



JORDANS

Please complete in typescript, or in
bold black capitals.

363a

Annual Return

CHFP001

Company number

3969011 ✓

Company name in full

New Star Investment Trust PLC ✓

Date of this return

The information in this return is made up to

Day Month Year

0 5 / 0 4 / 2 0 0 9

Date of next return

If you wish to make your next return to a date
earlier than the anniversary of this return
please show that date here. Companies
House will then send a form at the
appropriate time.

Day Month Year

/ / / / / /

Registered Office

Show here the address at the date of
this return

1 Knightsbridge Green

Any change of
registered office
must be notified
on form 287

Post town

London ✓

County/Region

UK Postcode

S W I X 7 N E

Principal business activities

Show trade classification code number(s) for
the principal activity or activities

6523

If the code number cannot be determined



A62 29/05/2009 205
COMPANIES HOUSE
A46 02/05/2009 324
COMPANIES HOUSE

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ
for companies registered in England or Wales or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
For companies registered in Scotland

DX 33050 Cardiff

DX ED235 Edinburgh 1
or LP-4 Edinburgh 2

Register of members

If the register of members is not kept at the registered office, state here where it is kept

Equiniti Ltd, Holm Oak Business Park, Martlets Way

Post town

Goring by Sea

County/Region

Worthing ✓

UK Postcode

B N 1 2 4 Q Y

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept

Post town

County/Region

UK Postcode

Company type

Public limited company

☒

Private company limited by shares

☐

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 30

☐

Private company limited by guarantee exempt under section 30

☐

Private unlimited company with share capital

☐

Private unlimited company without share capital

☐

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a

* Voluntary details
(Please photocopy this area to provide details of joint secretaries).

Name *Style/Title

Forename(s)

Surname

Address ††

Post town

County/Region

UK Postcode

Country

NEW STAR ASSET MANAGEMENT LIMITED

1 Knightsbridge Green

London

S W 1 X 7 N E

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

If a partnership, give the names and addresses of the partners or the name of the partnership and office address

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

<input type="checkbox"/>	Name	*Style/Title	Mr									
	Date of birth		Day	Month	Year							
			1	0	/	0	6	/	1	9	3	9
	Forename(s)		John Lincoln									
	Surname		DUFFIELD									
	Address ††		Down End House									
			Chieveley									
	Post town		Newbury									
	County/Region		Berkshire									
	UK Postcode		R	G	2	0	8	T	G			
Country												
Nationality		British										
Business occupation		Chairman										

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

<input type="checkbox"/>	Name	*Style/Title	Mr									
	Date of birth		Day	Month	Year							
			2	0	/	0	6	/	1	9	4	6
	Forename(s)		Marcus John									
	Surname		Gregson									
	Address ††		Boarded Barn House,									
			Copford,									
	Post town		Cochester,									
	County/Region		Essex									
	UK Postcode		C	O	6		1	D	P			
Country												
Nationality		British										
Business occupation		Company Director										

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Issue share capital

Enter details of all the shares in issue at the date of this return

Class (e.g.
Ordinary/Preference)

Number of shares
issued

Aggregate Nominal
Value
(i.e. Number of shares
issued multiplied by
nominal value per share, or
total amount of stock)

ORDINARY £0.01 ✓

71,023,695 ✓

£710,237

Totals

£710,237

Traded public companies

A traded public company means a company any of whose shares are shares admitted to trading on a regulated market

Please tick this box if your company was a traded public company at any time during the period of this return

**List of past and present shareholders**

(use attached schedule where appropriate)

Please tick the appropriate box below:

On paper

In another
format

Private or non-traded public companies are required to provide a "full list" if one was not included with either of the last two returns.

A full list of shareholders for a private or non-traded public company is enclosed. **Please complete Schedule A.**

☐☐

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns.

A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed. **Please complete Schedule B.**

☐☐

A list containing shareholder changes is enclosed

☐☒

→ For private or non-traded public companies, **please complete Schedule A**

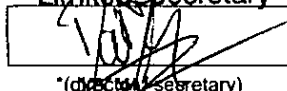
→ For traded public companies, **please complete Schedule B**

There were no shareholder changes in this period

☐**Certificate**

For and on behalf of
I certify that the information given in this return is true to the best of my knowledge and belief
New Star Asset Management
Limited, Secretary

Signed



Date

30/04/2009

* Please delete as appropriate

When you have signed the return, send it with the fee to the Registrar of Companies. Make cheques payable to Companies House.

(delete if Secretary)

This return includes

0

continuation sheets

(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

DX number

DX exchange

Directors

Please list the directors in alphabetical order

Details of new directors must be notified on form 288a

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Name *Style/Title

Day Month Year

Date of birth 1 9 / 0 7 / 1 9 4 4

Forename(s) Geoffrey

Surname Howard - Spink

Address †† West Lodge,

☐

21 Christchurch Road,

Post town London

County/Region

UK Postcode S W 1 4 7 A B

Country

Nationality British

Business occupation Company Director

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Directors

Please list the directors in alphabetical order

Details of new directors must be notified on form 288a

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Name *Style/Title Mr

Day Month Year

Date of birth 2 8 / 0 2 / 1 9 3 5

Forename(s) James Kenneth

Surname ROE

Address †† Petleys, Lusted Road

☐

Downe

Post town Orpington

County/Region Kent

UK Postcode B R 6 7 J S

Country

Nationality British

Business occupation Company Director

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Run Date: 06-Apr-2009 01:00:05

ANNUAL RETURN

Reg no: 3969011 Client: New Star Investment Trust PLC

Report Between: 06-Apr-2008 to 05-Apr-2009

LIST OF CHANGES TO MEMBERS' DETAILS AND SHARE PARTICULARS, SINCE THE DATE OF THE LAST RETURN, AS REQUIRED UNDER SECTION 854 OF COMPANIES ACT 2006

An asterisk in the final column denotes that the Holder(s) now re-appears elsewhere in the register.

STOCK CODE FULL STOCK TITLE
1137 Ordinary 1p Shares

NAME AND STOCK ADDRESS	DATE	DESIGNATION	STOCK CODE	BALANCE OF HOLDING
NORTRUST NOMINEES LIMITED 50 BANK STREET CANARY WHARF LONDON E14 5NT			1137	7,367,886
-23,984	08-Apr-2008			
2,802	08-Apr-2008			
-5,715	09-Apr-2008			
-38,696	10-Apr-2008			
-8,270	20-May-2008			
-122,916	28-May-2008			

-127,741	28-May-2008		
-10,000	02-Jul-2008		
-10,000	03-Jul-2008		
250,657	15-Jul-2008		
-17,608	25-Jul-2008		
-12,967	19-Aug-2008		
-10,000	22-Aug-2008		
-100,000	24-Sep-2008		
-3,597	07-Nov-2008		
-9,524	27-Jan-2009		
-84,592	10-Feb-2009		
-31,999	13-Feb-2009		
-20,000	23-Mar-2009		
2,386,545	26-Mar-2009		
		RKCLT	1137
			2,801,702
PERSHING NOMINEES LIMITED CAPSTAN HOUSE ONE CLOVE CRESCENT EAST INDIA DOCK LONDON E14 2BH			
2,386,545	26-Mar-2009		

STOCK CODE ISSUED CAPITAL
 1137 71,023,695

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