

AR01

# Annual Return

(For returns made up to a date on or after 1 October 2011)

BLUEPRINT

OneWorld

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A fee is payable with this form  
Please see 'How to pay' on the  
last page.

You can use the WebFiling service to file this form online.  
Please go to [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

☒ What this form is for  
You may use this form to confirm  
that the company information is  
correct as at the date of this return.  
You must file an Annual Return at  
least once every year

☐ What this form is **NOT**  
You cannot use this form to  
give notice of changes to  
officers, registered of  
company type or info  
relating to the comp.

SATURDAY



A40  
"A2BL2FW2"  
29/06/2013 #287  
COMPANIES HOUSE

## Part 1

## Company details

The section must be completed by all companies.

→ Filing in this form  
Please complete in typescript or in  
bold black capitals.  
  
All fields are mandatory unless  
specified or indicated by \*

### A1 Company details

Company number 0 4 0 0 7 7 6 4

Company name in full Global Coal Limited

Ⓢ Company name change  
If your company has recently  
changed its name, please provide  
the company name as at the date of  
this return

### A2 Return date

Please give the annual return made up date. The return date must not be a future  
date. The annual return must be delivered within 28 days of the date given below.

If you would like the company's made up date to be earlier than 1 October 2011,  
please complete the AR01 appropriate for earlier made up dates

Date of this return Ⓢ 0 5 0 6 2 0 1 3

Ⓢ Date of this return  
Your company's return date  
is usually the anniversary of  
incorporation or the anniversary  
of the last annual return filed at  
Companies House. You may choose  
an earlier return date but it must not  
be a later date.

### A3 Principal business activity

Please show the trade classification code number(s) for the principal  
activity or activities. Ⓢ

Classification code 1 8 2 9 9 0

Classification code 2 9 4 9 9 0

Classification code 3

Classification code 4

If you cannot determine a code, please give a brief description of your  
business activity below.

Principal activity  
description

Ⓢ Principal business activity  
You must provide a trade  
classification code (SIC code 2007)  
or a description of your company's  
main business in this section.  
  
A full list of the trade classification  
codes are available on our website  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

**AR01****Annual Return**

(For returns made up to a date on or after 1 October 2011)

**A4****Company type<sup>①</sup>**

Please confirm your company type by ticking the appropriate box below (only one box must be ticked)

- ☐ Public limited company  
☒ Private company limited by shares  
☐ Private company limited by guarantee  
☐ Private company limited by shares exempt under section 60  
☐ Private company limited by guarantee exempt under section 60  
☐ Private unlimited company with share capital  
☐ Private unlimited company without share capital

**① Company type**

If you are unsure of your company type, please check your latest certificate of incorporation or our website:  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

**A5****Registered office address<sup>②</sup>**

Building name/number	7th Floor
Street	Dashwood House 69 Old Broad Street
Post town	London
County/Region	
Postcode	E C 2 M 1 Q S

**② Change of registered office**

This must agree with the address that is held on the Companies House record at the date of this return.

If the registered office address has changed, you should complete form AD01 and submit it together with this annual return

**A6****Single alternative inspection location (SAIL) of the company records (if applicable)<sup>③</sup>**

Building name/number	
Street	
Post town	
County/Region	
Postcode	

**③ SAIL address**

This must agree with the address that is held on the Companies House record at the date of this return.

If the address has changed, you should complete form AD02 and submit it together with this annual return

**A7****Location of company records<sup>④</sup>**

Please tick the appropriate box to indicate which records are kept at the SAIL address in Section A6:

- ☐ Register of members.  
☐ Register of directors.  
☐ Directors' service contracts  
☐ Directors' indemnities  
☐ Register of secretaries  
☐ Records of resolutions etc.  
☐ Contracts relating to purchase of own shares.  
☐ Documents relating to redemption or purchase of own share out of capital by private company  
☐ Register of debenture holders.  
☐ Report to members of outcome of investigation by public company into interests in its shares.  
☐ Register of interests in shares disclosed to public company  
☐ Instruments creating charges and register of charges: England and Wales or Northern Ireland.  
☐ Instruments creating charges and register of charges. Scotland.

