



Companies House

**AR01** (ef)

**Annual Return**



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**X397YS8O**

*Company Name:* **BNY MELLON CAPITAL MARKETS EMEA LIMITED**

*Company Number:* **03766757**

*Date of this return:* **07/05/2014**

*SIC codes:* **64999**

*Company Type:* **Private company limited by shares**

*Situation of Registered Office:* **ONE CANADA SQUARE  
LONDON  
E14 5AL**

**Officers of the company**

## *Company Secretary 1*

*Type:* **Corporate**  
*Name:* **BNY MELLON SECRETARIES (UK) LIMITED**

*Registered or principal address:* **160 QUEEN VICTORIA STREET  
LONDON  
UNITED KINGDOM  
EC4V 4LA**

## *European Economic Area (EEA) Company*

*Register Location:* **160 QUEEN VICTORIA STREET, LONDON, EC4V 4LA**  
*Registration Number:* **04115131**

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## *Company Director 1*

*Type:* **Person**  
*Full forename(s):* **IAN**

*Surname:* **GASS**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **21/03/1977** *Nationality:* **BRITISH**  
*Occupation:* **NONE**

*Company Director*    **2**

Type: **Person**  
Full forename(s): **RICHARD JOHN**

Surname: **GILL**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **10/06/1963**                      Nationality: **BRITISH**

Occupation: **BANKING**

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*Company Director*    **3**

Type: **Person**  
Full forename(s): **JOHN MEIKLE**

Surname: **JOHNSTON**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **01/08/1960**                      Nationality: **BRITISH**

Occupation: **BANKER**

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*Company Director*    **4**

Type:                                **Person**  
Full forename(s):                **JAMES C**

Surname:                            **MCELENEY**

Former names:

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **25/10/1965**                                *Nationality:*    **US CITIZEN**

*Occupation:*    **NONE**

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*Company Director*    **5**

Type:                                **Person**  
Full forename(s):                **MARK STEPHEN**

Surname:                            **MILITELLO**

Former names:

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*    **HONG KONG**

*Date of Birth:*    **25/07/1962**                                *Nationality:*    **BRITISH**

*Occupation:*    **BANKING INDUSTRY HEAD OF  
GLOBAL MARKETS APAC BNY**

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*Company Director*    **6**

*Type:*                      **Person**

*Full forename(s):*        **ROBIN**

*Surname:*                **SAVCHUK**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **10/05/1965**                      *Nationality:*    **CANADIAN**

*Occupation:*        **INTERNATIONAL TREASURER**

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## Statement of Capital (Share Capital)

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<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>2</b>
		<i>Aggregate nominal value</i>	<b>2</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

EACH SHARE IS ENTITLED TO ONE VOTE. EACH SHARE IS ENTITLED PARIPASSU TO PARTICIPATE IN DISTRIBUTION ENSUING FROM A WINDING UP OF THE COMPANY.

<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>20044979</b>
		<i>Aggregate nominal value</i>	<b>20044979</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

EACH SHARE IS ENTITLED TO ONE VOTE. EACH SHARE IS ENTITLED PARIPASSU TO PARTICIPATE IN DISTRIBUTION ENSUING FROM A WINDING UP OF THE COMPANY.

<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>15750000</b>
		<i>Aggregate nominal value</i>	<b>15750000</b>
<i>Currency</i>	<b>USD</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

EACH SHARE IS ENTITLED TO ONE VOTE. EACH SHARE IS ENTITLED PARIPASSU TO PARTICIPATE IN DISTRIBUTION ENSUING FROM A WINDING UP OF THE COMPANY.

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## Statement of Capital (Totals)

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<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>20044981</b>
		<i>Total aggregate nominal value</i>	<b>20044981</b>
<i>Currency</i>	<b>USD</b>	<i>Total number of shares</i>	<b>15750000</b>
		<i>Total aggregate nominal value</i>	<b>15750000</b>

## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 07/05/2014 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for the company are shown below*

*Shareholding 1* : **15750000 ORDINARY shares held as at the date of this return**  
*Name:* **BNY INTERNATIONAL FINANCING CORPORATION**

*Shareholding 2* : **20044981 ORDINARY shares held as at the date of this return**  
*Name:* **BNY INTERNATIONAL FINANCING CORPORATION**

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## *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.