

33  
45 x 3  
703.44

Please complete in typescript,  
or in bold black capitals.

## Annual Return

CHFP010

Company Number

3766757

Company Name in full

BNY Clearing Services International Limited

### Date of this return

The information in this return is made up  
to

Day		Month		Year		
0	7	0	5	2	0	0

### Date of next return

If you wish to make your next return to a  
date earlier than the anniversary of this  
return please show the date here.

Companies House will then send a form at  
the appropriate time.

Day		Month		Year		

### Registered Office

Show here the address at the date of  
this return.

Aquis Court, 31 Fishpool Street

Any change of  
registered office **must**  
be notified on form  
287.

Post town

St Albans

County / Region

Hertfordshire

UK Postcode

AL3 4RF

### Principal business activities

Show trade classification code number(s)  
for the principal activity or activities.

6511

If the code number cannot be determined,  
give a brief description of principal  
activity.



When you have completed and signed the form please send it to the  
Registrar of Companies at:

**Companies House, Crown Way, Cardiff, CF14 3UZ**

**DX 33050 Cardiff**

for companies registered in England and Wales

or

**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**

for companies registered in Scotland

**DX 235 Edinburgh**

**Register of members**

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

**Register of Debenture holders**

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

**Company type**

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

**Company Secretary**

(Please photocopy this area to provide details of joint secretaries).

\* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

Name

\* Style / Title

Mr

Forename(s)

Patrick Chou

Surname

Wo

Address

1996 East 28th Street

Post town

County / Region

BROOKLYN, New York

UK Postcode

11229

Country

USA

Details of a new company secretary must be notified on form 288a.

**Directors***Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

**Name** \* Style / Title | Mr

**Date of birth** Day Month Year  
 | 0 | 5 | 0 | 7 | 1 | 9 | 6 | 1

**Forename(s)** | Michael

**Surname** | Grana

**Address** | 15 Rambling Brook Drive

**Post town** | Holmdell

**County / Region** | New Jersey 07733 **UK Postcode** |

**Country** | USA **Nationality** | American

**Business occupation** | Compliance Officer

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

\* Voluntary details.

**Name** \* Style / Title | Mr

**Date of birth** Day Month Year  
 | 1 | 3 | 1 | 1 | 1 | 9 | 6 | 3

**Forename(s)** | Francis Joseph

**Surname** | Lasalla

**Address** | 1170 Bridge Pointe Lane, Yorktown Heights

**Post town** |

**County / Region** | New York **UK Postcode** | 10598

**Country** | **Nationality** | American

**Business occupation** | Chief Executive Officer

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

**Directors***Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

<b>Name</b>	<b>* Style / Title</b>	Mr	
		Day	Month
		Year	
Date of birth		2	4
		0	9
		1	9
		6	0
Forename(s)	Patrick Chou		
Surname	Wo		
<b>Address</b>	1996 East 28th Street		
Post town			
County / Region	BROOKLYN, New York	UK Postcode	11229
Country	USA	Nationality	American
<b>Business occupation</b>	Financial Officer		

\* Voluntary details.

<b>Name</b>	<b>* Style / Title</b>		
		Day	Month
		Year	
Date of birth			
Forename(s)			
Surname			
<b>Address</b>			
Post town			
County / Region		UK Postcode	
Country		Nationality	
<b>Business occupation</b>			

**Directors** In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

**Usual residential address** must be given. In the case of a corporation, give the registered or principal office address.

**Issued share capital**

Enter details of all the shares in issue at the date of this return.

	<b>Class</b> (e.g. Ordinary/Preference)	<b>Number of shares issued</b>	<b>Aggregate Nominal Value</b> (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
See attached schedule			
<b>Totals</b>			

**List of past and present shareholders**

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

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on paper

in another format

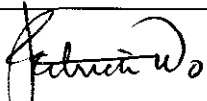
A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

**Signed****Date**

5/23/01

† Please delete as appropriate.

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

4

continuation sheets.

(enter number)

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

Aquis Court, 31 Fishpool Street, St Albans,

Hertfordshire, AL3 4RF

Tel: 01727 733107

Fax: 01727 733001

Tel

DX number 6132

DX exchange St Albans

## Issued share capital Schedule to form 363a

CHFP010      **Company Number** | 3766757

**Company Name in full** | BNY Clearing Services International Limited

**Currency** | Pounds Sterling

### Issued share capital

Enter details of all the shares in issue  
at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
ORDINARY	2	£2.00
<b>Totals</b>	2	2.00

## Issued share capital Schedule to form 363a

CHFP010      **Company Number** | 3766757

**Company Name in full** | BNY Clearing Services International Limited

**Currency** | US Dollar

### Issued share capital

Enter details of all the shares in issue  
at the date of this return.

Class <i>(e.g. Ordinary/Preference)</i>	Number of shares issued	Aggregate Nominal Value <i>(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)</i>
Ordinary	1,000,000	US\$1,000,000.00
<b>Totals</b>	1,000,000	1,000,000.00

## List of past and present shareholders Schedule to form 363a

CHFP010

Company Number | 3766757

Company Name in full | BNY Clearing Services International Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following the incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate)  Date of registration of transfer
Name BHF Securities Corporation  Address 590 Madison Avenue, 28th Floor, New York  UK postcode   NY 10022	£1.00 ORDINARY     Shares Held 0	2	27/07/2000
Name BNY International Financing Corporation  Address 1 Wall Street, 31st Floor, New York, New York, USA  UK postcode   10286	£1.00 ORDINARY     Shares Held 2		
Name   Address   UK postcode			



## List of past and present shareholders Schedule to form 363a

CHFP010

**Company Number** | 3766757

**Company Name in full** | BNY Clearing Services International Limited

- > Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- > You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following the incorporation;
  - Every third annual return after a full list has been provided
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- > List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred <i>(if appropriate)</i>	Date of registration of transfer
<b>Name</b> BHF Securities Corporation  <b>Address</b> 590 Madison Avenue, 28th Floor, New York <b>UK postcode</b>   NY 10022	US\$1.00 Ordinary          Shares Held 0	1,000,000	27/07/2000
<b>Name</b> BNY International Financing Corporation  <b>Address</b> 1 Wall Street, 31st Floor, New York, New York, USA  <b>UK postcode</b>   10286	US\$1.00 Ordinary          Shares Held 1,000,000		
<b>Name</b>    <b>Address</b>    <b>UK postcode</b>			