

00146630



Company Name

OLD BROAD STREET RESEARCH 363s Annual Return LIMITED

Company Type

Private Company Limited by Shares

> Please check the details printed in the "Current details" column

> If any details are wrong, strike them through in the "Amended details" column

> Please complete in black ink and

Company Number

3776633

 Information extracted from
Companies House records on
16th June 2008
Section 1: Company details

SATURDAY



A24

 21/06/2008
COMPANIES HOUSE

431

Ref 3776633/09/28

Current details
Amended details

> Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column</i>	1 Park Place Canary Wharf London E14 4HJ	Address <u>1 Westferry Circus</u> <u>Canary Wharf</u> <u>London</u> UK Postcode <u>E14 4HD</u>																		
> Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column</i>	Address where the Register is held At Registered Office	Address _____ _____ _____ UK Postcode _ _ _ _ _																		
> Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column</i>	Not Applicable	Address _____ _____ _____ UK Postcode _ _ _ _ _																		
> Principal Business Activities <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column</i> > Please enter additional principal activity code(s) in "Amended details" column <i>See notes for guidance for list of activity codes</i>	<table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>6713</td> <td>Auxiliary financial intermed</td> </tr> </tbody> </table>	SIC Code	Description	6713	Auxiliary financial intermed	<table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr><td>_____</td><td>_____</td></tr> <tr><td>_____</td><td>_____</td></tr> <tr><td>_____</td><td>_____</td></tr> <tr><td>_____</td><td>_____</td></tr> <tr><td>_____</td><td>_____</td></tr> <tr><td>_____</td><td>_____</td></tr> </tbody> </table>	SIC CODE	Description	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
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Section 2: Details of Officers of the Company

	Current details	Amended details
> Company Secretary <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column</i> <i>Particulars of a new Company Secretary must be notified on form 288a</i>	Name Richard Basil Frank DOWNS Address 11 Foxglove Close Winkfield Row Bracknell Berkshire RG42 7NW	Name <hr/> <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Address <hr/> <hr/> UK Postcode <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> Date of change <u> </u> <u> </u> / <u> </u> <u> </u> / <u> </u> <u> </u> <u> </u> <u> </u> Date Richard Basil Frank DOWNS ceased to be secretary (if applicable) <u> </u> <u> </u> / <u> </u> <u> </u> / <u> </u> <u> </u> <u> </u> <u> </u>
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column</i> <i>Particulars of a new Director must be notified on form 288a</i>	Name Richard Basil Frank DOWNS Address 11 Foxglove Close Winkfield Row Bracknell Berkshire RG42 7NW Date of birth 03/09/1955 Nationality British Occupation Managing Director	Name <hr/> <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Address <hr/> <hr/> UK Postcode <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> Date of birth <u> </u> <u> </u> / <u> </u> <u> </u> / <u> </u> <u> </u> <u> </u> <u> </u> Nationality <u> </u> Occupation <u> </u> Date of change <u> </u> <u> </u> / <u> </u> <u> </u> / <u> </u> <u> </u> <u> </u> <u> </u> Date Richard Basil Frank DOWNS ceased to be director (if applicable) <u> </u> <u> </u> / <u> </u> <u> </u> / <u> </u> <u> </u> <u> </u> <u> </u>

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	Current details	Amended details
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column</i> <i>Particulars of a new Director must be notified on form 288a</i>	Name Peter Ian ESPENHAHN Address 79 Mount Ararat Road Richmond Surrey TW10 6PL Date of birth 14/03/1944 Nationality British Occupation Consultant	Name <hr/> <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Address <hr/> <hr/> <hr/> UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Peter Ian ESPENHAHN ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column</i> <i>Particulars of a new Director must be notified on form 288a</i>	Name Richard Peter ROMER-LEE Address Hesselwell Ancient Lane, Weyhill Andover Hampshire SP11 0PS Date of birth 09/07/1965 Nationality British Occupation Company Director	Name <hr/> <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Address <hr/> <hr/> <hr/> UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Richard Peter ROMER-LEE ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

	Current details	Amended details
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column</i> <i>Particulars of a new Director must be notified on form 288a</i>	Name Stuart Rhys STRADLING Address 68 Howards Lane Putney London SW15 6QD Date of birth 09/08/1944 Nationality British Occupation Banker	Name <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Stuart Rhys STRADLING ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column</i> <i>Particulars of a new Director must be notified on form 288a</i>	Name Nigel WHITTINGHAM Address Taradune Taradune Wellpond Green Standon Hertfordshire SG11 1NJ Date of birth 24/03/1954 Nationality British Occupation Director	Name <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Nigel WHITTINGHAM ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

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Issued share capital details

> Please fill in the details of total share capital by class (eg ordinary, preference etc) that has been issued to the company's shareholders

Class of Share

Ordinary

Number of shares issued

687,000

Aggregate Nominal Value of issued shares

£687,000

Class of Share

Preference

Number of shares issued

115,000

Aggregate Nominal Value of issued shares

£115,000

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

> Please fill in the total number of issued shares and their total nominal value

Number of shares issued

802,000

Aggregate Nominal Value of issued shares

£802,000**List of past and present members** (Tick appropriate box)

> Please complete the required information on the attached schedules or in another format agreed by Companies House

