



Please complete in typescript,  
or in bold black capitals.

CHFP029

INVESTEK £660  
X44 624519

363a

## Annual Return

Company Number 3636853

Company Name in full Investec Holdings (UK) Limited

### Date of this return

The information in this return is made up to

Day Month Year  
2 3 / 0 9 / 2 0 0

### Date of next return

If you wish to make your next return  
to a date earlier than the anniversary  
of this return please show the date here.  
Companies House will then send a form  
at the appropriate time.

Day Month Year  
2 3 / 0 9 / 2 0 1

### Registered Office

Show here the address at the date of  
this return.

2 GRESHAM STREET

Any change of  
registered office  
**must** be notified  
on form 287.

Post town LONDON

County / Region

UK Postcode E C 2 V 7 Q P

### Principal business activities

Show trade classification code number(s)  
for the principal activity or activities.

7484

If the code number cannot be determined,  
give a brief description of principal activity.

HOLDING COMPANY



A32 \*AFONMU9P\* Q236  
COMPANIES HOUSE 27/09/00

Form revised September 1999

When you have completed and signed the form please send it to the  
Registrar of Companies at:  
**Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff**  
for companies registered in England and Wales  
**or**  
**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**  
for companies registered in Scotland **DX 235 Edinburgh**

## Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

## Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

## Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

## Company Secretary

(Please photocopy this area to provide details of joint secretaries).

\* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

**Usual residential address** must be given. In the case of a corporation, or a Scottish firm, give the registered or principal office address.

Details of a new company secretary must be notified on form 288a.

Name

\* Style / Title

MR

Forename(s)

RICHARD JOHN

Surname(s)

VARDY

Address

COURT COTTAGE

HEADLEY ROAD

Post town

GRAYSHOTT

County / Region

SURREY

UK Postcode

G U 2 6 6 D L

Country

ENGLAND

## Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

<b>Directors</b> In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	<b>Name</b>	* Style / Title	MR										
		Day	Month	Year									
	<b>Date of birth</b>	2	8	/	1	2	/	1	9	4	0		
	<b>Forename(s)</b>	HUGH SIDNEY											
	<b>Surname</b>	HERMAN											
<b>Usual residential address</b> must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	<b>Address</b>	APARTMENT 7, 1 VICTORIA ROAD											
		CLIFTON											
	<b>Post town</b>	CAPE TOWN											
	<b>County / Region</b>						<b>UK Postcode</b>	8	0	0	1		
	<b>Country</b>	SOUTH AFRICA					<b>Nationality</b>	SOUTH AFRICAN					
	<b>Business occupation</b>	BANKER											

\* Voluntary details.

<b>Directors</b> In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	<b>Name</b>	* Style / Title	MR											
		Day	Month	Year										
	<b>Date of birth</b>	2	7	/	0	9	/	1	9	4	9			
	<b>Forename(s)</b>	BERNARD												
	<b>Surname</b>	KANTOR												
<b>Usual residential address</b> must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	<b>Address</b>	3 WINNINGTON CLOSE												
	<b>Post town</b>	LONDON												
	<b>County / Region</b>						<b>UK Postcode</b>	N	2		O		U	A
	<b>Country</b>	ENGLAND					<b>Nationality</b>	SOUTH AFRICAN						
	<b>Business occupation</b>	BANKER												

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

<b>Name</b>	* Style / Title	MR	
	Day	Month	Year
Date of birth	2	3	07 / 1951
Forename(s)	STEPHEN		
Surname	KOSEFF		
<b>Address</b>	5 DENNY-DALTON ROAD		
<b>Usual residential address</b>			
Post town	LINKSFIELD NORTH		
County / Region	JOHANNESBURG	UK Postcode	2192
Country	SOUTH AFRICA	Nationality	SOUTH AFRICAN
<b>Business occupation</b>	BANKER		

\* Voluntary details.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

<b>Name</b>	* Style / Title	MR	
	Day	Month	Year
Date of birth	0	1	01 / 1947
Forename(s)	ALAN		
Surname	TAPNACK		
<b>Address</b>	36 MOWBRAY ROAD		
<b>Usual residential address</b>			
Post town	EDGWARE		
County / Region	MIDDLESEX	UK Postcode	H A 8 8 J Q
Country	ENGLAND	Nationality	SOUTH AFRICAN
<b>Business occupation</b>	CHIEF EXECUTIVE OFFICER		

**Issued share capital**

Enter details of all the shares in issue at the date of this return.

**Class**  
(e.g. Ordinary/Preference)

**Number of  
shares issued**

**Aggregate  
Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

ONE POUND ORD	462,332,020	£ 462,332,020.00
Totals	462,332,020	£ 462,332,020.00

**List of past and present shareholders**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period ☐

on paper in another format

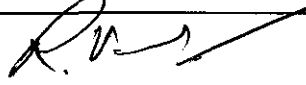
A list of changes is enclosed ☐

A full list of shareholders is enclosed ☒

**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

**Signed**



**Date**

25.9.2000

† Please delete as appropriate.

† a ~~director~~/secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

2

(enter number)

continuation sheets.

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

THE SECRETARY, 2 GRESHAM STREET, LONDON, EC2V 7QP

Tel 020 7597 4000

DX number

DX exchange

# List of past and present shareholders Schedule to form 363a

CHFP029

Company Number 3636853

Company Name in full Investec Holdings (UK) Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <u>INVESTECH FINANCE S.A.</u> Address <u>291 ROUTE D' ARLON, L-1150, LUXEMBOURG</u>  UK Postcode <u>      </u> <u>      </u> <u>      </u>	one pound ord 462,332,020		
Name  Address   UK Postcode <u>      </u> <u>      </u> <u>      </u>			
Name  Address   UK Postcode <u>      </u> <u>      </u> <u>      </u>			