**④ Location of company records**

If the company records are held at the registered office address, do not tick any of the boxes in this section.

Certain records must be kept by every company while other records are only kept by certain company types where appropriate.

If the records are not kept at the SAIL address, they must be available at the registered office

If any of the company records have moved from the registered office to the address in Section A6 since the last annual return, you must complete form AD03 and submit it together with this annual return.

**AR01****Annual Return**

(For returns made up to a date on or after 1 October 2011)

**Part 2****Officers of the company**

This section should include details of the company at the date to which this annual return is made up.

- For a secretary who is an individual, go to Section B1
- For a corporate secretary, go to Section C1.
- For a director who is an individual, go to Section D1.
- For a corporate director, go to Section E1

**Continuation pages**

Please use a continuation page if you need to enter more officer details.

**Secretary****B1****Secretary's details •**

Please use this section to list all the secretaries of the company.  
For a corporate secretary, complete Section C1-C4.

Title\*

Full forename(s)

Surname

Former name(s) •

**• Secretary appointments**

You may not use this form to appoint a secretary. To do this, please complete form AP03 and submit it together with this annual return.

**Corporate details**

Please use Section C1-C4 to enter corporate secretary details.

**Secretary details**

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH03.

**• Former name(s)**

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**B2****Secretary's service address •**

Building name/number

Street

Post town

County/Region

Postcode

Country

**• Service address**

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

**AR01****Annual Return**

(For returns made up to a date on or after 1 October 2011)

**Corporate secretary****C1****Corporate secretary's details**

Please use this section to list all the corporate secretaries of the company.

Corporate body/firm name **Whale Rock Accounting Limited**Building name/number **4th Floor**Street **15 Basinghall Street**Post town **London**

County/Region

Postcode **E C 2 V 5 B R**Country **United Kingdom****Corporate secretary appointments**

You cannot use this form to appoint a corporate secretary. To do this, please complete form AP04 and submit it together with this annual return.

**Corporate secretary details**

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH04.

This information will appear on the public record.

**C2****Location of the registry of the corporate body or firm**

Is the corporate secretary registered within the European Economic Area (EEA)?

→ Yes Complete **Section C3** only→ No Complete **Section C4** only**C3****EEA companies**

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

Where the company/firm is registered **United Kingdom**Registration number **04473844****EEA**A full list of countries of the EEA can be found in our guidance: [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)

**C4****Non-EEA companies**

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the corporate body or firm

Governing law

If applicable, where the company/firm is registered

If applicable, the registration number

**Non-EEA**

Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.

**AR01****Annual Return**

(For returns made up to a date on or after 1 October 2011)

**Director****D1****Director's details**

Please use this section to list all the directors of the company.  
For a corporate director, complete Section E1-E4.

Title*	Mr																
Full forename(s)	Boghan																
Surname	Cunningham																
Former name(s)																	
Country/State of residence	United Kingdom																
Nationality	Irish																
Date of birth	<table><tr><td>d</td><td>0</td><td>d</td><td>6</td><td>m</td><td>0</td><td>m</td><td>4</td><td>y</td><td>1</td><td>y</td><td>9</td><td>y</td><td>6</td><td>y</td><td>7</td></tr></table>	d	0	d	6	m	0	m	4	y	1	y	9	y	6	y	7
d	0	d	6	m	0	m	4	y	1	y	9	y	6	y	7		
Business occupation (if any)	CEO																

**Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**

Please use Section E1-E4 to enter corporate director details.

