



Companies House

AR01 (ef)

Annual Return



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Company Name: **E* TRADE UK (HOLDINGS) LIMITED**

Company Number: **03565369**

Date of this return: **18/05/2016**

SIC codes: **64205**

Company Type: **Private company limited by shares**

Situation of Registered Office: **NEW BROAD STREET HOUSE 35 NEW BROAD STREET
LONDON
EC2M 1NH**

Officers of the company

Company Secretary 1

Type: **Person**
Full forename(s): **MS. MICHELLE**

Surname: **ELLINGSON**

Former names: **SHORT**

Service Address recorded as Company's registered office

Company Director 1

Type: **Person**
Full forename(s): **MS. ELLINGSON LYNN**

Surname: **MICHELLE**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **USA**

Date of Birth: ****/03/1971** Nationality: **AMERICAN**
Occupation: **PARALEGAL - LEGAL MANAGER**

Statement of Capital (Share Capital)

Class of shares	ORDINARY	<i>Number allotted</i>	16507729
		<i>Aggregate nominal value</i>	165077.29
<i>Currency</i>	GBP	<i>Amount paid per share</i>	0
		<i>Amount unpaid per share</i>	0

Prescribed particulars

EACH ORDINARY SHARE CARRIES EQUAL RIGHTS: TO VOTES AT GENERAL MEETINGS, TO DISTRIBUTION OF PROFITS THROUGH DIVIDENDS, TO CAPITAL DISTRIBUTION (INCLUDING ON WINDING UP).

Class of shares	PREFERENCE	<i>Number allotted</i>	4939543
		<i>Aggregate nominal value</i>	49395.43
<i>Currency</i>	GBP	<i>Amount paid per share</i>	0
		<i>Amount unpaid per share</i>	0

Prescribed particulars

PREFERENCE SHARE HOLDER SHALL NOT BE ENTITLED TO RECEIVE A DIVIDEND. ON A RETURN OF A LIQUIDATION OR OTHERWISE (OTHER THAN ON REDEMPTION OR PURCHASE OF SHARES) THE ASSETS AVAILABLE FOR DISTRIBUTION SHALL BE APPLIED FIRST IN PAYING TO THE HOLDERS OF THE PREFERENCE SHARES AND SECONDLY TO THE HOLDERS OF THE ORDINARY SHARES. THE HOLDERS OF THE PREFERENCE SHARES SHALL NOT BE ENTITLED TO RECEIVE NOTICE OF AND TO ATTEND AND SPEAK AT ALL GENERAL MEETINGS EXCEPT DURING A DEFAULT PERIOD OR IF THE BUSINESS OF THE MEETING INCLUDES A RESOLUTION FOR THE WINDING UP OR TO ALTER OR ABROGATE ANY OF THE SPECIAL RIGHTS AND PRIVILEGES ATTACHED TO THE PREFERENCE SHARES. SUBJECT TO THE PROVISIONS OF THE ACT AND THE REQUIREMENTS OF THE SFA, THE COMPANY MAY REDEEM AT ANY TIME BUT NOT LATER THAN THREE YEARS FROM THE DATE OF ALLOTMENT OF THE PREFERENCE SHARE, ALL OR ANY OF THE PREFERENCE SHARES; AND THE PREFERENCE SHARES MAY REDEEM AT ANY TIME DURING ANY DEFAULT PERIOD OR AT ANY TIME AFTER THIRD ANNIVERSARY OF THE DATE OF ALLOTMENT OF THE PREFERENCE SHARES, ALL OR ANY OF THE PREFERENCE SHARES.

Statement of Capital (Totals)

<i>Currency</i>	GBP	<i>Total number of shares</i>	21447272
		<i>Total aggregate nominal value</i>	214472.72

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 18/05/2016 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for the company are shown below

Shareholding 1 : **4939543 PREFERENCE shares held as at the date of this return**
Name: **E TRADE EUROPE HOLDINGS BV**

Shareholding 2 : **16507729 ORDINARY shares held as at the date of this return**
Name: **E TRADE EUROPE HOLDINGS BV**

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.