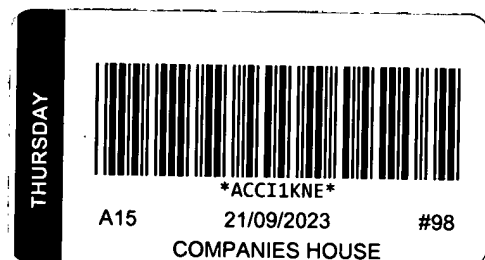


**BNY MELLON SECRETARIES (UK) LIMITED**  
**STRATEGIC REPORT, DIRECTORS' REPORT AND FINANCIAL STATEMENTS**  
**31 DECEMBER 2022**

Registration No. 04115131



## **BNY MELLON SECRETARIES (UK) LIMITED**

### **Contents**

Board of Directors and other information	2
Strategic report	3
Directors' report	4
Statement of directors' responsibilities	5
Balance Sheet	6
Statement of changes in equity	7
Notes to the financial statements	8 – 9

# **BNY MELLON SECRETARIES (UK) LIMITED**

## **BOARD OF DIRECTORS AND OTHER INFORMATION**

### **Directors**

Janet Mary Johnstone  
Sophia Aletta Jackman

### **Secretary**

Barbara McAll

### **Registered Office**

160 Queen Victoria Street  
London  
EC4V 4LA

### **Registered Number**

04115131

## **BNY MELLON SECRETARIES (UK) LIMITED**

### **STRATEGIC REPORT**

In accordance with Section 414A(1) of the Companies Act 2006, we have prepared the Strategic report which includes a review of BNY Mellon Secretaries (UK) Limited (the "Company") business and future developments, a description of the principal risks and uncertainties facing the Company.

#### **Business review**

The company had no business transactions during the year.

#### **Principal risks and uncertainties**

There are no specific risks or uncertainties impacting this company other than general market and economic conditions.

#### **Business and future developments**

The company has been dormant throughout the period and is expected to continue to be so.

#### **Approval**

By order of the Board

Janet Johnstone

Janet Johnstone (Sep 19, 2023 17:29 GMT+1)

Janet Johnstone  
Director  
14 September 2023

## **BNY MELLON SECRETARIES (UK) LIMITED**

### **DIRECTORS' REPORT**

The directors present their report and the accounts for the year ended 31 December 2022

#### **Principal activities**

The company is a non-trading entity.

The company has been dormant throughout the period and is expected to continue to be so.

#### **Directors**

The directors who served during the year and up to the date of the report were as follows:

	<b>Appointed</b>	<b>Resigned</b>
Janet Mary Johnstone		
Sophia Aletta Jackman		

#### **Directors' indemnity provision**

The articles of association of the Company provide that in certain circumstances the directors are entitled to be indemnified out of the assets of the Company against claims from third parties in respect of certain liabilities arising in connection with the performance of their functions, in accordance with the provisions of the Companies Act 2006. Indemnity provisions of this nature have been in place during the year but have not been utilised by the directors (2021: £nil).

#### **Disclosure of information to auditor**

For the year ending 31 December 2022, the company was entitled to exemption from audit under section 480 of the Companies Act 2006 relating to dormant companies.

#### **Post balance sheet events**

There are no material post balance sheet events.

By order of the Board

Janet Johnstone  
Janet Johnstone (Sep 19, 2023 17:29 GMT+1)

Janet Johnstone  
Director  
14 September 2023

## **BNY MELLON SECRETARIES (UK) LIMITED**

### **STATEMENT OF DIRECTORS' RESPONSIBILITIES IN RESPECT OF THE STRATEGIC REPORT, THE DIRECTORS' REPORT AND THE FINANCIAL STATEMENTS**

The directors are responsible for preparing the Strategic report, the Directors' report and the financial statements in accordance with applicable law and regulations.

Company law requires the directors to prepare financial statements for each financial year. Under that law they have elected to prepare the financial statements in accordance with UK Accounting Standards in conformity with the requirements of the Companies Act 2006 and applicable law (UK Generally Accepted Accounting Practice), including FRS 101: *Reduced Disclosure Framework*.

Under company law the directors must not approve the financial statements unless they are satisfied that they give a true and fair view of the state of affairs of the Company and of the profit or loss of the Company for that period. In preparing these financial statements, the directors are required to:

- select suitable accounting policies and then apply them consistently;
- make judgments and estimates that are reasonable, relevant and reliable;
- state whether they have been prepared in accordance with UK Accounting Standards in conformity with the requirements of the Companies Act 2006; and
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the Company will continue in business.

The directors are responsible for keeping adequate accounting records that are sufficient to show and explain the Company's transactions and disclose with reasonable accuracy at any time the financial position of the Company and enable them to ensure that the financial statements comply with the Companies Act 2006. They have general responsibility for taking such steps as are reasonably open to them to safeguard the assets of the Company and to prevent and detect fraud and other irregularities.

