



*Companies House*  
— for the record —

**363a<sup>(ef)</sup>**

**Annual Return**



**XK10JALW**

*Received for filing in Electronic Format on the:* **10/06/2009**

*Company Name:* **OLD BROAD STREET RESEARCH LIMITED**

*Company Number:* **03776633**

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### *Company Details*

*Period Ending:* **20/05/2009**

*Company Type:* **PRIVATE COMPANY LIMITED BY SHARES**

*Principal Business Activities:*

*SIC codes:*

**6713**

*Registered Office  
Address:*

*Register of  
Members Address:*

*Register of Debenture  
Holders Address:*

**2ND FLOOR 1  
WESTFERRY CIRCUS  
CANARY WHARF  
LONDON  
E14 4HD**

## *Details of Officers of the Company*

### *Company Secretary 1:*

*Name:* **RICHARD BASIL FRANK  
DOWNS**

*Address:* **11 FOXGLOVE CLOSE  
WINKFIELD ROW  
BRACKNELL  
BERKSHIRE  
RG42 7NW**

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### *Director 1 :*

*Name:* **RICHARD BASIL FRANK  
DOWNS**

*Address:* **11 FOXGLOVE CLOSE  
WINKFIELD ROW  
BRACKNELL  
BERKSHIRE  
RG42 7NW**

*Date of Birth:* **03/09/1955**    *Nationality:* **British**

*Occupation:* **MANAGING DIRECTOR**

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### *Director 2 :*

*Name:* **MR PETER IAN ESPENHAHN**

*Address:* **79 MOUNT ARARAT ROAD  
RICHMOND  
SURREY  
TW10 6PL**

*Date of Birth:* **14/03/1944**    *Nationality:* **British**

*Occupation:* **CONSULTANT**

*Director 3 :*

*Name:* **MR RICHARD PETER  
ROMER-LEE**

*Address:* **HESELWELL ANCIENT LANE,  
WEYHILL  
ANDOVER  
HAMPSHIRE  
SP11 0PS**

*Date of Birth:* **09/07/1965**    *Nationality:* **British**

*Occupation:* **COMPANY DIRECTOR**

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*Director 4 :*

*Name:* **STUART RHYS STRADLING**

*Address:* **68 HOWARDS LANE  
PUTNEY  
LONDON  
SW15 6QD**

*Date of Birth:* **09/08/1944**    *Nationality:* **British**

*Occupation:* **BANKER**

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*Director 5 :*

*Name:* **NIGEL WHITTINGHAM**

*Address:* **TARANDUNE WELLPOND GREEN  
STANDON  
HERTFORDSHIRE  
SG11 1NJ**

*Date of Birth:* **24/03/1954**    *Nationality:* **British**

*Occupation:* **DIRECTOR**

## Share Capital

### Issued Share Capital Details:

<i>Class of Share</i>	<i>Number of Shares issued</i>	<i>Aggregate Nominal value of issued Shares</i>
<b>ORDINARY</b>	<b>505000</b>	<b>GBP505000</b>
<b>ORDINARY B</b>	<b>0</b>	<b>GBP0</b>
<b>PREFERENCE</b>	<b>0</b>	<b>GBP0</b>

### TOTALS

**505000**

**GBP505000**

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### Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 20/05/2009 or that had ceased to be shareholders since the made up date of the previous Annual Return

#### Shareholding 1:

**0 ORDINARY B Shares held as at 20/05/2009**  
**20000 DISPOSED OF IN PERIOD ON 19/12/2007**

*Name:* **GUY NEVILLE DAWSON**

*Address:*

*Shareholding 2:*

**42500 ORDINARY Shares held as at 20/05/2009**

*Name:*

**RICHARD PETER ROMER-LEE**

*Address:*

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*Shareholding 3:*

**0 PREFERENCE Shares held as at 20/05/2009**

*Name:*

**RICHARD PETER ROMER-LEE**

*Address:*

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*Shareholding 4:*

**0 PREFERENCE Shares held as at 20/05/2009**

*Name:*

**RICHARD BASIL FRANK DOWNS**

*Address:*

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*Shareholding 5:*

**25000 ORDINARY Shares held as at 20/05/2009**

*Name:*

**GAVIN ALEXANDER FRASER LICKLEY**

*Address:*

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*Shareholding 6:*

**5000 ORDINARY Shares held as at 20/05/2009**

*Name:*

**PETER THORNYCROFT ROMER-LEE**

*Address:*

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*Shareholding 7:*

**0 PREFERENCE Shares held as at 20/05/2009**

*Name:*

**ROBERT JOHN DOWSETT**

*Address:*

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*Shareholding 8:*

**40000 ORDINARY Shares held as at 20/05/2009**

*Name:*

**ROBERT JOHN DOWSETT**

*Address:*

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*Shareholding 9:*

**12500 ORDINARY Shares held as at 20/05/2009**

*Name:*

**GAVIN ALEXANDER FRASER LICKLEY**

*Address:*

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*Shareholding 1:*

**0**

**0 PREFERENCE Shares held as at 20/05/2009**

*Name:*

**GAVIN ALEXANDER FRASER LICKLEY**

*Address:*

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*Shareholding 1:*  
*1*      **155000 ORDINARY Shares held as at 20/05/2009**

*Name:*                      **PETER IAN ESPENHAHN**

*Address:*

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*Shareholding 1:*  
*2*      **0 PREFERENCE Shares held as at 20/05/2009**

*Name:*                      **STUART RHYS STRADLING**

*Address:*

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*Shareholding 1:*  
*3*      **0 PREFERENCE Shares held as at 20/05/2009**

*Name:*                      **GUY NEVILLE DAWSON**

*Address:*



*Shareholding 1:*  
4 **5000 ORDINARY Shares held as at 20/05/2009**

*Name:* **ROBERT JOHN DOWSETT**

*Address:*

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*Shareholding 1:*  
5 **85000 ORDINARY Shares held as at 20/05/2009**

*Name:* **RICHARD BASIL FRANK DOWNS**

*Address:*

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*Shareholding 1:*  
6 **100000 ORDINARY Shares held as at 20/05/2009**

*Name:* **STUART RHYS STRADLING**

*Address:*

*Shareholding 1:*  
7 **0 PREFERENCE Shares held as at 20/05/2009**

*Name:* **DAVID MICHAEL HARRIS**

*Address:*

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*Shareholding 1:*  
8 **5000 ORDINARY Shares held as at 20/05/2009**

*Name:* **LYNNE GILLIAN ROMER-LEE**

*Address:*

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*Shareholding 1:*  
9 **0 PREFERENCE Shares held as at 20/05/2009**

*Name:* **PETER IAN ESPENHAHN**

*Address:*

*Shareholding 2:*  
*0*      **30000 ORDINARY Shares held as at 20/05/2009**

*Name:*              **NIGEL WHITTINGHAM**

*Address:*

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*Authorisation*

*Authoriser Designation:* **director**

*Date Authorised:* **10/06/2009**

*Authenticated:* **Yes (E/W)**