



Company Secretarial and
Compliance Software

007736/45

363a

Please complete in typescript,
or in bold black capitals.

Annual Return

CHFP010

Company Number

4153386

Company Name in full

CAZENOVE & CO. Ltd

Date of this return

The information in this return is made up
to

Day Month Year

0 2 0 2 2 0 0 3

Date of next return

If you wish to make your next return to a
date earlier than the anniversary of this
return please show the date here.

Companies House will then send a form at
the appropriate time.

Day Month Year

Registered Office

Show here the address at the date of
this return.

12 TOKENHOUSE YARD

Any change of
registered office **must**
be notified on form
287.

Post town

LONDON

County / Region

UK Postcode

EC2R 7AN

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6712

If the code number cannot be determined,
give a brief description of principal
activity.



A17
COMPANIES HOUSE

0667
11/02/03

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ

DX 33050 Cardiff

for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐☐

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

Miss

Forename(s)

JANE

Surname

EARL

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Address

8 PARSONAGE FARM, TOWNFIELD

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town

RICKMANSWORTH

County / Region

HERTS

UK Postcode

WD3 7FN

Country

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name * Style / Title _____

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 2 9 0 8 1 9 5 4

Forename(s) ALASDAIR ALAN DEWAR

Surname MCKERRELL

Address

ONGARS, DUNMOW ROAD, HATFIELD HEATH

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town BISHOPS STORTFORD

County / Region HERTS

UK Postcode CM22 7EE

Country _____

Nationality BRITISH

Business occupation STOCKBROKER

* Voluntary details.

Name * Style / Title Mr

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 1 2 1 0 1 9 6 1

Forename(s) DAVID HAMILTON

Surname FELL

Address

27 HEREFORD ROAD

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town LONDON

County / Region _____

UK Postcode W2 4TQ

Country _____

Nationality BRITISH

Business occupation COMPANY DIRECTOR

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title			
	Day	Month	Year	
Date of birth	2	0	0	5
	1	9	4	
	0			
Forename(s)	DAVID LIONEL			
Surname	MAYHEW			
Address	FROYLE MILL, FROYLE			
Post town	ALTON			
County / Region	HAMPSHIRE		UK Postcode	GU34 4JJ
Country			Nationality	BRITISH
Business occupation	INVESTMENT BANKER			

* Voluntary details.

Name	* Style / Title			
	Day	Month	Year	
Date of birth	0	1	0	4
	1	9	6	
	3			
Forename(s)	EDMUND WITOLD			
Surname	BYERS			
Address	19 BEDFORD GARDENS			
Post town	LONDON			
County / Region			UK Postcode	W8 7EF
Country			Nationality	BRITISH
Business occupation	STOCKBROKER			

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name * Style / Title

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 2 8 0 7 1 9 6 2

Forename(s) JOHN EDWARD BALFOUR

Surname COLNUTT

Address

PARK CLOSE, 23 ROTHAMSTED AVENUE

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town HARPENDEN

County / Region HERTS.

UK Postcode AL5 2DN

Country

Nationality BRITISH

Business occupation STOCKBROKER ✓

* Voluntary details.

Name * Style / Title

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 0 7 0 7 1 9 5 4

Forename(s) JOHN GREGOR HUGH

Surname PAYNTER

Address

32 DRAYTON GARDENS

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town LONDON

County / Region

UK Postcode SW10 9SA

Country

Nationality BRITISH

Business occupation INVESTMENT BANKER

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name

* Style / Title

Day Month Year
Date of birth 2 8 0 5 1 9 5 8

Forename(s) LAURENCE DAVID EDGAR

Surname HOLLINGWORTH

Address

WOOLSTONE LODGE, WOOLSTONE

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town FARINGDON

County / Region OXFORDSHIRE

UK Postcode SN7 7QL

Country

Nationality BRITISH

Business occupation STOCKBROKER

* Voluntary details.

