

# LIQ13

## Notice of final account prior to dissolution in MVL



Companies House

For further information, please  
refer to our guidance at  
[www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)

### 1 Company details

Company number 03984621

Company name in full Henderson Asset Management Limited

#### → Filling in this form

Please complete in typescript or in  
bold black capitals.

### 2 Liquidator's name

Full forename(s) Ian Harvey

Surname Dean

### 3 Liquidator's address

Building name/number Teneo Financial Advisory Limited

Street The Colmore Building

20 Colmore Circus Queensway

Post town Birmingham

County/Region

Postcode B4 6AT

Country United Kingdom

### 4 Liquidator's name ①

Full forename(s) Stephen Roland

Surname Browne

#### ① Other liquidator

Use this section to tell us about  
another liquidator.

### 5 Liquidator's address ②

Building name/number Teneo Financial Advisory Limited

Street The Colmore Building

20 Colmore Circus Queensway

Post town Birmingham

County/Region

Postcode B4 6AT

Country United Kingdom

#### ② Other liquidator

Use this section to tell us about  
another liquidator.

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### 6 Final account

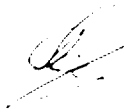
☒ I have delivered the final account of the winding up to the members in accordance with Section 94(2) and attach a copy.

### 7 Sign and date

Liquidator's signature

Signature

X



X

Signature date

<sup>d</sup>

1

<sup>d</sup>

7

<sup>m</sup>

0

<sup>m</sup>

1

<sup>y</sup>

2

<sup>y</sup>

0

<sup>y</sup>

2

<sup>y</sup>

4

# LIQ13

## Notice of final account prior to dissolution in MVL



### Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name Louise Bennett

Company name Teneo Financial Advisory Limited

Address The Colmore Building

20 Colmore Circus Queensway

Post town Birmingham

County/Region

Postcode B 4 6 A T

Country United Kingdom

DX

Telephone +44 (0) 20 8052 2374



### Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You have attached the required documents.
- ☐ You have signed the form.



### Important information

All information on this form will appear on the public record.



### Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the address below:

The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ.  
DX 33050 Cardiff.



### Further information

For further information please see the guidance notes on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)

**HENDERSON ASSET MANAGEMENT LIMITED (CRN: 03984621)  
HENDERSON ALTERNATIVE INVESTMENT ADVISOR LIMITED (CRN: 05387821)  
NEW STAR ASSET MANAGEMENT GROUP LIMITED (CRN: 06047952)  
(ALL IN MEMBERS' VOLUNTARY LIQUIDATION)  
("the Companies")**

**C/O Teneo Financial Advisory Limited  
The Colmore Building  
20 Colmore Circus Queensway  
Birmingham  
B4 6AT**

**FINAL ACCOUNTS PURSUANT TO RULE 5.9 OF THE INSOLVENCY (ENGLAND & WALES)  
RULES 2016 ("the Rules") AND SECTION 94 OF THE INSOLVENCY ACT 1986 (AS AMENDED)  
("the Act")**

5 January 2024

**Stephen Roland Browne and Ian Harvey Dean ("the Liquidators") were appointed Joint Liquidators of the Companies further to resolutions of the members of each company dated 14 March 2023. All licensed Insolvency Practitioners of Teneo Financial Advisory Limited ("Teneo") are licensed in the UK to act as Insolvency Practitioners by the Institute of Chartered Accountants in England and Wales.**

**For the purpose of section 231 of the Act, the Liquidators confirm that they are authorised to carry out all functions, duties and powers by either of them jointly and severally.**

**This report has been prepared for the sole purpose of updating the members for information purposes. The report may not be relied upon, referred to, reproduced or quoted from, in whole or in part, by the members for any purpose other than updating them for information purposes, or by any other person for any purpose whatsoever.**



**S. R. Browne and I. H. Dean  
Teneo Financial Advisory Limited  
The Colmore Building  
20 Colmore Circus Queensway  
Birmingham  
B4 6AT**

