



BLUEPRINT
Company Secretary

Please complete in typescript,
or in bold black capitals.

CHFP055

363a

700576/60

Annual Return

Company Number 3607059

Company Name in full Intercapital Brokerage Services Limited

Date of this return

The information in this return is made up to

Day		Month		Year			
3	0	0	7	2	0	0	2

Date of next return

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day		Month		Year			

Registered Office

Show here the address at the date of this return.

Park House

16 Finsbury Circus

Any change of registered office **must** be notified on form 287.

Post town London

County / Region

UK Postcode EC2M 7UR

Principal business activities

Show trade classification code number(s) for the principal activity or activities.

7415

If the code number cannot be determined, give a brief description of principal activity.



When you have completed and signed the form please send it to the Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

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Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

Forename(s)

Simon Henry John

* Voluntary details.

Surname

Mansell

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Address

2 The Spinney

Hutton

Post town

Brentwood

County / Region

Essex

UK Postcode

CM13 1AS

Country

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Directors

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 1 0 1 1 1 9 4 7

Forename(s) David

Surname Gelber

Address

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

6 Clorane Gardens

Post town London

County / Region UK Postcode NW3 7IR

Country Nationality Canadian

Business occupation Director

* Voluntary details.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 3 0 0 1 1 9 6 1

Forename(s) Declan Pius

Surname Kelly

Address

44 Lyndale Avenue

Childs Hill

Post town London

County / Region UK Postcode NW2 2QA

Country Nationality Irish

Business occupation Company Director

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

**Number of
shares issued**

**Aggregate
Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

'A' Ordinary Shares

231,801,723

£57,950,430.750

Ordinary Shares

4

£1.000

Totals

231,801,727

£57,950,431.750

List of past and present shareholders

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

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on paper in another format

A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐

Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

14.8.02

† Please delete as appropriate.

† a director ~~secretary~~

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

1

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

Company Secretary, Intercapital Private Group Limited, Park House,

16 Finsbury Circus, London, EC2M 7UR

Tel

DX number

DX exchange

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

Name	* Style / Title			
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Day	Month	Year	
	Date of birth	1 2	1 2	1 9 5 6
	Forename(s)	Simon Henry John		
	Surname	Mansell		
Address	2 The Spinney			
Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Hutton			
	Post town	Brentwood		
	County / Region	Essex	UK Postcode	CM13 1AS
	Country		Nationality	british
Business occupation	Company Director			

* Voluntary details.

Name	* Style / Title		Mr.	
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Day	Month	Year	
	Date of birth	3 0	0 5	1 9 5 5
	Forename(s)	Michael Alan		
	Surname	Spencer		
Address	Tunstall Hall			
Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Tunstall			
	Post town	Woodbridge		
	County / Region	Suffolk	UK Postcode	IP12 2JL
	Country	United Kingdom	Nationality	British
Business occupation	Chairman			

List of past and present shareholders **Schedule to form 363a**

CHFP055

Company Number 3607059

Company Name in full Intercapital Brokerage Services Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Ike Benson Address 44 The Squirrels, Bushey Heath, Watford. UK Postcode WD2 3RT	'A' Ordinary Shares 0	250,000 786,500	20-Mar-2002 12-Apr-2002
Name Susan Andrea Benson Address 44 The Squirrels, Bushey Heath, Watford. UK Postcode WD2 3RT	'A' Ordinary Shares 0	1,000,000	20-Nov-2001
Name John Cicale Address Garden Flat, 22 Hamstead Lane, Highgate, London. UK Postcode N6 4SB	'A' Ordinary Shares 640,246		

List of past and present shareholders (Continued)

Company Number 3607059

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Anthony Dukes <hr/> Address 52 Sheperds Lane, Caversham, Reading, Berkshire, United Kingdom. <hr/> UK Postcode RG4 7JL	'A' Ordinary Shares 0	 120,000	 15-Mar-2000
Name Ms. Sheena Harding <hr/> Address 48 St. George Wharf, 6 Shad Thames, London. <hr/> UK Postcode SE1 2YX	'A' Ordinary Shares 180,806	 406,174	 05-Jul-2002
Name Intercapital Private Group Limited <hr/> Address Park House, 16 Finsbury Circus, London, England. <hr/> UK Postcode EC2M 7DJ	Ordinary Shares 4 'A' Ordinary Shares 229,428,237	 	
Name Seth Johnson <hr/> Address 28 Shawfield Street, London. <hr/> UK Postcode SW3 4BD	'A' Ordinary Shares 606,417	 	

List of past and present shareholders (Continued)

Company Number 3607059

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
<p>Name William Liggins</p> <p>Address Lamer Park, Lamer Lane, Wheathampstead, St Albans, Herts..</p> <p>UK Postcode AL4 8RH</p>	<p>'A' Ordinary Shares 106,875</p>		
<p>Name William James Liggins -a/c J.W.L (Master)</p> <p>Address Lamer Park, Lamer Lane, Wheathampstead, St Albans, Herts..</p> <p>UK Postcode AL4 8RH</p>	<p>'A' Ordinary Shares 45,000</p>		
<p>Name William James Liggins -A/C T.J.L. (Master)</p> <p>Address Lamer Park, Lamer Lane, Wheathampstead, St Albans, Herts..</p> <p>UK Postcode AL4 8RH</p>	<p>'A' Ordinary Shares 45,000</p>		
<p>Name William James Liggins A/C G.K.L. (Miss)</p> <p>Address Lamer Park, Lamer Lane, Wheathampstead, St Albans, Herts..</p> <p>UK Postcode AL4 8RH</p>	<p>'A' Ordinary Shares 45,000</p>		

List of past and present shareholders (Continued)

Company Number 3607059

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
<p>Name Tim Lucas</p> <p>Address Lady's Walk, Greenway, Hutton, Brentwood, Essex.</p> <p>UK Postcode CM13 2NP</p>	<p>Ordinary Shares</p> <p>'A' Ordinary Shares</p> <p>0</p> <p>0</p>	<p>360,000</p>	<p>08-Aug-2001</p>
<p>Name Michael Mulholland</p> <p>Address 34B Cranley Gardens, London.</p> <p>UK Postcode SW7 3DD</p>	<p>'A' Ordinary Shares</p> <p>427,500</p>		
<p>Name Portland Trustees Limited</p> <p>Address being trustees of the O'Keeffe Family Trust, 1st Floor, 17 Bond Street, St Helier, Jersey, Channel Islands.</p> <p>UK Postcode JE2 3NP</p>	<p>'A' Ordinary Shares</p> <p>0</p>	<p>750,000</p>	<p>13-Jul-2000</p>
<p>Name Mr. Michael Alan Spencer</p> <p>Address Tunstall Hall, Tunstall, Woodbridge, Suffolk, United Kingdom.</p> <p>UK Postcode IP12 2JL</p>	<p>'A' Ordinary Shares</p> <p>120,000</p>		

List of past and present shareholders (Continued)

Company Number 3607059

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Fiona Su Address 34B Cranley Gardens, London. UK Postcode SW7 3DD	'A' Ordinary Shares 156,642	 8,367	 29-Oct-2001
Name Address UK Postcode			
Name Address UK Postcode			
Name Address UK Postcode			