**Director details**

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**Former name(s)**

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2****Director's service address**

Building name/number	4th Floor								
Street	15 Basinghall Street								
Post town	London								
County/Region									
Postcode	<table><tr><td>E</td><td>C</td><td>2</td><td>V</td><td></td><td>5</td><td>B</td><td>R</td></tr></table>	E	C	2	V		5	B	R
E	C	2	V		5	B	R		
Country	United Kingdom								

**Service address**

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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**AR01****Annual Return**

(For returns made up to a date on or after 1 October 2011)

**Director****D1****Director's details ●**

	Please use this section to list all the directors of the company. For a corporate director, complete Section E1-E4.									
Title*	Mr									
Full forename(s)	David Wayne									
Surname	Finch									
Former name(s)②										
Country/State of residence	Germany									
Nationality	American									
Date of birth	'0	'3	'0	'5	'1	'9	'6	'2		
Business occupation (if any)	None									

● **Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② **Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2****Director's service address ①**

Building name/number	Holzstr. 6									
Street										
Post town	Dusseldorf									
County/Region										
Postcode	4	0	2	2	1					
Country	Germany									

① **Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

**D1**

#### Director's details <sup>Ⓢ</sup>

Please use this section to list all the directors of the company.  
For a corporate director, complete Section E1-E4.

Title*	Mr
Full forename(s)	Davide
Surname	Giuliani
Former name(s) <sup>Ⓢ</sup>	
Country/State of residence	Italy
Nationality	Italian
Date of birth	d 1 d 3 m 0 m 8 y 1 y 9 y 7 y 0
Business occupation (if any)	Energy

**Ⓢ Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**Ⓢ Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2**

#### Director's service address <sup>Ⓢ</sup>

Building name/number	Via Del Sabelli 157
Street	
Post town	Rome
County/Region	
Postcode	0 0 1 8 5
Country	Italy

**Ⓢ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

<b>D1</b>	<b>Director's details <sup>①</sup></b>																	
	Please use this section to list all the directors of the company. For a corporate director, complete Section E1-E4																	
Title*	Ms																	
Full forename(s)	Tara																	
Surname	Goldsworthy																	
Former name(s) <sup>②</sup>																		
Country/State of residence	Australia																	
Nationality	Australian																	
Date of birth	<table><tr><td>d</td><td>1</td><td>d</td><td>6</td><td>m</td><td>0</td><td>m</td><td>4</td><td>y</td><td>1</td><td>y</td><td>9</td><td>y</td><td>6</td><td>y</td><td>9</td></tr></table>	d	1	d	6	m	0	m	4	y	1	y	9	y	6	y	9	
d	1	d	6	m	0	m	4	y	1	y	9	y	6	y	9			
Business occupation (if any)	Mining																	

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address <sup>③</sup></b>									
Building name/number	Unit 38-22									
Street	Florence Street									
Post town	Teneriffe									
County/Region	Queensland									
Postcode	<table><tr><td>4</td><td>0</td><td>0</td><td>5</td><td></td><td></td><td></td><td></td></tr></table>	4	0	0	5					
4	0	0	5							
Country	Australia									

**③ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.



# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

**D1**

#### Director's details <sup>①</sup>

Please use this section to list all the directors of the company.  
For a corporate director, complete Section E1-E4.

Title*	Mr
Full forename(s)	Daniel Simon
Surname	Grunbaum
Former name(s) <sup>②</sup>	
Country/State of residence	Switzerland
Nationality	Swiss
Date of birth	d 1 d 6 m 0 m 5 y 1 y 9 y 6 y 7
Business occupation (if any)	Commodity Trader

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2**

#### Director's service address <sup>③</sup>

Building name/number	Glencore International AG
Street	Baarermattstrasse 3
	P.O. Box 77
Post town	Baar
County/Region	ZG
Postcode	C H 6 3 4 1
Country	Switzerland

**③ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

**D1**

#### Director's details <sup>Ⓢ</sup>

Please use this section to list all the directors of the company. For a corporate director, complete Section E1-E4.	
Title*	Mr
Full forename(s)	David James
Surname	John
Former name(s) <sup>Ⓢ</sup>	
Country/State of residence	Singapore
Nationality	Australian
Date of birth	d 1 d 6 m 0 m 8 y 1 y 9 y 5 y 9
Business occupation (if any)	Coal Marketer