# BNY MELLON SECRETARIES (UK) LIMITED

## BALANCE SHEET AS AT 31 DECEMBER 2022

	2022 £	2021 £
<b>Current Assets</b>		
Other debtors	1	1
Net Assets	<u>1</u>	<u>1</u>
<b>Capital and Reserves</b>		
Called up share capital	<u>1</u>	<u>1</u>

Notes 1 to 5 are integral to these financial statements.

For the year ending 31 December 2022 the company was entitled to exemption from audit under section 480 of the Companies Act 2006 (the "Act") relating to dormant companies.

Directors' responsibilities:

- a) the members have not required the company to obtain an audit of its accounts for the year in question in accordance with section 476 of the Companies Act 2006.
- b) the directors acknowledge their responsibilities for complying with the requirements of the Act with respect to accounting records and the preparation of accounts.

These accounts have been prepared in accordance with the provisions applicable to companies subject to the small companies' regime.

These financial statements were approved by the Board of Directors and were signed on its behalf by:

Janet Johnstone  
Janet Johnstone (Sep 19, 2023 17:29 GMT+1)  
Janet Johnstone  
Director  
14 September 2023

# BNY MELLON SECRETARIES (UK) LIMITED

## STATEMENT OF CHANGES IN EQUITY

31 December 2022

	Called up share capital £	Total equity £
Balance at 1 January 2021	<u>1</u>	<u>1</u>
<b>Balance at 31 December 2021</b>	<b><u>1</u></b>	<b><u>1</u></b>

	Called up share capital £	Total equity £
Balance at 1 January 2022	<u>1</u>	<u>1</u>
<b>Balance at 31 December 2022</b>	<b><u>1</u></b>	<b><u>1</u></b>



## **BNY MELLON SECRETARIES (UK) LIMITED**

### **NOTES TO THE FINANCIAL STATEMENTS**

for the year ended 31 December 2022

#### **1. Accounting policies**

##### **1.1 Basis of preparation and statement of compliance with FRS 101**

The Company is a company incorporated and domiciled in the UK. The Company has been dormant throughout the period from incorporation to 31 December 2022.

These financial statements were prepared in accordance with FRS 101.

The Company's ultimate parent undertaking, The Bank of New York Mellon Corporation includes the Company and all its subsidiary undertakings in its consolidated financial statements. The consolidated financial statements of The Bank of New York Mellon Corporation are prepared in accordance with U.S. Generally Accepted Accounting Principles, which are equivalent to International Financial Reporting Standards ("adopted IFRSs"). The Bank of New York Mellon Corporation's consolidated financial statements are available at <https://www.bnymellon.com/us/en/investorrelations/overview.html>. Accordingly, the Company is a qualifying entity for the purpose of FRS 101 disclosure exemptions.

Therefore, in preparing these financial statements, the Company applies the recognition, measurement and disclosure requirements of international accounting standards in conformity with the requirements of the Companies Act 2006 ("Adopted IFRSs"), but makes amendments where necessary in order to comply with the Companies Act 2006 and has set out below where advantage of the FRS 101 disclosure exemptions has been taken.

The accounting policies set out below have, unless otherwise stated, been applied consistently to all periods presented in these financial statements.

##### **1.2 Profit and loss account**

The company has not prepared a profit and loss account as there has been no income or expense during the year.

##### **1.3 Related party transactions**

As the Company is a wholly owned indirect subsidiary of the ultimate parent company, The Bank of New York Mellon Corporation, it has taken advantage of the exemption contained in IAS 24 and has therefore not disclosed transactions or balances with entities which form part of the Group.

#### **2. Staff numbers and costs**

The Company had no employees during the year ended 31 December 2022 (2021: nil).

#### **3. Debtors**

	2022 £	2021 £
Accounts owned by group companies	1	1
Due within one year	1	1

## **BNY MELLON SECRETARIES (UK) LIMITED**

### **4. Capital and reserves**

#### ***Share capital***

	2022	2021
	£	£
<b>Allotted, called up and fully paid</b>		
Ordinary shares of £1 each	<u>1</u>	<u>1</u>

### **5. Ultimate parent company and parent company of larger group**

The immediate parent undertaking of the Company is The Bank of New York Mellon (International) Limited, a company registered in England and Wales. Copies of accounts for The Bank of New York Mellon (International) Limited can be obtained from the Registrar of Companies, Companies House, Crown Way, Cardiff, CF14 3UZ.

The largest and smallest group in which the results of the Company are consolidated is that headed by The Bank of New York Mellon Corporation, incorporated in the United States of America.

The ultimate parent company as at 31 December 2022 was The Bank of New York Mellon Corporation, incorporated in the United States of America. The consolidated accounts of the ultimate parent company may be obtained from:

The Secretary  
The Bank of New York Mellon  
240 Greenwich Street  
New York  
10286  
USA.