



Companies House
— for the record —

AR01 (ef)

Annual Return



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Received for filing in Electronic Format on the: **05/05/2010**

Company Name: **PALL MALL INVESTMENT MANAGEMENT LIMITED**

Company Number: **03548908**

Date of this return: **14/04/2010**

SIC codes: **6512**
6712

Company Type: **Private company limited by shares**

Situation of Registered Office: **52A CROMWELL ROAD**
LONDON
UNITED KINGDOM
SW7 5BE

Officers of the company

Company Secretary **1**

Type: **Person**

Full forename(s): **TIMOTHY JAMES LEA**

Surname: **GOBLE**

Former names:

Service Address: **17 BULL FARM
KINGS WORTHY
WINCHESTER
HAMPSHIRE
UNITED KINGDOM
SO21 1AQ**

Company Director **1**

Type: **Person**

Full forename(s): **JONATHAN JULIAN**

Surname: **GOBLE**

Former names:

Service Address: **6 RUE DE CALVAIRE
27700 HEUQUEVILLE
FRANCE**

Country/State Usually Resident: **FRANCE**

Date of Birth: **19/07/1961** *Nationality:* **BRITISH**

Occupation: **COMPANY DIRECTOR**

Company Director **2**

Type: **Person**
Full forename(s): **TIMOTHY JAMES LEA**
Surname: **GOBLE**
Former names:
Service Address: **17 BULL FARM
KINGS WORTHY
WINCHESTER
HAMPSHIRE
UNITED KINGDOM
SO21 1AQ**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **26/04/1957** *Nationality:* **BRITISH**
Occupation: **CHIEF OPERATING OFFICER**

Company Director **3**

Type: **Person**
Full forename(s): **KOICHI**
Surname: **KATAKAWA**
Former names:
Service Address: **9 MARIANNENWEG
BAD HOMBURG
GERMANY
61348**

Country/State Usually Resident: **GERMANY**

Date of Birth: **16/08/1964** *Nationality:* **JAPANESE**
Occupation: **COMPANY EXECUTIVE**

Company Director **4**

Type: **Person**
Full forename(s): **MARK ALASTAIR**
Surname: **NORTHWAY**
Former names:
Service Address: **THE GLASSHOUSE KEMNAL ROAD**
 CHISLEHURST
 KENT
 UNITED KINGDOM
 BR7 6LY

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **04/01/1960** *Nationality:* **BRITISH**
Occupation: **BANKER**

Company Director **5**

Type: **Person**
Full forename(s): **MR HOLGER**
Surname: **PASTEL**
Former names:
Service Address: **1017 POINT WEST**
 116 CROMWELL ROAD
 LONDON
 UNITED KINGDOM
 SW7 4XE

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **24/12/1965** *Nationality:* **GERMAN**
Occupation: **COMPANY DIRECTOR**

Company Director **6**

Type: **Person**
Full forename(s): **CURT HENRY**
Surname: **SCHIBLI**
Former names:
Service Address: **124 SPECTACLE LANE
RIDGEFIELD
CONNECTICUT 06877
UNITED KINGDOM**

Country/State Usually Resident: **USA**

Date of Birth: **02/10/1963** *Nationality:* **AMERICAN**
Occupation: **COMPANY DIRECTOR**

Company Director **7**

Type: **Person**
Full forename(s): **RAINER GUENTHER**
Surname: **SCHMITZ**
Former names:
Service Address: **TRUCHSESSSTRASSE 19
DUESSELDORF
GERMANY
40625**

Country/State Usually Resident: **GERMANY**

Date of Birth: **16/01/1948** *Nationality:* **GERMAN**
Occupation: **RETIRED BANKER**

Company Director 8

Type: **Person**

Full forename(s): **RALF**

Surname: **WINKELMANN**

Former names:

