currencies separately. For example: £100 + €100 + \$10	Total aggregate amount unpaid ❶ Show different currencies separately. For example: £100 + €100 + \$10
Grand total	129,916,819	£6,495,840.95	£0.00

❶ **Total aggregate amount unpaid**
Enter 0 or 'nil' if the shares are fully paid. We'll assume the shares are fully paid if you leave this blank.

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Statement of capital (prescribed particulars of rights attached to shares)

Please give the prescribed particulars of rights attached to shares for each class of share shown in the share capital tables in **Section 4**.

Class of share

ORDINARY

Prescribed particulars
①

EACH ORDINARY SHARE CARRIES ONE VOTE, PARTICIPATES EQUALLY WITH THE OTHER ORDINARY SHARES IN DISTRIBUTIONS OF DIVIDENDS OR CAPITAL (INCLUDING ON A WINDING-UP) AND IS NOT REDEEMABLE

① Prescribed particulars of rights attached to shares

The particulars are:

- a particulars of any voting rights, including rights that arise only in certain circumstances;
- b particulars of any rights, as respects dividends, to participate in a distribution;
- c particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and
- d whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder.

A separate table must be used for each class of share.

Continuation page

Please use a Statement of Capital continuation page if necessary.

Class of share

Prescribed particulars
①

Class of share

Prescribed particulars
①

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Signature

I am signing this form on behalf of the company.

Signature

Signatur

X



X

This form may be signed by:
Director ②, Secretary, Person authorised ③, Administrator, Administrative receiver, Receiver, Receiver manager, CIC manager.

② Societas Europaea

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership.

③ Person authorised

Under either section 270 or 274 of the Companies Act 2006.

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Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name	Gina Hood
Company name	Mercia Fund Management Limited
Address	Forward House
	17 High Street
Post town	Henley-in-Arden
County/Region	
Postcode	B 9 5 5 A A
Country	United Kingdom
DX	
Telephone	



Checklist

We may return the forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You have shown the date(s) of allotment in section 2.
- ☐ You have completed all appropriate share details in section 3.
- ☐ You have completed the relevant sections of the statement of capital.
- ☐ You have signed the form.



Important information

Please note that all information on this form will appear on the public record.



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For companies registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1

For companies registered in Northern Ireland:
The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1.



Further information

For further information please see the guidance notes on the website at www.gov.uk/companieshouse or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.gov.uk/companieshouse