



Companies House

— for the record —

Company Name

E*TRADE UK LIMITED

363s Annual Return

300434/11

Company Type

Private Company Limited By Shares

Company Number

3598221

Information extracted from
Companies House records on
29th August 2002

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.



A31
COMPANIES HOUSE

AAM7UE68

0161
13/09/02

Section 1: Company details

Ref: 3598221/17/47

	Current details	Amended details																												
> Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	42ND Floor 1 Canada Square Canary Wharf London E14 5AA	Address _____ _____ _____ UK Postcode _ _ _ _ _																												
> Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Address where the Register is held At Registered Office	Address _____ _____ _____ UK Postcode _ _ _ _ _																												
> Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Not Applicable	Address _____ _____ _____ UK Postcode _ _ _ _ _																												
> Principal Business Activities <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>7484</td> <td>Other business activities</td> </tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table>	SIC Code	Description	7484	Other business activities											<table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table>	SIC CODE	Description												
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> Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.																														

Section 2: Details of Officers of The Company**(A)**

- > The records we hold for your company's officers are incomplete. Please enter their details below. **You must also complete and send a form 288 with this annual return if an appointment has not been notified to Companies House.**
- > Please copy this page if there is not enough space to enter all the company's officers.

Details of Officers> **Company Secretary***Please enter the details requested.**(Photocopy this area to enter details of joint secretaries).*

Forenames

JOSEPHINE HO PIK

Surname or

Corporate name

CHAN

- > Please attach form 288 if the appointment of this company officer has not been notified to Companies House.

☐

Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

SA CLAYMORE GARDEN

(Usual Residential)

3 LOK FUNG PATHSHATIN, HONG KONGPRC

UK Postcode

 > **Director***Please enter the details requested.*

Forenames

PAUL ANDREW

Surname or

Corporate name

CHRIMES

- > Please attach form 288 if the appointment of this company officer has not been notified to Companies House.

☐

Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

AUGUSTUS HOWE

(Usual Residential)

165 BARKHAM ROADWOKINGHAMBERKSHIRE

UK Postcode

RG41 2RS

Date of birth

12/11/1956

Nationality

BRITISH

Business Occupation

ACCOUNTANT

PLUS ADDITIONAL SHEETS

Issued share capital details

> Please fill in the details of total share capital by class (eg. ordinary, preference etc) that has been issued to the company's shareholders.

Class of Share

ORDINARY

Nominal value of
each share £0.01

Number of shares issued

6,460,928

Aggregate Nominal Value of issued shares

£ 64,609.28

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

> Please fill in the total number of issued shares and their total nominal value.

Number of shares issued

6,460,928

Aggregate Nominal Value of issued shares

£ 64,609.28**List of past and present members** (Tick appropriate box)

> Please complete the required information on the attached schedules or in another format agreed by Companies House.

- ☐ There were no changes during the period
☒ A list of changes is enclosed
☐ A full list of members is enclosed

The last full list of members was received on: 15/07/2000

REMEMBER:

Changes to shareholder particulars or details of shares transferred to be **completed each year**
 A full list of shareholders is required with the first and every third Annual Return thereafter
 List shareholders in alphabetical order or provide an index
 List joint shareholders consecutively

- > Please fill in the details of any persons or corporate bodies who are shareholders at the date of this return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name <u>EXTRADE UK (HOLDINGS) LTD</u> Address <u>42ND FLOOR, ONE CANADA SQUARE</u> <u>LONDON</u> UK Postcode <u>E14 - SAA</u>	ORDINARY SHARES 57,453 shares of £0.01	BY ALLOTMENT	25 MARCH 2002
Name <u>EXTRADE UK (HOLDINGS) LTD</u> Address <u>42ND FLOOR</u> <u>ONE CANADA SQUARE</u> <u>LONDON</u> UK Postcode <u>E14 - SAA</u>	ORDINARY SHARES 115,039 shares of £0.01	BY ALLOTMENT	26 JUNE 2002
Name _____ Address _____ _____ _____ UK Postcode - - - - -			
Name _____ Address _____ _____ _____ UK Postcode - - - - -			