Service Address: **14, WUTACHSTRASSE
KARLSRUHE
GERMANY
76199**

Country/State Usually Resident: **GERMANY**

Date of Birth: **06/09/1962**

Nationality: **GERMAN**

Occupation: **BANKER**

Statement of Capital (Share Capital)

| | | | |
|-------------------------------|---|--------------------------------|------------------|
| Class of shares | DEFERRED | <i>Number allotted</i> | 16872 |
| | | <i>Aggregate nominal value</i> | 16872.00 |
| <i>Currency</i> | GBP | <i>Amount paid per share</i> | 1.00 |
| | | <i>Amount unpaid per share</i> | 0.00 |
| <i>Prescribed particulars</i> | THE SHARES HAVE ATTACHED TO THEM NO VOTING, DIVIDEND OR CAPITAL DISTRIBUTION (INCLUDING ON WINDING UP) RIGHTS; THEY ARE REDEEMABLE AT £0.01 PER SHARE. | | |
| | | | |
| Class of shares | FOUNDERS' NON-CUMULATIVE RED. 6% PREF | <i>Number allotted</i> | 37500 |
| | | <i>Aggregate nominal value</i> | 37500.00 |
| <i>Currency</i> | GBP | <i>Amount paid per share</i> | 1.00 |
| | | <i>Amount unpaid per share</i> | 0.00 |
| <i>Prescribed particulars</i> | THE SHARES HAVE ATTACHED TO THEM NO VOTING RIGHTS; PRIORITY DIVIDEND AND CAPITAL DISTRIBUTION (INCLUDING ON WINDING UP) RIGHTS; THEY ARE REDEEMABLE AT £10 PER SHARE. | | |
| | | | |
| Class of shares | NON-CUMULATIVE REDEEMABLE 5% PREF | <i>Number allotted</i> | 206627 |
| | | <i>Aggregate nominal value</i> | 206627.00 |
| <i>Currency</i> | GBP | <i>Amount paid per share</i> | 1.00 |
| | | <i>Amount unpaid per share</i> | 0.00 |
| <i>Prescribed particulars</i> | THE SHARES HAVE ATTACHED TO THEM NO VOTING RIGHTS, PRIORITY DIVIDEND AND CAPITAL DISTRIBUTION (INCLUDING ON WINDING UP) RIGHTS; THEY ARE REDEEMABLE AT PAR. | | |

| | | | |
|-------------------------------|--|--------------------------------|------------------|
| Class of shares | ORDINARY | <i>Number allotted</i> | 381846 |
| | | <i>Aggregate nominal value</i> | 381846.00 |
| <i>Currency</i> | GBP | <i>Amount paid per share</i> | 13.42 |
| | | <i>Amount unpaid per share</i> | 0.00 |
| <i>Prescribed particulars</i> | THE SHARES HAVE ATTACHED TO THEM FULL VOTING, DIVIDEND AND CAPITAL DISTRIBUTION (INCLUDING ON WINDING UP) RIGHTS; THEY DO NOT CONFER ANY RIGHTS OF REDEMPTION. | | |

| Statement of Capital (Totals) | | | |
|-------------------------------|------------|--------------------------------------|------------------|
| <i>Currency</i> | GBP | <i>Total number of shares</i> | 642845 |
| | | <i>Total aggregate nominal value</i> | 642845.00 |

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 14/04/2010 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for a private or non-traded public company are shown below

Shareholding : 1
16872 DEFERRED Shares held as at 14/04/2010

Name: **PMAM HOLDINGS LIMITED**

Address:

Shareholding : 2

**0 FOUNDERS' NON-CUMULATIVE RED. 6% PEF Shares held as at
14/04/2010**

Name:

**37500 DISPOSED OF IN PERIOD ON 20/10/2009
HP MANAGEMENT LIMITED**

Address:

Shareholding : 3

**37500 FOUNDERS' NON-CUMULATIVE RED. 6% PEF Shares held
at 14/04/2010**

Name:

HOLGER PASTEL

Address:

Shareholding : 4

**206627 NON-CUMULATIVE REDEEMABLE 5% PEF Shares held as
at 14/04/2010**

Name:

PMAM HOLDINGS LIMITED

Address:

Shareholding : 5

381846 ORDINARY Shares held as at 14/04/2010

Name: **PMAM HOLDINGS LIMITED**

Address:

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.