**Tel: +44 (0) 20 8052 2374**

## 1. SUMMARY OF EVENTS

Key Messages	Commentary
<b>Conduct of the liquidations</b>	<p>The matters completed during the liquidations include mandatory liquidation tasks such as statutory advertising, filings at Companies House, notifications of the liquidations and obtaining clearances/searches from HM Land Registry ("HMLR") and the Pension Tracing Service. In addition, a six monthly case review has also been carried out.</p> <p>The Liquidators also liaised with the Companies, their tax advisors and HM Revenue &amp; Customs ("HMRC") with regard to the submission of outstanding tax returns and the finalisation of all tax matters.</p> <p>Corporation Tax, VAT and PAYE/NIC clearances were obtained from HMRC on 25 September 2023 for all of the Companies.</p> <p>There have been no receipts or payments in any of the Companies. All costs, including our remuneration, have been met by Janus Henderson Administration UK Limited, a related company.</p> <p>No creditors' claims were notified to the Liquidators in respect of any of the Companies.</p>
<b>Costs of the liquidations</b>	<p>Please note all fees, costs and expenses, unless otherwise stated, are reported net of VAT.</p> <p>We have been paid £18,600 in total excluding expenses and disbursements. Please see sections 2.2 to 2.3 for further details.</p>
<b>Distributions to the members</b>	<p><u>Henderson Asset Management Limited</u></p> <p>A total of £5 was distributed by set-off to the member. Please see Appendix 1 for further details.</p> <p><u>Henderson Alternative Investment Advisor Limited</u></p> <p>A total of £95 was distributed by set-off to the member. Please see Appendix 1 for further details.</p> <p><u>New Star Asset Management Group Limited</u></p> <p>No distribution was made to the member as there were no surplus assets available for distribution.</p> <p>Please see Appendix 1 for further details.</p>

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## 2. LIQUIDATORS' RECEIPTS AND PAYMENTS ACCOUNTS AND COSTS

All costs have been met by Janus Henderson Administration UK Limited, a related company.

### 2.1 Receipts and Payments

There have been no transactions during the liquidations, as evidenced at Appendix 1.

### 2.2 Liquidators' Remuneration

The basis of our remuneration was fixed on a time costs basis by the members on 14 March 2023 however, as there were no funds in the estates, our fees have been met by Janus Henderson Administration UK Limited, a related company.

We have been paid £18,600 in total excluding expenses and disbursements.

### 2.3 Liquidators' Expenses (including disbursements)

Expenses are divided into those that do not need advance approval before they are charged (category 1 expenses) and those that do (category 2 expenses).

Category 1 expenses: These are payments made by us direct to third parties and for which no approval is required.

Category 2 expenses: Specific approval is required before these costs and expenses can be drawn from the liquidation estate.

#### Expenses (including disbursements) incurred in the report period

##### Category 1 Expenses

No Category 1 expenses were incurred in the report period.

##### Category 2 Expenses

No Category 2 expenses were incurred in the report period.

#### Summary of Expenses (including disbursements) incurred during the period of the liquidations

Details of all expenses (including disbursements) incurred in the report period and during the period of the liquidations are given below, and from which it can be seen that we have recovered our expenses in full.

##### Category 1 Expenses (disbursements)

£ (net)	Total cost for the period of the appointment	Unpaid
Statutory Advertising	654.48	-
HMLR Search Fees	132.00	-
Liquidators' Bonding	20.00	-
<b>Total expenses</b>	<b>806.48</b>	-

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### **3. INFORMATION FOR THE MEMBERS**

#### **3.1 Members' right to request further information**

Members of the Company with at least 5% in value of the total voting rights of all the members having the right to vote at general meetings of the Company, or, any member with permission of the Court, may, in writing, request us to provide additional information regarding remuneration or expenses to that already supplied within this report. Such requests must be made within 21 days of receipt of this report, in accordance with Rule 18.9 of the Rules.

#### **3.2 Members' right to challenge Remuneration and/or Expenses**

Members of the Company with at least 10% of the total voting rights of all the members having the right to vote at general meetings of the Company, or, any member with permission of the Court, may apply to the Court for one or more orders (in accordance with Rule 18.34 of the Rules), reducing the amount or the basis of remuneration which we are entitled to charge or otherwise challenging some or all of the expenses incurred.

