



N/A

363a

Please complete in typescript,
or in bold black capitals.

Annual Return

CHFP010.

Company Number

4261261

Company Name in full

KLEINWORT CAPITAL LIMITED

Date of this return

The information in this return is made up
to

Day		Month		Year	
3	1	0	7	2	0
				0	4

Date of next return

If you wish to make your next return to a
date earlier than the anniversary of this
return please show the date here.
Companies House will then send a form at
the appropriate time.

Day		Month		Year	

Registered Office

Show here the address at the date of
this return.

10 Bedford Street

Any change of
registered office **must**
be notified on form
287.

Post town

London

County / Region

UK Postcode

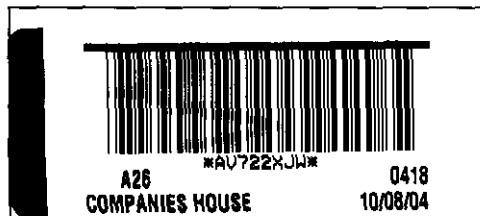
WC2E 9HE

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6523

If the code number cannot be determined,
give a brief description of principal
activity.



When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ

DX 33050 Cardiff

for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

Company Secretary

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Name

* Style / Title

Forename(s)

Surname

Address

Post town

County / Region

Country

Details of a new company secretary must be notified on form 288a.

BNP Paribas Secretarial Services Limited

55 Moorgate

London

UK Postcode EC2R 6PA

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

Company Secretary

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Name

* Style / Title

Forename(s)

Surname

Address

Post town

County / Region

Country

Details of a new company secretary must be notified on form 288a.

Timothy James

Clarke

42 Burleigh Park

Cobham

Surrey

United Kingdom

UK Postcode

KT11 2DU

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title _____

Day Month Year
Date of birth 2 3 0 8 1 9 6 8

Forename(s) Steven Derek

Surname Clarke

Address 78 Bolton Road

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town Windsor

County / Region Berkshire

UK Postcode SL4 3JJ

Country United Kingdom

Nationality British

Business occupation Venture Capitalist

* Voluntary details.

Name * Style / Title _____

Day Month Year
Date of birth 0 1 0 3 1 9 6 1

Forename(s) Timothy James

Surname Clarke

Address 42 Burleigh Park

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town Cobham

County / Region Surrey

UK Postcode KT11 2DU

Country United Kingdom

Nationality British

Business occupation Chartered Accountant

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title _____

Day Month Year
Date of birth 2 4 0 5 1 9 6 3

Forename(s) Andrew Christian

Surname Cowley

Address 21 Sutherland Street

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town London

County / Region _____ UK Postcode SW1V 4JU

Country United Kingdom Nationality British

Business occupation Merchant Banker

* Voluntary details.

Name * Style / Title _____

Day Month Year
Date of birth 0 1 1 1 1 9 4 9

Forename(s) Barry Malcolm

Surname Dean

Address Sandpit Cottage, 4 High Street

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town Ditchling

County / Region Sussex UK Postcode BN6 8TA

Country United Kingdom Nationality British

Business occupation Venture Capitalist

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title

Day Month Year
Date of birth 1 2 1 2 1 9 4 8

Forename(s) Ian Douglas

Surname Grant

Address

Abbotts Barton Farm House, Abbotts Barton

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town Winchester

County / Region Hampshire

UK Postcode SO23 7HY

Country United Kingdom

Nationality British

Business occupation Accountant

* Voluntary details.

Name * Style / Title

Day Month Year
Date of birth 0 3 0 7 1 9 6 2

Forename(s) Richard James

Surname Green

Address

Oldways, Fair Oak Lane, Oxshott

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town Leatherhead

County / Region Surrey

UK Postcode KT22 0TN

Country United Kingdom

Nationality British

Business occupation Venture Capitalist

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name	* Style / Title								
		Day	Month	Year					
Date of birth		1	8	0	4	1	9	6	0
Forename(s)	Andrew John								
Surname	Hartley								
Address	Gorse Bank, Mount Road								
Post town	Chobham								
County / Region	Surrey				UK Postcode	GU24 8AW			
Country	United Kingdom				Nationality	British			
Business occupation	Venture Capitalist								

* Voluntary details.