Name

* Style / Title

MR

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 1 4 0 5 1 9 6 8

Forename(s) MATTHEW DAVID

Surname NEVILLE

Address

9 KINGS COURT, 31 PRINCE ALBERT ROAD

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town LONDON

County / Region

UK Postcode NW8 7LT

Country ENGLAND

Nationality BRITISH

Business occupation STOCKBROKER

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name * Style / Title

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 0 7 0 7 1 9 4 4

Forename(s) MARTIN ROBERT FRANCIS

Surname WONFOR

Address

OLD PALACE, THE GREEN, RICHMOND

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town SURREY

County / Region UK Postcode TW9 1PB

Country Nationality BRITISH

Business occupation STOCKBROKER

* Voluntary details.

Name * Style / Title

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 1 9 0 3 1 9 5 3

Forename(s) MICHAEL RICHARD PARKES

Surname POWER

Address

OAKWOOD FARM, SHIPLEY

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town NR HORSHAM

County / Region WEST SUSSEX UK Postcode RH13 8PY

Country Nationality BRITISH

Business occupation FINANCE DIRECTOR

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name

* Style / Title

MR

Day Month Year

Date of birth

2 1 0 8 1 9 6 1

Forename(s)

NICHOLAS WINSTON BRAID

Surname

WILES

Address

10 WESTMORELAND TERRACE

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town

LONDON

County / Region

UK Postcode

SW1V 4AF

Country

Nationality

BRITISH

Business occupation

STOCKBROKER

* Voluntary details.

Name

* Style / Title

Day Month Year

Date of birth

3 0 1 1 1 9 5 9

Forename(s)

ROBERT MARK

Surname

PICKERING

Address

31 ARGYLL ROAD

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town

LONDON

County / Region

UK Postcode

W8 7DA

Country

Nationality

BRITISH

Business occupation

INVESTMENT BANKER

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name

* Style / Title

MR

Day Month Year

Date of birth

0 6 0 3 1 9 6 1

Forename(s)

TIMOTHY PETER

Surname

WISE

Address

36 FERNHURST ROAD

Usual residential address

must be given. In the case of a corporation, give the registered or principal office address.

Post town

LONDON

County / Region

UK Postcode

SW6 7JW

Country

Nationality

BRITISH

Business occupation

STOCKBROKER

* Voluntary details.

Name

* Style / Title

Day Month Year

Date of birth

Forename(s)

Surname

Address

Usual residential address

must be given. In the case of a corporation, give the registered or principal office address.

Post town

County / Region

UK Postcode

Country

Nationality

Business occupation

Issued share capital .

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)**Number of shares issued****Aggregate Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

Ordinary	483,034,776	£48,303,477.60
Totals	483,034,776	48,303,477.60

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

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on paper

in another format

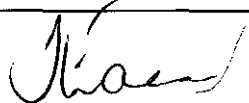
A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed**Date**

10/8/02.

† Please delete as appropriate.

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies.
Cheques should be made payable to **Companies House.**

This return includes

1

continuation sheets.

(enter number)

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

JANE EARL, 12 TOKENHOUSE YARD, LONDON, EC2R 7AN

Tel

DX number

DX exchange

List of past and present shareholders Schedule to form 363a

CHFP010 **Company Number** 4153386

Company Name in full CAZENOVE & CO. Ltd

- > Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- > You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following the incorporation;
 - Every third annual return after a full list has been provided
- > List the company shareholders in alphabetical order or provide an index
- > List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate) Date of registration of transfer
Name CAZENOVE GROUP PLC Address 12 TOKENHOUSE YARD, LONDON UK postcode EC2R 7AN	£0.10 Ordinary Shares Held 483,034,775		
Name CAZENOVE GROUP PLC & BRUNTON NOMINEES LIMITED Address 12 TOKENHOUSE YARD, LONDON UK postcode EC2R 7AN	£0.10 Ordinary Shares Held 1		
Name Address UK postcode			