Companies House
— for the record —

363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

1. Declaration

- ☒ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

[Signature]
(Director / ~~Secretary~~)

Date

04/09/2002

This date must not be earlier than the return date at 2 below

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

2. Date of this return

- ☐ This AR is made up to **15/7/2002** If you are making this return up to an earlier date, please give the date here

___ / ___ / ___

Note: The form must be delivered to CH within 28 days of this date

3. Date of next return

- ☐ If you wish to change your next return to a date earlier than **15th July 2003** please give the new date here:

___ / ___ / ___

4. Where to send this form

- ☒ Please return this form to:

Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ

OR

For members of the Hays Document
Exchange service
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Cheque ☒ Postal Order ☐

Cheque / Postal Order

Number

300434

(Please complete as appropriate)

Contact Address

You do not have to give any contact information below, but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Contact Name

PAUL CHRIMES

Telephone number *inc code*

0207 5161331

Address

42ND FLOOR

DX number *if applicable*

ONE CANADA SQUARE

DX exchange

CANARY WHARF, LONDON

Postcode

E14 5AA

Section 4B: Details of Former Shareholders

- > Please fill in details of any persons or corporate bodies who have ceased to be shareholders at the date of this return. Also, please give the dates that their shares were transferred.
- > Please copy this page if there is not enough space to enter all the company's former shareholders.

Former shareholders details	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		

02-SEP-2002 11:45 FROM E TRADE LTD

TO 90085228014589

P.05

- > The records we hold for your company's officers are incomplete. Please enter their details below. You must also complete and send a form 288 with this annual return if an appointment has not been notified to Companies House.
- > Please copy this page if there is not enough space to enter all the company's officers.

Details of Officers

> Director

Please enter the details requested.

Forenames CLARESurname or
Corporate name GRANBY

- > Please attach form 288 if the appointment of this company officer has not been notified to Companies House.

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address 81 JEAVONS LANE, CAMBOURNE
(Usual Residential) CAMBRIDGE, CAMBRIDGESHIREUK Postcode CB3 6AFDate of birth 22/03/1961 Nationality BRITISHBusiness Occupation COMPLIANCE OFFICER

(NOTE: RESIGNED ON 31 JULY 2002,
288B HAS BEEN FILED)

> Director

Please enter the details requested.

Forenames JACKSurname or
Corporate name VERKRUYSE

- > Please attach form 288 if the appointment of this company officer has not been notified to Companies House.

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address 44 HORDER ROAD, LONDON
(Usual Residential)UK Postcode SW6 5EEDate of birth 18/01/1952 Nationality CANADIAN / DUTCHBusiness Occupation BROKER

02-SEP-2002 11:45 FROM E TRADE LTD

TO 50085228014589

P.05

> The records we hold for your company's officers are incomplete. Please enter their details below. You must also complete and send a form 288 with this annual return if an appointment has not been notified to Companies House.

> Please copy this page if there is not enough space to enter all the company's officers.

Details of Officers

> Director

Please enter the details requested.

Forenames RICHARD TAYLORSurname or
Corporate name TAYLOR

> Please attach form 288 if the appointment of this company officer has not been notified to Companies House.

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address LANGTRY HOUSE BICKNACRE ROAD(Usual Residential) EAST HANNINGFIELD, CHELMSFORDUKUK Postcode CM3 8ANDate of birth 12/03/1952 Nationality BRITISHBusiness Occupation STOCKBROKER

> Director

Please enter the details requested.

Forenames _____

Surname or
Corporate name _____

> Please attach form 288 if the appointment of this company officer has not been notified to Companies House.

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address _____

(Usual Residential) _____

UK Postcode _____

Date of birth _____

Business Occupation _____

Nationality _____