Such applications must be made within eight weeks of receipt by the applicant(s) of the progress report detailing the remuneration and/or expenses being complained of, in accordance with Rule 18.34 of the Rules.

Please note that such challenges may not disturb remuneration or expenses approved or deemed to be approved under prior progress reports.

"A Members' Guide to Liquidators' Remuneration" is available on request using the contact details provided on page 1 which will be provided to you at no cost.

**Henderson Asset Management Limited**

**Joint Liquidators' final receipts and payments account for the period from 14 March 2023 to 5 January 2024.**

£	Declaration of Solvency values	Notes	14/03/2023 to 05/01/2024
<b>Receipts</b>			
Receivable from Parent Company	5	1	-
<b>Total receipts</b>	<b>5</b>		<b>Nil</b>
<b>Payments</b>			
<b>Total payments</b>	<b>Nil</b>		<b>Nil</b>
<b>Balance</b>	<b>5</b>		<b>Nil</b>

**Notes**

1. A first and final distribution by set-off was made to the member, Henderson Alternative Investment Advisor Limited (in Liquidation) on 26 October 2023. A total of £5 was distributed in respect of the intercompany receivable balance due to the Company from Henderson Alternative Investment Advisor Limited (in Liquidation), which equates to a rate of £5 per 1 Ordinary B Share.



**Henderson Alternative Investment Advisor Limited**

Joint Liquidators' final receipts and payments account for the period from 14 March 2023 to 5 January 2024.

£	Declaration of Solvency values	Notes	14/03/2023 to 05/01/2024
<b>Receipts</b>			
Investment in Subsidiary	5	1	-
Receivable from Parent Company	95	2	-
<b>Total receipts</b>	<b>100</b>		<b>Nil</b>
<b>Payments</b>			
<b>Total payments</b>	<b>Nil</b>		<b>Nil</b>
<b>Balance</b>	<b>100</b>		<b>Nil</b>

**Notes**

1. The company received a distribution from its subsidiary, Henderson Asset Management Limited (in Liquidation) on 26 October 2023, in respect of the intercompany balance owed totalling £5. The distribution was satisfied by the release of Henderson Alternative Investment Advisor Limited's obligation to repay Henderson Asset Management Limited the debtor balance. This distribution extinguished the investment in subsidiary balance, leaving £95 of surplus assets in the liquidation.
2. A first and final distribution by set-off was made to the member, New Star Asset Management Group Limited (in Liquidation) on 26 October 2023. A total of £95 was distributed in respect of the intercompany receivable balance due to the Company from New Star Asset Management Group Limited (in Liquidation), which equates to a rate of £0.0474762618690655 per 1 Ordinary Share.

**New Star Asset Management Group Limited**

**Joint Liquidators' final receipts and payments account for the period from 14 March 2023 to 5 January 2024.**

£	Declaration of Solvency values	Notes	14/03/2023 to 05/01/2024
<b>Receipts</b>			
Investment in Subsidiary	100	1	-
<b>Total receipts</b>	100		<b>Nil</b>
<b>Payments</b>			
<b>Total payments</b>	<b>Nil</b>		<b>Nil</b>
<b>Balance</b>	<b>100</b>		<b>Nil</b>

**Notes**

1. The company received a distribution from its subsidiary, Henderson Alternative Investment Advisor Limited (in Liquidation) on 26 October 2023, in respect of the intercompany balance owed totalling £95. The distribution was satisfied by the release of New Star Asset Management Group Limited's obligation to repay Henderson Alternative Investment Advisor Limited the debtor balance. This distribution extinguished the investment in subsidiary balance, leaving no assets available for distribution to its member.

This document is confidential and prepared solely for your information. Therefore you should not, without our prior written consent, refer to or use our name or this document for any other purpose, disclose them or refer to them in any prospectus or other document, or make them available or communicate them to any other party. No other party is entitled to rely on our document for any purpose whatsoever and thus we accept no liability to any other party who is shown or gains access to this document.

Teneo Financial Advisory Limited is registered in England & Wales with registered number 13192958 and its registered office at The Carter Building, 11 Pilgrim Street, London, EC4V 6RN, United Kingdom.