- ☐ There were no changes during the period
☒ A list of changes is enclosed
☒ A full list of members is enclosed

The last full list of members was received on: 20/05/2006

> REMEMBER:

Changes to shareholder particulars or details of shares transferred to be **completed each year**
 A full list of shareholders is required with the first and every third Annual Return thereafter
 List shareholders in alphabetical order or provide an index
 List joint shareholders consecutively

Section 4: Details of New Shareholders and Transfers (A) (ii)

- > Please fill in details of any persons or corporate bodies who have become shareholders since the last annual return
- > Please fill in details of any persons or corporate bodies that have transferred shares since the last annual return
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company
- > Please copy this page if there is not enough space to enter all the company's current shareholders

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name <u>Nigel Whittingham</u> Address <u>Tarandune, Wellpond Green,</u> <u>Standon</u> <u>Hertfordshire</u> UK Postcode <u>SG11 1WJ</u>	30,000 ordinary Shares.		
Name <u>Guy Neville Dawson</u> Address <u>77 Onslow Square</u> <u>London</u> UK Postcode <u>SW7 3LT</u>		Sold 20,000 shares	19.12.07.
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			

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Section 4B: Details of Former Shareholders

- > Please fill in details of any persons or corporate bodies who have ceased to be shareholders at the date of this return. Also, please give the dates that their shares were transferred
- > Please copy this page if there is not enough space to enter all the company's former shareholders

Former shareholders details	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		

363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below
- > If you want to change the made up date of this annual return, please complete 2 below

1. Declaration

- ☐ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below) I enclose the filing fee of £30

Signature

(Director / Secretary)

Date

20 / 06 / 2008

This date must not be earlier than the return date at 2 below

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below

2. Date of this return

- ☒ This AR is made up to
20/5/2008

If you are making this return up to an earlier date, please give the date here

__ / __ / ____

Note The form must be delivered to CH within 28 days of this date

3. Date of next return

- ☐ If you wish to change your next return to a date earlier than **20th May 2009** please give the new date here

__ / __ / ____

4. Where to send this form

- ☒ Please return this form to

Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ

OR

For members of the Hays Document
Exchange service
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Contact Address

You do not have to give any contact information below, but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record

Contact Name

A Downes

Telephone number inc code

0202 029 3660

Address

2nd floor

2 Indgate Broadway

DX number if applicable

DX exchange

Postcode

EC4V 6DX

Company Number - 3776633

Full list of members

Shareholders details	Class and number of shares or amount of stock held
Name Guy Neville Dawson Address 77 Onslow Square London SW7 3LT	17,500 Preference Shares
Name Richard Basil Frank Downs Address 11 Foxglove Close Winkfield Row Bracknell Berkshire RG42 7NW	85,000 Ordinary Shares 10,000 Preference Shares
Name R J Dowsett Address 6 Gayton Crescent London NW3 1TT	45,000 Ordinary Shares 17,500 Preference Shares
Name Peter Ian Espenhahn Address 79 Mount Ararat Road Richmond Surrey TW10 6PL	155,000 Ordinary Shares 25,000 Preference Shares
Name David Michael Harris Address 4 Telconia Close Headley Down Hants GU35 8ED	5,000 Preference Shares

Company Number - 3776633

Shareholders details	Class and number of shares or amount of stock held
Name Gavin A F Lickley	37,500 Ordinary Shares
Address 6 Westmoreland Road London SW13 9RY	17,500 Preference Shares
Name Mrs L G Romer-Lee	5,000 Ordinary Shares
Address Denton House Weston Road Upton Grey Basingstoke RG25 2RJ	5,000 Ordinary Shares
Name P T Romer-Lee	5,000 Ordinary Shares
Address Denton House Weston Road Upton Grey Basingstoke RG25 2RJ	5,000 Ordinary Shares
Name Richard Peter Romer-Lee	42,500 Ordinary Shares
Address Hesselwell Ancient Lane Weyhill Andover Hampshire SP11 0PS	5,000 Preference Shares

Shareholders details	Class and number of shares or amount of stock held
Name Stuart Rhys Stradling Address 68 Howards Lane Putney London SW15 6QD	100,000 Ordinary Shares 17,500 Preference Shares
Name Nigel Whittingham Address Tarandune Wellpond Green Standon Hertfordshire SG11 1NJ	30,000 Ordinary Shares

Company Number - 3776633

History of OBSR Share Capital

On 10 January 2001, 40,000 fully paid-up ordinary shares of £1each were issued

On 26 February 2001, 185,000 fully paid-up ordinary shares of £1each were issued

On 17 October 2002 a resolution was passed to increase the authorised share capital from £610,000 to £735,000 because of the introduction of an option scheme

Of the available 125,000 share capital 105,000 shares have been granted but none have been exercised

Options granted to

K A Jones	2,000
A P Gaspar	2,000
L J Juniper	1,000
R B F Downs	45,000
R P Romer-Lee	55,000

In December 2007 £10,000 shares were bought by Nigel Whittingham

On 17th January 2008 a resolution was passed to increase the authorised share capital from £735,000 to £802,000

Options granted to

Gillian Hutchison	15,000
Phil Lindsay	10,000
Nicholas Whalley	10,000
Adrian Gaspar	7,000
John Harper	5,000
Nigel Whittingham	30,000