



Companies House

— for the record —

Company Name

NEW STAR INVESTMENT TRUST  
PLC ✓

Company Type

Public Limited Company

Company Number

3969011 ✓

Information extracted from  
Companies House records on  
13th March 2004

Bulk List.

56 pages.

A/R (5/4/04)  
disk.

000/95/65

## 363s Annual Return

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.



### Section 1: Company details

Ref: 3969011/09/28

	Current details	Amended details																								
> Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>23 Cathedral Yard</b> <b>Exeter</b> <b>Devon EX1 1HB</b>	Address _____ _____ _____ UK Postcode    _ _ _ _ _																								
> Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Address where the Register is held</b> <b>Lloyds Tsb Registrars</b> <b>The Causeway</b> <b>Worthing</b> <b>West Sussex BN99 6DA</b>	Address _____ _____ _____ UK Postcode    _ _ _ _ _																								
> Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Not Applicable</b>	Address _____ _____ _____ UK Postcode    _ _ _ _ _																								
> Principal Business Activities <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>6523</td> <td>Other financial intermediation</td> </tr> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> </tbody> </table>	SIC Code	Description	6523	Other financial intermediation									<table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> </tbody> </table>	SIC CODE	Description										
SIC Code	Description																									
6523	Other financial intermediation																									
SIC CODE	Description																									
> Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.																										

## Section 2: Details of Officers of the Company

	Current details	Amended details
<b>&gt; Company Secretary</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>    <i>Particulars of a new Company Secretary must be notified on form 288a.</i>	<b>Name</b> <b>SINCLAIR HENDERSON LIMITED</b>  <b>Address</b> <b>23 Cathedral Yard</b> <b>Exeter</b> <b>Devon</b> <b>EX1 1HB</b>	<b>Name</b>  <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. <b>Address</b>    UK Postcode <u>      </u> <u>      </u> <u>      </u> Date of change <u>  </u> / <u>  </u> / <u>  </u> Date SINCLAIR HENDERSON LIMITED ceased to be secretary (if applicable) <u>  </u> / <u>  </u> / <u>  </u>
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>    <i>Particulars of a new Director must be notified on form 288a.</i>	<b>Name</b> <b>Martin BOASE</b>  <b>Address</b> <b>27 St Leonards Terrace</b> <b>London</b> <b>SW3 4QG</b>   <b>Date of birth</b> 14/07/1932  <b>Nationality</b> British  <b>Occupation</b> Director	<b>Name</b>  <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. <b>Address</b>    UK Postcode <u>      </u> <u>      </u> <u>      </u> Date of birth <u>  </u> / <u>  </u> / <u>  </u> Nationality <u>                          </u> Occupation <u>                          </u> Date of change <u>  </u> / <u>  </u> / <u>  </u> Date Martin BOASE ceased to be director (if applicable) <u>  </u> / <u>  </u> / <u>  </u>

### Amended details

## Name \_\_\_\_\_

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

UK Postcode    \_ \_ \_ \_    \_ \_ \_

Date of birth       /    /   

Nationality \_\_\_\_\_

Occupation \_\_\_\_\_

Date of change    /    /

Date John Egwin CRAIG CBE ceased to be director (if applicable)

11 / 11 / 11

## Name

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

**Nationality** British

**Occupation**    **Company Director**

UK Postcode    \_ \_ \_ \_ \_

Date of birth    \_ \_ / \_ \_ / \_ \_ \_ \_

Nationality \_\_\_\_\_

Occupation \_\_\_\_\_

Date of change    /    /

Date John Lincoln DUFFIELD ceased to be director (if applicable)

44 / 44 / 44 / 44

## &gt; Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Particulars of a new Director must be notified on form 288a.

## Current details

## Name

Geoffrey HOWARD-SPINK

## Address

West Lodge 21 Christchurch Road  
London  
SW14 7AB

Date of birth 19/07/1944

Nationality British

Occupation Director

## Amended details

## Name

☐

Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

## Address

UK Postcode \_ \_ \_ \_ \_

Date of birth \_ \_ / \_ \_ / \_ \_ \_ \_

Nationality \_ \_ \_ \_ \_

Occupation \_ \_ \_ \_ \_

Date of change \_ \_ / \_ \_ / \_ \_ \_ \_

Date Geoffrey HOWARD-SPINK ceased to be director (if applicable)

\_ \_ / \_ \_ / \_ \_ \_ \_

**Issued share capital details**

- > Please fill in the details of total share capital by class (eg. ordinary, preference etc) that has been issued to the company's shareholders.