**Ⓢ Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**Ⓢ Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2**

#### Director's service address <sup>Ⓢ</sup>

Building name/number	73
Street	Farrer Drive
Post town	#11-04 Sommerville Park
County/Region	
Postcode	2 5 9 2 8 0
Country	Singapore

**Ⓢ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

**D1**

#### Director's details <sup>①</sup>

Please use this section to list all the directors of the company.  
For a corporate director, complete Section E1-E4.

Title*	Mr
Full forename(s)	Masahiko
Surname	Kage
Former name(s) <sup>②</sup>	
Country/State of residence	Japan
Nationality	Japanese
Date of birth	<div> <div>d</div> <div>1</div> <div>d</div> <div>7</div> <div>m</div> <div>0</div> <div>m</div> <div>1</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>6</div> <div>y</div> <div>6</div> </div>
Business occupation (if any)	Head of Planning Group

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2**

#### Director's service address <sup>③</sup>

Building name/number	Higashigokencho 2-2-402
Street	
Post town	Shinjuku
County/Region	Tokyo
Postcode	<div> <div>1</div> <div>6</div> <div>2</div> <div>-</div> <div>0</div> <div>8</div> <div>1</div> <div>3</div> </div>
Country	Japan

**③ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

**D1**

#### Director's details <sup>①</sup>

Please use this section to list all the directors of the company.  
For a corporate director, complete Section E1-E4.

Title*	Mr
Full forename(s)	Takashi
Surname	Kanamura
Former name(s) <sup>②</sup>	
Country/State of residence	Japan
Nationality	Japanese
Date of birth	<div> <div>d</div> <div>2</div> <div>7</div> <div>m</div> <div>0</div> <div>9</div> <div>y</div> <div>1</div> <div>9</div> <div>7</div> <div>1</div> </div>
Business occupation (if any)	Manager, Energy Business Development

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2**

#### Director's service address <sup>③</sup>

Building name/number	15-1
Street	Ginza 6-Chome
Post town	Tokyo
County/Region	
Postcode	<div> <div>1</div> <div>0</div> <div>4</div> <div>-</div> <div>8</div> <div>1</div> <div>6</div> <div>5</div> </div>
Country	Japan

**③ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

<b>D1</b>	<b>Director's details</b>		<p><b>Director appointments</b> You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.</p> <p><b>Corporate details</b> Please use Section E1-E4 to enter corporate director details.</p> <p><b>Director details</b> All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.</p> <p><b>Former name(s)</b> Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.</p>
	Please use this section to list all the directors of the company. For a corporate director, complete Section E1-E4.		
Title*	Mr		
Full forename(s)	Tor Carmichael		
Surname	Peterson		
Former name(s)			
Country/State of residence	Switzerland		
Nationality	American		
Date of birth	<div> <div>d</div> <div>0</div> <div>d</div> <div>7</div> <div>m</div> <div>0</div> <div>m</div> <div>8</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>6</div> <div>y</div> <div>4</div> </div>		
Business occupation (if any)	Head of Coal Department		

<b>D2</b>	<b>Director's service address</b>		<p><b>Service address</b> If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.</p> <p>This information will appear on the public record.</p>
Building name/number	Baaremattstrasse 3		
Street	P.O. Box 77		
Post town	Baar		
County/Region	ZG		
Postcode	<div> <div>C</div> <div>H</div> <div></div> <div>6</div> <div>3</div> <div>4</div> <div>1</div> <div></div> </div>		
Country	Switzerland		

