



Companies House

— for the record —

Company Name

OXXON THERAPEUTICS LIMITED **363s Annual Return**

120053/15

Company Type

Private Company Limited By Shares

Company Number

3671700

Information extracted from
Companies House records on
23rd October 2004

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.

Section 1: Company details



Ref: 3671700/05/19

	Current details	Amended details																
> Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	2nd Floor Park Gate 25 Milton Park Oxford Oxfordshire OX14 4SH	Address _____ _____ _____ UK Postcode _ _ _ _ _																
> Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Address where the Register is held At Registered Office	Address _____ _____ _____ UK Postcode _ _ _ _ _																
> Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Not Applicable	Address _____ _____ _____ UK Postcode _ _ _ _ _																
> Principal Business Activities <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>2441</td> <td>Mfr of basic pharmaceutical prods</td> </tr> </tbody> </table>	SIC Code	Description	2441	Mfr of basic pharmaceutical prods	<table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr><td>_____</td><td>_____</td></tr> <tr><td>_____</td><td>_____</td></tr> <tr><td>_____</td><td>_____</td></tr> <tr><td>_____</td><td>_____</td></tr> <tr><td>_____</td><td>_____</td></tr> </tbody> </table>	SIC CODE	Description	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
SIC Code	Description																	
2441	Mfr of basic pharmaceutical prods																	
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_____	_____																	
_____	_____																	
_____	_____																	
_____	_____																	
_____	_____																	
> <i>Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.</i>		_____ _____ _____																

Amended details

Name _____

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

UK Postcode _ _ _ _ _
Date of change _ _ / _ _ / _ _ _ _
Date WCPHD SECRETARIES LIMITED
ceased to be secretary (if applicable)
 _ _ _ _ / _ _ _ _ / _ _ _ _

Name _____

Figure 1: Schematic representation of the experimental design. The diagram shows a sequence of events: a 10-second fixation cross, followed by a 10-second presentation of a stimulus (a word), and then a 10-second presentation of a response (a word). The stimulus and response are presented in a box. The response is then followed by a 10-second presentation of a word, which is then followed by a 10-second presentation of a word. The sequence ends with a 10-second presentation of a word.

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

UK Postcode Date of birth / /

Nationality _____

Occupation _____

Date of change / /

Date Dr Roger William
BRIMBLECOMBE ceased to be director
(if applicable) - - - / - - - / - - -

Particulars of a new Director
must be notified on form
288a.

	Current details	Amended details
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Name Dr John Robert BROWN This is a service address for the beneficiary of a Confidentiality Order. Address 2 Orchard Road Royston Hertfordshire SG8 5HD Date of birth 21/03/1955 Nationality British Occupation Director	Name <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Dr John Robert BROWN ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Name Nicholas John CROSS Address Lashford House Dry Sandford Abingdon Oxfordshire OX13 6JP Date of birth 16/03/1947 Nationality British Occupation Entrepreneur	Name <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Nicholas John CROSS ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

Particulars of a new Director must be notified on form 288a.

Particulars of a new Director must be notified on form 288a.

	Current details	Amended details
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288a.</i>	Name Jeffrey Scott GARNER Address 152 Grove Street Westwood Massachusetts Usa 02090 Date of birth 26/08/1956 Nationality Us Citizen Occupation Investment Manager	Name <hr/> <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Address <hr/> <hr/> <hr/> UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Jeffrey Scott GARNER ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288a.</i>	Name Doctor Deirdre GILLESPIE Address 3 Turret House New High Street Headington Oxford Oxfordshire OX3 7BA Date of birth 08/04/1956 Nationality British Occupation Business Manager	Name <hr/> <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Address <hr/> <hr/> <hr/> UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Doctor Deirdre GILLESPIE ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

	Current details	Amended details
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288a.</i>	Name Dr Martin MURPHY This is a service address for the beneficiary of a Confidentiality Order. Address 6 Henrietta Street London WC2E 6PU Date of birth 30/12/1968 Nationality British Occupation Venture Capitalist	Name <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Address UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation _____ Date of change ____ / ____ / ____ Date Dr Martin MURPHY ceased to be director (if applicable) ____ / ____ / ____
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288a.</i>	Name Iain Charles Stephen WILCOCK Address 50 Tavistock Road London W11 1AW Date of birth 26/12/1961 Nationality British Occupation Director	Name <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Address UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation _____ Date of change ____ / ____ / ____ Date Iain Charles Stephen WILCOCK ceased to be director (if applicable) ____ / ____ / ____

