



*Companies House*  
— for the record —

**AR01** (ef)

**Annual Return**



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**X124Z3QZ**

*Company Name:* **JPMORGAN CAZENOVE HOLDINGS**

*Company Number:* **04152491**

*Date of this return:* **01/02/2012**

*SIC codes:* **66120**

*Company Type:* **Private unlimited with share capital**

*Situation of Registered Office:* **125 LONDON WALL  
LONDON  
UNITED KINGDOM  
EC2Y 5AJ**

**Officers of the company**

## *Company Secretary 1*

*Type:* **Corporate**  
*Name:* **J.P. MORGAN SECRETARIES (UK) LIMITED**

*Registered or  
principal address:* **125 LONDON WALL  
LONDON  
UNITED KINGDOM  
EC2Y 5AJ**

## *European Economic Area (EEA) Company*

*Register Location:* **UNITED KINGDOM**  
*Registration Number:* **3531235**

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*Company Director*    **1**

*Type:*                                **Person**  
*Full forename(s):*                **NIGEL JOHN DAVID**

*Surname:*                            **COLLETT**

*Former names:*

*Service Address:*                **125 LONDON WALL  
LONDON  
UNITED KINGDOM  
EC2Y 5AJ**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **07/05/1963**                                *Nationality:*    **BRITISH**  
*Occupation:*    **CHARTERED ACCOUNTANT**

*Company Director*    **2**

*Type:*                                **Person**  
*Full forename(s):*                **IAN ROBERT**

*Surname:*                            **LYALL**

*Former names:*

*Service Address:*                **125 LONDON WALL  
LONDON  
UNITED KINGDOM  
EC2Y 5AJ**

*Country/State Usually Resident:*    **ENGLAND**

*Date of Birth:*    **24/02/1951**                                *Nationality:*    **BRITISH**  
*Occupation:*    **BANKER**

*Company Director*    **3**

*Type:*                                **Person**

*Full forename(s):*                **JULIE LARAINÉ**

*Surname:*                                **MILLS**

*Former names:*

*Service Address:*                        **125 LONDON WALL  
LONDON  
UNITED KINGDOM  
EC2Y 5AJ**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **03/04/1973**                                *Nationality:*    **AUSTRALIAN**

*Occupation:*    **FINANCE MANAGER**

## Statement of Capital (Share Capital)

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<b>Class of shares</b>	<b>C SHARES</b>	<i>Number allotted</i>	<b>22719807</b>
		<i>Aggregate nominal value</i>	<b>2271980.7</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

C SHAREHOLDERS SHALL BE ENTITLED TO VOTE AT GENERAL MEETINGS OF THE COMPANY. SUBJECT TO THE RIGHTS OF THE J PREFERENCE SHAREHOLDER THE BALANCE OF THE PROFITS OF THE COMAPNY AVAILABLE FOR DISTRIBUTION SHALL BE PAID TO C SHAREHOLDERS.

<b>Class of shares</b>	<b>J PREFERENCE SHARES</b>	<i>Number allotted</i>	<b>10</b>
		<i>Aggregate nominal value</i>	<b>1</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE HOLDING OF A J PREFERENCE SHARE SHALL NOT CONFER ANY RIGHT TO ATTEND OR VOTE AT ANY GENERAL MEETING OF THE COMPANY. J PREFERENCE SHAREHOLDERS ARE ENTITLED TO RECEIVE, IN PRIORITY TO ANY PAYMENT OF DIVIDEND IN RESPECT OF ANY OTHER CLASS OF SHARES.

<b>Class of shares</b>	<b>J SHARES</b>	<i>Number allotted</i>	<b>22719809</b>
		<i>Aggregate nominal value</i>	<b>7043140.79</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.31</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

J SHAREHOLDERS SHALL BE ENTITLED TO VOTE AT GENERAL MEETINGS OF THE COMPANY. SUBJECT TO THE RIGHTS OF THE J PREFERENCE SHAREHOLDER THE BALANCE OF THE PROFITS OF THE COMAPNY AVAILABLE FOR DISTRIBUTION SHALL BE PAID TO J SHAREHOLDERS..

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## Statement of Capital (Totals)

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<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>45439626</b>
		<i>Total aggregate nominal value</i>	<b>9315122.49</b>

## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 01/02/2012 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for the company are shown below*

*Shareholding 1* : **22719807 C SHARES shares held as at the date of this return**  
*Name:* **CAZENOVE HOLDINGS LIMITED**

*Shareholding 2* : **10 J PREFERENCE SHARES shares held as at the date of this return**  
*Name:* **J.P. MORGAN CHASE FINANCE LIMITED**

*Shareholding 3* : **22719809 J SHARES shares held as at the date of this return**  
*Name:* **J.P. MORGAN CHASE FINANCE LIMITED**

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## *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.