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

<b>D1</b>	<b>Director's details</b> ●	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4.	
Title*	Mr	
Full forename(s)	Roy	
Surname	Poyntz	
Former name(s) ●		
Country/State of residence	United Kingdom	
Nationality	British	
Date of birth	<div>d</div> <div>1</div> <div>2</div> <div>m</div> <div>0</div> <div>7</div> <div>y</div> <div>1</div> <div>9</div> <div>5</div> <div>1</div>	
Business occupation (if any)	Consultant	

**● Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**● Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address</b> ●	
Building name/number	Chapelside	
Street	Crag Lane	
Post town	Huby	
County/Region		
Postcode	<div>L</div> <div>S</div> <div>1</div> <div>7</div> <div></div> <div>0</div> <div>B</div> <div>W</div>	
Country	United Kingdom	

**● Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

**D1**

#### Director's details <sup>①</sup>

Please use this section to list all the directors of the company.  
For a corporate director, complete Section E1-E4.

Title*	Mr
Full forename(s)	Martin
Surname	Rozendaal
Former name(s) <sup>②</sup>	
Country/State of residence	Germany
Nationality	Dutch
Date of birth	d 0 d 6 m 0 m 5 y 1 y 9 y 6 y 6
Business occupation (if any)	Lead Trader

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2**

#### Director's service address <sup>③</sup>

Building name/number	Holzstr. 6
Street	
Post town	Dusseldorf
County/Region	
Postcode	4 0 2 2 1
Country	Germany

**③ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

# AR01 - continuation page

## Annual Return

(For returns made up to a date on or after 1 October 2011)

### Director

**D1**

#### Director's details <sup>①</sup>

Please use this section to list all the directors of the company  
For a corporate director, complete Section E1-E4.

Title*	Mr
Full forename(s)	Paul Grainger
Surname	Townsend
Former name(s) <sup>②</sup>	
Country/State of residence	United Kingdom
Nationality	British
Date of birth	<div> <div>01</div> <div>09</div> <div>20</div> <div>08</div> <div>19</div> <div>95</div> <div>12</div> </div>
Business occupation (if any)	Accountant

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2**

#### Director's service address <sup>①</sup>

Building name/number	8
Street	Pound Meadow Sherfield on London
Post town	Hook
County/Region	Hampshire
Postcode	<div> <div>R</div> <div>G</div> <div>2</div> <div>7</div> <div>0</div> <div>E</div> <div>P</div> </div>
Country	United Kingdom

**① Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.



**AR01****Annual Return**

(For returns made up to a date on or after 1 October 2011)

**Corporate director****E1 Corporate director's details**

Please use this section to list all the corporate director's of the company

Corporate body/firm  
name

Building name/number

Street

Post town

County/Region

Postcode

Country

**Corporate director appointments**  
You cannot use this form to appoint a corporate director. To do this, please complete form AP02 and submit it together with this annual return.

**Corporate director details**

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH02.

This information will appear on the public record.

**E2 Location of the registry of the corporate body or firm**

Is the corporate director registered within the European Economic Area (EEA)?

→ Yes Complete **Section E3 only**→ No Complete **Section E4 only****E3 EEA companies**

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register

Where the company/  
firm is registered

Registration number

**EEA**

A full list of countries of the EEA can be found in our guidance:  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)

**E4 Non-EEA companies**

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register

Legal form of the  
corporate body  
or firm

Governing law

If applicable, where  
the company/firm is  
registeredIf applicable, the  
registration number**Non-EEA**

Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

**Part 3****Statement of capital <sup>①</sup>**

Does your company have share capital?

- Yes Complete the sections below and the following Part 4.  
→ No Go to Part 5 (Signature).

<sup>①</sup> This should reflect the company's capital status at the made up date of this annual return

**F1****Share capital in pound sterling (£)**

Please complete the table below to show each class of shares held in pound sterling.

If all your issued capital is in sterling, only complete Section F1 and then go to Section F4.

Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share <sup>②</sup>	Amount (if any) unpaid on each share <sup>③</sup>	Number of shares <sup>④</sup>	Aggregate nominal value <sup>⑤</sup>
Deferred	1.00	0.00	1	£ 1.00
Ordinary	0.38	0.00	514,689	£ 514.69
Preference	1.00	0.00	3,466,184	£ 34,661.84
				£
<b>Totals</b>			3,980,874	£ 35,177.53

**F2****Share capital in other currencies**

Please complete the table below to show any class of shares held in other currencies.

Please complete a separate table for each currency

Currency				
Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share <sup>②</sup>	Amount (if any) unpaid on each share <sup>③</sup>	Number of shares <sup>④</sup>	Aggregate nominal value <sup>⑤</sup>
<b>Totals</b>				

Currency				
Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share <sup>②</sup>	Amount (if any) unpaid on each share <sup>③</sup>	Number of shares <sup>④</sup>	Aggregate nominal value <sup>⑤</sup>
<b>Totals</b>				

**F3****Totals**

Please give the total number of shares and total aggregate nominal value of issued share capital.

Total number of shares

Total aggregate  
nominal value <sup>⑥</sup>

<sup>⑥</sup> Total aggregate nominal value  
Please list total aggregate values in  
different currencies separately. For  
example: £100 + €100 + \$10 etc.

<sup>②</sup> Including both the nominal value and any  
share premium

<sup>③</sup> Number of shares issued multiplied by  
nominal value of each share

**Continuation Pages**  
Please use a Statement of Capital continuation  
page if necessary.

<sup>④</sup> Total number of issued shares in this class.

**AR01****Annual Return**

(For returns made up to a date on or after 1 October 2011)

**F4****Statement of capital (Voting rights)**

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in Sections F1 and F2.

Class of share	Deferred
Voting rights	Does not entitle its holder to receive notice of or to attend or vote at any general meeting of the Company
Class of share	Ordinary
Voting rights	On a show of hands every member who (being an individual) is present in person or (being a corporation) is present by a duly authorised representative, not being himself a member entitled to vote, shall have one vote and on a poll every member shall have one vote for every share of which he is the holder
Class of share	Preference
Voting rights	On a show of hands, each holder of preference shares who (being an individual) is present in person or by proxy or (being a corporation) is present by a duly authorised representative or by proxy shall have one vote (provided that no person present shall be entitled to more than one vote on a show of hands) and, on a poll, each holder of preference shares shall have the number of votes for the preference shares held by him which he would have had if the preference shares held by him had been converted at the conversion rate then applicable into fully paid ordinary shares.
Class of share	
Voting rights	

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

## Part 4

## Shareholders

Does your company have share capital?

→ Yes go to Section G1 'Companies with share capital'.

→ No Go to Part 5 (Signature)

G1

### Companies with share capital

Question 1

Were any of the company's shares admitted to trading on a market at any time during this return period? Please tick the appropriate box below: ❶

☒ No go to Section G2 'Past and present shareholders'.

☐ Yes go to Question 2.

Question 2

Please only refer to Question 2 below if you have answered 'Yes' to Question 1. If you answered 'No', please go to Section G2 'Past and present shareholders'.

Did the company, throughout the return period, have any shares admitted to trading on a relevant market and was it, throughout the return period, an issuer to which DTR5 applies? Please tick the appropriate box below: ❷

☐ No go to Section G4 'Shareholders who hold at least 5% of any class of shares of the company as at the made up date of the return'

☐ Yes go to Part 5 'Signature'

❶ A market is one established under the rules of a UK recognised investment exchange or any other regulated markets in or outside of the UK, or any other market outside of the UK. The current UK recognised investment exchanges and regulated markets can be found at: [www.fsa.gov.uk/register/exchanges.do](http://www.fsa.gov.uk/register/exchanges.do)

❷ DTR5 refers to the Vote Holder and Issuer Notification Rules contained in Chapter 5 of the Disclosure and Transparency Rules source book issued by the Financial Services Authority. Notification is required when the percentage acquisition of a shareholder in the company has reached a certain threshold (starting at 3%)

G2

### List of past and present shareholders ❸

The company is required to provide a full list of past and present shareholders if one was not included with either of the last two returns. Please tick the appropriate box below

☒ There were no shareholder changes in this period. Go to Part 5 (Signature).