	Current details	Amended details
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Name Dr Alan WILLIAMSON BSC PHD FRSE	Name <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.
	Address Maywood One Tree Lane Beaconsfield Buckinghamshire HP9 2BU	Address
	Date of birth 07/04/1937	UK Postcode _ _ _ _ _
	Nationality British	Date of birth _ _ / _ _ / _ _ _ _
<i>Particulars of a new Director must be notified on form 288a.</i>	Occupation Consultant	Nationality _____
		Occupation _____
		Date of change _ _ / _ _ / _ _ _ _
		Date Dr Alan WILLIAMSON BSC PHD FRSE ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

	Current details	Amended details
> Issued Share Capital <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details.</i>	Class of share	Class of share
	Ordinary	_____
	Nominal value of each share	Nominal value of each share
	£0.01	_____
	Number of shares issued	Number of shares issued
	1,063,888	_____
	Aggregate Nominal Value of issued shares	Aggregate Nominal Value of issued shares
	£10,638.88	_____
	Class of share	Class of share
	Ordinary A	_____
	Nominal value of each share	Nominal value of each share
	£0.01	_____
	Number of shares issued	Number of shares issued
	2,275,000	_____
	Aggregate Nominal Value of issued shares	Aggregate Nominal Value of issued shares
	£22,750.00	_____
	Class of share	Class of share
	Ordinary B	_____
	Nominal value of each share	Nominal value of each share
	£0.01	_____
	Number of shares issued	Number of shares issued
	3,703,750	_____
	Aggregate Nominal Value of issued shares	Aggregate Nominal Value of issued shares
	£37,037.50	_____
> Total shares issued and value <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Total number of shares issued	Total number of shares issued
	7,042,638	_____
	Total Nominal value of shares issued	Total Nominal value of shares issued
	£70,426.38	_____

Current details

Amended details

List of past and present members *(Tick appropriate box)*

> Please complete the required information on the attached schedules or in another format agreed by Companies House.

- ☐ There were no changes during the period
☐ A list of changes is enclosed
☐ A full list of members is enclosed

The last full list of members was received on: 20/11/2003

> **REMEMBER:**

Changes to shareholder particulars or details of shares transferred to be **completed each year**

A full list of shareholders is required with the first and every third Annual Return thereafter

List shareholders in alphabetical order or provide an index

List joint shareholders consecutively

> At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at www.companieshouse.gov.uk or by ringing 0870 333636.

Section 4: Details of New Shareholders and Transfers (A) (ii)

- > Please fill in details of any persons or corporate bodies who have become shareholders since the last annual return.
- > Please fill in details of any persons or corporate bodies that have transferred shares since the last annual return.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name <u>Oxon Therapeutics Holdings, Inc</u> Address <u>Corporation Trust Center</u> <u>1209 Orange Street</u> <u>City of Wilmington, USA</u> UK Postcode _ _ _ _ _	<u>Ordinary - 1,063,888</u> <u>A Ordinary -</u> <u>2,255,000</u> <u>B Ordinary -</u> <u>3,703,750</u>		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			

Section 4B: Details of Former Shareholders

- > Please fill in details of any persons or corporate bodies who have ceased to be shareholders at the date of this return. Also, please give the dates that their shares were transferred.
- > Please copy this page if there is not enough space to enter all the company's former shareholders.

Former shareholders details	Class and number of shares or amount of stock transferred	Date of registration of transfer
<div>Name</div> <div>Address</div> <div>UK Postcode</div>		
<div>Name</div> <div>Address</div> <div>UK Postcode</div>		
<div>Name</div> <div>Address</div> <div>UK Postcode</div>		
<div>Name</div> <div>Address</div> <div>UK Postcode</div>		
<div>Name</div> <div>Address</div> <div>UK Postcode</div>		

See attached Schedule.