Name	* Style / Title								
		Day	Month	Year					
Date of birth		2	8	0	1	1	9	4	4
Forename(s)	Ronald								
Surname	Hollidge								
Address	30 Williams Grove								
Post town	Surbiton								
County / Region	Surrey				UK Postcode	KT6 5RW			
Country	United Kingdom				Nationality	British			
Business occupation	Director								

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title			
		Day	Month	Year
Date of birth		2	6	081965
Forename(s)	Philip Michael			
Surname	Rattle			
Address	100 Fishpool Street			
Post town	St Albans			
County / Region	Hertfordshire	UK Postcode	AL3 4RX	
Country	United Kingdom	Nationality	British	
Business occupation	Venture Capitalist			

* Voluntary details.

Name	* Style / Title			
		Day	Month	Year
Date of birth		1	0	091947
Forename(s)	Malcolm Francis			
Surname	Williams			
Address	Gatsworth, Marley Lane			
Post town	Haslemere			
County / Region	Surrey	UK Postcode	GU27 3PZ	
Country	United Kingdom	Nationality	British	
Business occupation	Investment Banker			

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
Ordinary - A	3,333	3,333.00
Ordinary - B	1,667	1,667.00
Totals	5,000	5,000.00

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☐

on paper

in another format

A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐

Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

For and on behalf of BNP Paribas Secretariat

Services Limited

Signed

H. M. Hara

Date

09.08.04.

† Please delete as appropriate.

† ~~a director~~ secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

4
(enter number)

continuation sheets.

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

55 Moorgate, London, EC2R 6PA

Tel 020 7 410 5971

DX number DX exchange



List of past and present shareholders Schedule to form 363a

CHFP010.

Company Number 4261261

Company Name in full KLEINWORT CAPITAL LIMITED

- > Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- > You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following the incorporation;
 - Every third annual return after a full list has been provided
- > List the company shareholders in alphabetical order or provide an index
- > List joint shareholders consecutively

Shareholders' details	Shares or amount of stock transferred (if appropriate)		
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
<div>Name KLEINWORT CAPITAL PARTNERS LIMITED</div> <div>Address 10 Bedford Street, London</div> <div>UK postcode</div>	£1.00 Ordinary - A Shares Held 3,333		
<div>Name Michael Thomas Biddulph</div> <div>Address Flat 4, 142-144 Haverstock Hill, London, United Kingdom</div> <div>UK postcode NW3 2AY</div>	£1.00 Ordinary - B Shares Held 100		
<div>Name Steven Derek Clarke</div> <div>Address 78 Bolton Road, Windsor, United Kingdom</div> <div>UK postcode SL4 3JJ</div>	£1.00 Ordinary - B Shares Held 150		



CHFP010.

List of past and present shareholders (Continued)**Company Number** 4261261

Shareholders' details	Shares or amount of stock transferred (if appropriate)		
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Timothy James Clarke	£1.00 Ordinary - ³		
Address 42 Burleigh Park, Cobham, Surrey, United Kingdom	Shares Held 150		
UK postcode KT11 2DU			
Name Philip Richard Conboy	£1.00 Ordinary - ³		
Address 16 Dudley Road, London	Shares Held 150		
UK postcode SW19 8PN			
Name Ian Douglas Grant	£1.00 Ordinary - ³		
Address Abbotts Barton Farm House, Abbotts Barton, Winchester, United Kingdom	Shares Held 150		
UK postcode SO23 7HY			
Name Richard James Green	£1.00 Ordinary - ³		
Address Oldways, Fair Oak Lane, Oxshott, Surrey, United Kingdom	Shares Held 421		
UK postcode KT22 0TN			



CHFP010.

List of past and present shareholders (Continued)**Company Number** 4261261

Shareholders' details	Shares or amount of stock transferred <i>(if appropriate)</i>		
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Andrew John Hartley	£1.00 Ordinary - B Shares Held 421		
Address Gorse Bank, Mount Road, Chobham, Surrey, United Kingdom			
UK postcode GU24 8AW			
Name Sebastian Mark Sinclair McKinlay	£1.00 Ordinary - B Shares Held 125		
Address 71 Mirabel Road, London, United Kingdom			
UK postcode SW6 7EQ			
Name			
Address			
UK postcode			
Name			
Address			
UK postcode			