



Companies House

for the record

000002 / 15

Company Name

INTERACTIVE BROKERS (U.K.) LIMITED

363s Annual Return

Company Type

Private Company Limited By Shares

Company Number

3958476

Information extracted from
Companies House records on
9th March 2002

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in cap*



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COMPANIES HOUSE
COMPANIES HOUSE

0624
08/05/02
25/04/02

Section 1: Company details

Ref: 3958476/03/10

	Current details	Amended details
> Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	10 Arthur Street London EC4R 9AY	Address UK Postcode _ _ _ _ _
> Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Address where the Register is held At Registered Office	Address UK Postcode _ _ _ _ _
> Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Not Applicable	Address UK Postcode _ _ _ _ _
> Principal Business Activities <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	SIC Code Description 6712 Security broking & fund management	SIC CODE Description _ _ _ _ _____ _ _ _ _ _____ _ _ _ _ _____ _ _ _ _ _____ _ _ _ _ _____
> <i>Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.</i>		

	Current details	Amended details
> Company Secretary If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. <i>Particulars of a new Company Secretary must be notified on form 288.</i>	Name Paul J BRODY Address 305 Weed Street New Canaan Ct 06840 America U.S.A.	Name Address UK Postcode _ _ _ _ _ Date of change _ / _ / _ _ _ _ Date Paul J BRODY ceased to be secretary (if applicable) _ / _ / _ _ _ _
> Company Secretary If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. <i>Particulars of a new Company Secretary must be notified on form 288.</i>	Name Gerald PEREZ Address Vestry Court 5 Monck Street London SW1P 2BQ	Name Address UK Postcode _ _ _ _ _ Date of change _ / _ / _ _ _ _ Date Gerald PEREZ ceased to be secretary (if applicable) _ / _ / _ _ _ _
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. <i>Particulars of a new Director must be notified on form 288.</i>	Name Gerald PEREZ Address Vestry Court 5 Monck Street London SW1P 2BQ Date of birth 13/10/1963 Nationality American U.S. citizen Occupation Senior Compliance Officer MANAGING DIR	Name Address UK Postcode _ _ _ _ _ Date of birth _ / _ / _ _ _ _ Nationality U.S. CITIZEN Occupation MANAGING DIRECTOR Date of change _ / _ / _ _ _ _ Date Gerald PEREZ ceased to be director (if applicable) _ / _ / _ _ _ _

	Current details	Amended details
Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Name Thomas PETERFFY Address 4 Sound Shore Drive Greenwich Ct Usa 06830 Date of birth 30/09/1944 Nationality American Occupation Chairman	Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Thomas PETERFFY ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Name Robert Eames PRIOR Address Huobrain 16A Cham 6300 Switzerland Date of birth 26/11/1960 Nationality Us Citizen Occupation Managing Director	Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation <u>DIRECTOR</u> Date of change _ _ / _ _ / _ _ _ _ Date Robert Eames PRIOR ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

Particulars of a new Director must be notified on form 288.

Particulars of a new Director must be notified on form 288.

Section 3: Share Capital

	Current details	Amended details
> Issued Share Capital <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details.</i>	Class of share Ordinary A Nominal value of each share £1.00 Number of shares issued 700 700 Aggregate Nominal Value of issued shares £1.00 £700.00	Class of share ORDINARY "B" Nominal value of each share PLEASE VIEW ATTACHMENT/ €1.00 Number of shares issued ADDENDUM 125,000 Aggregate Nominal Value of issued shares €125,000
> Total shares issued and value <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Total number of shares issued 700 700 Total Nominal value of shares issued £1.00 £700.00	Total number of shares issued 125,000 Total Nominal value of shares issued €125,000
> At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at www.companieshouse.gov.uk or by ringing 0870 3333636.		

Section 4: Details of Shareholders

- > The details we hold on your company's shareholders and their shareholdings are printed below. These are based on your last Annual Return.
- > If any details have changed, or if any shares have been transferred, please fill in the details in the "Amended details" or "Shares transferred" column.
- > Please give details of any other shareholders in Section 5.

Current details		Amended details		Shares transferred		
> Shareholder Name INTERACTIVE BROKERS GROUP PLE LLC		Name INTERACTIVE BROKERS GROUP LLC		Shares transferred by INTERACTIVE BROKERS GROUP PLE LLC		
Address 2 Pickwick Plaza Greenwich United States Of America		Address 2 PICKWICK PLAZA GREENWICH U. S. A				
		UK Postcode _ _ _ _ _				
Shares held Class Ordinary A Ordinary B		Shares held Class Number				
	Number	Class	Number	Class	Number	Date of transfer
	1	A (Nominal £1.00)	700			__/__/__
	2	B (Nominal £1.00)	125,000			__/__/__

Section 5: Details of Other Shareholders

- > Please fill in details of any persons or corporate bodies who are shareholders of the company at the date of this return, but whose details are not printed in Section 4.
- > Also, provide the details of any persons who became but have ceased to be shareholders of the company since the date of the last annual return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please copy this page if there is not enough space to enter all the company's other shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			



Companies House
— for the record —

363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

1. Declaration

- ☒ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

[Signature]
(Director/Secretary)

Date 24 / 04 / 2002

*This date must not be earlier than the
return date at 2 below*

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

2. Date of this return

- ☐ This AR is made up to 28/3/2002 If you are making this return up to an earlier date, please give the date here

__ / __ / ____

Note: The form must be delivered to CH within 28 days of this date

3. Date of next return

- ☐ If you wish to change your next return to a date earlier than **28th March 2003** please give the new date here:

__ / __ / ____

4. Where to send this form

- ☐ Please return this form to:
Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ
- OR
- For members of the Hays Document
Exchange service
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Cheque ☒ Postal Order ☐ Cheque / Postal Order
Number _____

(Please complete as appropriate)

Contact Address

Please give the name and address of the person who should be contacted if there are any queries about this form.

Contact Name

GERARD PEREZ

Telephone number inc code

Address

10 ARTHUR STREET, FIRST FLOOR
LONDON EC4R 9AY

DX number if applicable

DX exchange

Postcode