SCHEDULE TO SECTION 4B OF FORM 363S

(Details of Former Members)

Shareholders details	Class and number of share or amount of stock transferred	Date of registration of transfer
Adams, Dr. Sally Stable Barn, Milton Road, Stadhampton, Oxon, OX44 7UF	7,312 Ordinary	06/07/2004
Aidoo, Dr. Michael c/o Immunogenetics Section, Division of Aids, STD, TB Laboratory Research, National Center for Infectious Diseases, Centers for Disease Control & Prevention, 1600 Clifton Road, Mailstop A-25, Atlanta, Georgia, 30333, USA	613 Ordinary	06/07/2004
Allsopp, Dr. Catherine Malaria Laboratories, MRC, Fajara, PO Box 273, Banjul, The Gambia, West Africa	613 Ordinary	06/07/2004
Baronsmead VCT 2 plc 100 Wood Street, London EC2V 7AN	6,250 Ordinary 56,250 B Ordinary	06/07/2004
Baronsmead VCT 3 plc 100 Wood Street, London EC2V 7AN	6,250 Ordinary 56,250 B Ordinary	06/07/2004
Blanchard, Dr. Tom House 31, MRC Laboratories, Fajara, PO Box 273, Banjul, The Gambia, West Africa	4,011 Ordinary	06/07/2004

Company Number: 3671700

Shareholders details	Class and number of share or amount of stock transferred	Date of registration of transfer
Brister, David 6 Henrietta Street, London, WC2E 8PU	1,149 B Ordinary	06/07/2004
The Chancellor, Masters & Scholars of the University of Oxford University Offices, Wellington Square, Oxford, OX1 2JD	202,216 Ordinary	06/07/2004
Chun Yeen Ng, Dr. Alwyn 102 Thomson Green, Singapore, 574967, Singapore	3,681 Ordinary	06/07/2004
Cross, Nicholas John Lashford House, Dry Sandford, Abingdon, Oxon, OX13 6JP	312,500 A Ordinary	06/07/2004
East Hill University Spinouts V, LLC John Hancock Tower, Suite 6000, 200 Clarendon Street, Boston, Massachusetts, 02116-5028, USA	651,042 B Ordinary	06/07/2004
East Hill University Spinouts VI, LLC John Hancock Tower, Suite 6000, 200 Clarendon Street, Boston, Massachusetts, 02115-5028, USA	911,458 B Ordinary	06/07/2004
Elvin, Dr. John c/o Cambridge Antibody Technology Ltd, The Science Park, Melbourn, Cambridgeshire, SG8 6JJ	986 Ordinary	06/07/2004
Gilbert, Dr. Sarah 65 Dene Road, Headington, Oxford, OX3 7EQ	2,761 Ordinary	06/07/2004

Company Number: 3671700

Shareholders details	Class and number of share or amount of stock transferred	Date of registration of transfer
Gotch, Dr. Frances c/o Department of Immunology ICSM, Chelsea and Westminster Hospital, 369 Fulham Road, London, SW10 9NH	986 Ordinary	06/07/2004
Gray Ghost, LLC 2330 W Joppa Road, Suite 330, Lutherville MD, 21093, USA	46,875 B Ordinary	06/07/2004
Hanke, Dr. Tomas 25 Mortimer Drive, Old Marston, Oxford, OX3 OBU	2,761 Ordinary	06/07/2004
Haven Trustees Limited Century House, Victoria Street, Alderney, Channel Islands	200,000 Ordinary	06/07/2004
The Isis College Fund No. 1 Limited Partnership 29 Queen Anne's Gate, London, SW1H 9BU	62,063 Ordinary 31,031 B Ordinary	06/07/2004
The Isis College Fund No. 2 Limited Partnership 29 Queen Anne's Gate, London, SW1H 9BU	62,937 Ordinary 31,469 B Ordinary	06/07/2004
Jock Scott, LLC 2330 W Joppa Road, Suite 330, Lutherville MD, 21093, USA	46,875 B Ordinary	06/07/2004
Laing, Ian Michael 4 Charlbury Road, Oxford, OX2 6UT	312,500 A Ordinary	06/07/2004