☐ A full list of shareholders is enclosed.

☐ A list of shareholder changes is enclosed

How is the list of shareholders enclosed. Please tick the appropriate box below.

☐ The list of shareholders is enclosed on paper Go to Section G3. 'List of past and present shareholders'

☐ The list of shareholders is enclosed in another format. Go to Part 5 (Signature).

❸ This section only applies to companies answering 'No' in Section G1.

# AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)

G3

### List of past and present shareholders\*

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year.

You must provide a 'full list' of all company shareholders on:

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

\* Please list the company shareholders in alphabetical order.

Joint shareholders should be listed consecutively

#### Further shareholders

Please use a 'List of past and present shareholders' continuation page if necessary

This section only applies to companies answering 'No' to Question 1 in Section G1.

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

# AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)

G4

### Shareholders who hold at least 5% of any class of share(s) of the company as at the made up date of this return

This section should show only the shareholders that hold at least 5% of any class of share(s) of the company at the date of this return.

It should only be completed by companies that have answered 'Yes' to Question 1 in Section G1, and 'No' to Question 2 in Section G1

If there were no shareholders holding at least 5% of any class of share(s) at the date of this return, this section may be left blank.

→ Go to Part 5 (Signature)

This section only applies to companies answering 'No' to Question 2 in Section G1

Please list the company shareholders in alphabetical order.

Joint shareholders should be listed consecutively

#### Further shareholders

Please use a 'Shareholders who hold at least 5% of any class of share(s) of the company as at the made up date of this return' continuation page if necessary

		Shares or stock currently held	
Shareholder's name	Shareholder's address	Class of share	Number of shares or amount of stock

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

**Part 5**

**Signature**

This must be completed by all companies.

I am signing this form on behalf of the company.

Signature

Signature

X

*M. M.*

X

FOR AND ON BEHALF OF NHALE ROCK ACCOUNTING  
LIMITED

This form may be signed by:

Director<sup>®</sup>, Secretary, Person authorised<sup>®</sup>, Charity commission receiver and  
manager, CIG manager, judicial factor.

**® Societas Europaea**

If the form is being filed on behalf  
of a Societas Europaea (SE) please  
delete 'director' and insert details  
of which organ of the SE the person  
signing has membership.

**® Person authorised**

Under either section 270 or 274 of  
the Companies Act 2006.

# AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)



### Presenter information

You do not have to give any contact information, but if you do it will help Companies House. If there is a query on the form, the contact information you give will be visible to searchers of the public record.

Contact name

Company name

Whale Rock Company

Secretariat Limited

Address

Ibex House, 2nd Floor

42-47 Minories

Post town

London

County/Region

Postcode

E C 3 N 1 D X

Country

United Kingdom

DX

Telephone



### Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ That if the made up date of the return is any earlier than 1 October 2011, you must complete the appropriate form AR01
- ☐ The company name and number match the information held on the public Register
- ☐ You have completed your principal business activity
- ☐ You have not used this form to make changes to the registered office address.
- ☐ You have not used this form to make changes to secretary and director details.
- ☐ You have fully completed the Statement of capital (if applicable)
- ☐ You have signed the form.
- ☐ You have enclosed the correct fee.



### Important information

Please note that all information on this form will appear on the public record.



### How to pay

A fee of £40 is payable to Companies House in respect of an Annual Return.

Make cheques or postal orders payable to 'Companies House.'



### Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

**For companies registered in England and Wales:**  
The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ.  
DX 33050 Cardiff.

**For companies registered in Scotland:**  
The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post)

**For companies registered in Northern Ireland:**  
The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG.  
DX 481 N.R. Belfast 1.



### Further information

For further information, please see the guidance notes on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)