Class of Share

ORDINARY 1p

Number of shares issued

71,023,695 ✓

Aggregate Nominal Value of issued shares

£710,236.95 ✓

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

- > Please fill in the total number of issued shares and their total nominal value.

Number of shares issued

Aggregate Nominal Value of issued shares

**List of past and present members** (Tick appropriate box)

- > Please complete the required information on the attached schedules or in another format agreed by Companies House.

- ☐ There were no changes during the period  
☐ A list of changes is enclosed  
☒ A full list of members is enclosed

The last full list of members was received on: 05/04/2003

**REMEMBER:**

**Changes** to shareholder particulars or details of shares transferred to be **completed each year**  
 A full list of shareholders is required with the first and every third Annual Return thereafter  
 List shareholders in alphabetical order or provide an index  
 List joint shareholders consecutively

**Section 4: Details of New Shareholders and Transfers (A) (ii)**

- > Please fill in details of any persons or corporate bodies who have become shareholders since the last annual return.
- > Please fill in details of any persons or corporate bodies that have transferred shares since the last annual return.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			

**Section 4B: Details of Former Shareholders**

- > Please fill in details of any persons or corporate bodies who have ceased to be shareholders at the date of this return. Also, please give the dates that their shares were transferred.
- > Please copy this page if there is not enough space to enter all the company's former shareholders.

Former shareholders details	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _		



Companies House

— for the record —

## 363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

## 1. Declaration

- ☐ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

Date

15/04/2004

(Director/Secretary)  
FOR AND ON BEHALF OF  
SINCLAIR HENDERSON LTD.

This date must not be earlier than the  
return date at 2 below

What to do now SECRETARY

Complete this page then send the whole of the Annual Return and the  
declaration to the address shown at 4 below.

## 2. Date of this return

- ☐ This AR is made up to 5/4/2004 ☒ If you are making this return up to an earlier date, please give the date here

\_ \_ / \_ \_ / \_ \_ \_ \_

Note: The form must be delivered to CH within 28 days of this date

## 3. Date of next return

- ☐ If you wish to change your next return to a date earlier than 5th April 2005 please give the new date here:

\_ \_ / \_ \_ / \_ \_ \_ \_

## 4. Where to send this form

- ☐ Please return this form to:

Registrar of Companies  
Companies House  
Crown Way  
Cardiff CF14 3UZ

OR

For members of the Hays Document  
Exchange service  
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the  
reverse of the cheque?

## Contact Address

You do not have to give any contact information below, but if you do, it will help  
Companies House to contact you if there is a query on the form. The contact  
information that you give will be visible to searchers of the public record.

Contact Name

Georgina Morgan

Telephone number inc code

01392 477510

Address

Sinclair Henderson Ltd  
Beaufort House,  
St New North Road,  
Exeter

DX number if applicable

\_ \_ \_ \_ \_

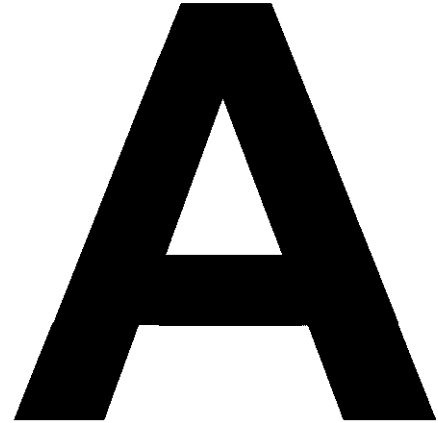
DX exchange

Postcode

EX4 4EP



**FORM ML8  
DISK/FICHE**



**BULK LIST OF SHAREHOLDERS OR MEMBERS FOR COMPANY  
NUMBER - 3696011**

**A BULK LIST OF SHAREHOLDERS OR MEMBERS FOR THIS  
COMPANY HAS BEEN LODGED BUT DOES NOT APPEAR ON  
THIS ANNUAL RETURN MICROFICHE.**

**COMPANIES HOUSE DIRECT CUSTOMERS PHONE – 08457  
573991**

**WEB CUSTOMERS PHONE – 0870 3333636**