Company Number: 3671700

Shareholders details	Class and number of share or amount of stock transferred	Date of registration of transfer
Laluani, Dr. Ajit c/o Nuffield Dept. of Clinical Medicine, University of Oxford, Level 7, John Radcliffe Hospital, Oxford, OX3 9DU	613 Ordinary	06/07/2004
McMichael, Andrew Midsummer Cottage, Church Street, Beckley, Oxford, OX3 9UJ	70,000 Ordinary	06/07/2004
McShane, Helen 397 Banbury Road, Oxford, OX2 7RE	2,000 Ordinary	06/07/2004
The Medical Research Council 20 Park Crescent, London, W1N 4AL	10,332 Ordinary	06/07/2004
Mutual Trust Management (Jersey) Limited Re 2289 36 Hilgrove Street, St. Helier, Jersey, JE4 8TR Channel Islands	155,000 Ordinary	06/07/2004
MVM International Life Sciences Fund No. 1 LP 6 Henrietta Street, London WC2E 8PU	517,098 B Ordinary	06/07/2004
MVM Limited 6 Henrietta Street, London WC2E 8PU	1,776 B Ordinary	06/07/2004
Neomed Innovation ASA Park Veien 55, Oslo-0256 Norway	475,000 A Ordinary 50,000 B Ordinary	06/07/2004
NIF Ventures Co., Ltd 1-2-1 Kyobashi, Chuo-Ku, Tokyo, 104-0031, Japan	112,500 B Ordinary	06/07/2004

Company Number: 3671700

Shareholders details	Class and number of share or amount of stock transferred	Date of registration of transfer
Plebanski, Dr. Magdalena c/o The Austin Research Institute, The Austin Repatriation and Medical Centre, Studley Road, Heidelberg, Victoria 3084, Australia	4,074 Ordinary	06/07/2004
Quester VCT 4 plc 29 Queen Anne's Gate, London SW1H 9BU	24,575 Ordinary 221,175 B Ordinary	06/07/2004
Quester VCT 5 plc 29 Queen Anne's Gate, London SW1H 9BU	9,175 Ordinary 82,575 B Ordinary	06/07/2004
Quester Venture Partnership 6 th Floor, 29 Queen Anne's Gate, London, SW1H 9BU	412,500 B Ordinary	06/07/2004
Reece, William H.H. 2/68A Brocks Lane, Newtown, NSW 2042, Australia	2,000 Ordinary	06/07/2004
Reeders, Stephen 6 Henrietta Street, London, WC2E 8PU	1,149 B Ordinary	06/07/2004
Smith, Geoffrey 44 Blandford Avenue, Oxford, OX2 8DZ	70,000 Ordinary	06/07/2004
Triniman, Paul 6 Henrietta Street, London, WC2E 8PU	1,149 B Ordinary	06/07/2004
UK Medical Ventures Fund No. 1 Limited Partnership 6 Henrietta Street, London, WC2E 8PU	1,175,000 A Ordinary 208,929 B Ordinary	06/07/2004

Company Number: 3671700

Shareholders details	Class and number of share or amount of stock transferred	Date of registration of transfer
Venture Capital Investment Limited Partnership NIF Global Fund 1-2-1 Kyobashi, Chuo-Ku, Tokyo, 104-0031, Japan	141,100 B Ordinary	06/07/2004
Venture Capital Investment Limited Partnership, NIF Japan-USA-Europe Bridge Fund 1-2-1 Kyobashi, Chuo-Ku, Tokyo, 104-0031, Japan	121,400 B Ordinary	06/07/2004
The Wellcome Trust Limited 183 Euston Road, London, NW1 2BE	150,328 Ordinary	06/07/2004
Whittle, Dr. Hilton MRC Laboratories, Fajara, PO Box 273, Banjul, The Gambia, West Africa	2,351 Ordinary	06/07/2004



Companies House

— for the record —

363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

1. Declaration

- ☒ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

(Director / Secretary)

Date

20/12/2004

This date must not be earlier than the return date at 2 below

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

2. Date of this return

- ☒ This AR is made up to 20/11/2004
- If you are making this return up to an earlier date, please give the date here

— / — / —

Note: The form must be delivered to CH within 28 days of this date

3. Date of next return

- ☐ If you wish to change your next return to a date earlier than 20th November 2005 please give the new date here:

— / — / —

4. Where to send this form

- ☒ Please return this form to:

Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ

OR

For members of the Hays Document
Exchange service
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Contact Address

You do not have to give any contact information below, but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Contact Name

Michael Currie

Telephone number inc code

01235 523099

Address

Wilmer Cutler Pickering Hale and Dorr LLP

DX number if applicable

Park Gate, 25 Milton Park

DX exchange

Oxford

Postcode

OX14 4SH