



Companies House

for the year

Please complete in typescript,
or in bold black capitals.

CHFP000

DSR.

W/M ✓

③

363a

Annual Return

Company Number 04066289

Company Name in full Chroma Therapeutics Limited

Date of this return

The information in this return is made up to

Day Month Year

29/08/2008

Date of next return

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here
Companies House will then send a form
at the appropriate time

Day Month Year

____/____/____

Registered Office

Show here the address at the date of
this return.

93 Milton Park

Any change of
registered office
must be notified
on form 287

Post town

Abingdon

County / Region

Oxon

UK Postcode

OX14 4RY

Principal business activities

Show trade classification code number(s)
for the principal activity or activities

7310

If the code number cannot be determined,
give a brief description of principal activity



A27

AXF4Q45P

21/10/2008

220

COMPANIES HOUSE

When you have completed and signed the form please send it to the
Registrar of Companies at

Companies House, Crown Way, Cardiff, CF14 3UZ

DX 33050 Cardiff

for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

DX 235 Edinburgh

for companies registered in Scotland

or LP - 4 Edinburgh 2

Register of members

If the register of members is not kept at the registered office, state here where it is kept

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

Company Secretary

* Voluntary details

(Please photocopy this area to provide details of joint secretaries)

Name

* Style / Title

Forename(s)

Richard Edward

Surname

Bungay

Address **

Chroma Therapeutics Ltd

93 Milton Park

Post town

Abingdon

County / Region

Oxon

UK Postcode

O X 1 4 4 R Y

Country

UK

** Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address



If a partnership give the names and addresses of the partners or the name of the partnership and office address

Details of a new company secretary must be notified on form 288a.

Directors

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

** Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

Name	* Style / Title			
		Day	Month	Year
Date of birth		0	3	0 3 / 1 9 5 6
Forename(s)	Peter Vance			
Surname	Allen			
Address **	Buckholm Mill			
<input checked="" type="checkbox"/>				
Post town	Galashiels			
County / Region	Selkirkshire	UK Postcode	T D 1 1 2 H B	
Country	UK	Nationality	British	
Business occupation	Director			

* Voluntary details

Name	* Style / Title			
		Day	Month	Year
Date of birth		2	9	0 8 / 1 9 5 7
Forename(s)	Timothy John			
Surname	Haines			
Address **	38 Jermyn Street			
<input checked="" type="checkbox"/>				
Post town	London			
County / Region		UK Postcode	S W 1 Y 6 D N	
Country	UK	Nationality	British	
Business occupation	Company Director			

SEE CONTINUATION SHEETS 1 AND 2

Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

** Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

Name * Style / Title _____

Day Month Year

Date of birth 0 2 / 0 6 / 1 9 4 7

Forename(s) Judith

Surname Hemberger

Address ** ☐ 2122 Uplands Circle

Post town Estes Park ✓

County / Region 80517 Colorado UK Postcode _____

Country USA Nationality American

Business occupation Director/Executive

* Voluntary details

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

** Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name * Style / Title _____

Day Month Year

Date of birth 2 5 / 0 8 / 1 9 6 0

Forename(s) Ian James

Surname Nicholson

Address ** ☐ Riverbank

High Street

Post town Long Wittenham

County / Region Oxon UK Postcode O X 1 4 4 Q H

Country UK Nationality British

Business occupation Company Director

Directors

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

** Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

Name	* Style / Title			
		Day	Month	Year
	Date of birth	2	5	08/19/59
	Forename(s)	Petri Tapani		
	Surname	Vainio		
Address **		68 Eaton Square		
	Post town	London		
	County / Region		UK Postcode	S W 1 W 9 A R
	Country	UK	Nationality	American/Finnish
Business occupation	Venture Capital Investor			

* Voluntary details

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

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Name	* Style / Title	Dr		
		Day	Month	Year
	Date of birth	2	9	01/19/62
	Forename(s)	Klaus		
	Surname	Vertinger		
Address **		9819 N Hilltop Lane		
	Post town	Mequon		
	County / Region	WI 53092	UK Postcode	
	Country	USA	Nationality	German/American
Business occupation	Consultant			

Issued share capital

Enter details of all the shares in issue at the date of this return

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
Ordinary	1,557,200	£1,557 20
A Ordinary	700,000	£700 00
B Ordinary	450,000	£450 00
C Ordinary	200,000	£200 00
Totals	41,428,206	£41,428 19

SEE CONTINUATION SHEETS 3 AND 4**List of past and present shareholders**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns

There were no changes in the period



on paper in another format

A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☐☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief

Signed



Date

17/9/2008

† Please delete as appropriate

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to Companies House.

This return includes

4

continuation sheets

(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Richard Bungay

Chroma Therapeutics Ltd, 93 Milton Park, Abingdon, Oxon OX14 4RY

Tel 01235 829137

DX number DX exchange

Issued share capital

Enter details of all the shares in issue at the date of this return

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
E Ordinary	190,000	£190 00
A Preferred Ordinary	1,766,874	£1,766 87
B Preferred Ordinary	10,629,340	£10,629 34
B-1 Preferred Ordinary	3,875,969	£3,875 96
Totals	41,428,206	£41,428 19

List of past and present shareholders

(Use attached schedule where appropriate)

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There were no changes in the period ☒

on paper in another format

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☐

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Date

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† a director /secretary

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Richard Bungay

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Tel 01235 829137

DX number DX exchange

Issued share capital

Enter details of all the shares in issue at the date of this return

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
C Preferred Ordinary	22,058,823	£22,058 82
Totals	41,428,206	£41,428 19

List of past and present shareholders

(Use attached schedule where appropriate)

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There were no changes in the period



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in another format

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