



Companies House

— for the record —

Company Name

CANTOR FITZGERALD SERVICES 363s Annual Return
LIMITED

NW
23072
003672

Company Type

Private Company Limited By Shares

Company Number

3489929

Information extracted from
Companies House records on
15th December 2000

Section 1: Company details



Ref: 3489929/03/10

Current details

A34
COMPANIES HOUSE
0092
07/02/01

<p>> Registered Office Address</p> <p><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p>	<p>1 America Square London EC3N 2LT</p>	<p>Address</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>UK Postcode _ _ _ _ _</p>
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<p>> Register of Members</p> <p><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p>	<p>Address where the Register is held</p> <p>At Registered Office</p>	<p>Address</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>UK Postcode _ _ _ _ _</p>
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<p>> Register of Debenture Holders</p> <p><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p>	<p>Not Applicable</p>	<p>Address</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>UK Postcode _ _ _ _ _</p>
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<p>> Principal Business Activities</p> <p><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p>	<p>SIC Code</p> <p>7499</p>	<p>Description</p> <p>Non-trading company</p>	<p>SIC CODE</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p>	<p>Description</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p>
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<p>> Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.</p>	<p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p>
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Section 2: Details of Officers of the Company

	Current details	Amended details
> Company Secretary If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Company Secretary must be notified on form 288.	Name Robert Pearce FALKNER Address 6 Thornhill Grove London N1 1JG	Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _ Date of change _ _ / _ _ / _ _ _ _ Date Robert Pearce FALKNER ceased to be secretary (if applicable) _ _ / _ _ / _ _ _ _
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Lee Martin AMAITIS Address 56 Chelsea Tower West Chelsea Manor Gardens London SW3 5PN Date of birth 19/12/1949 Nationality American Occupation Senior Managing Director	Name <u>NEW ADDRESS</u> _____ Address <u>27 CHELSEA TOWERS</u> <u>CHELSEA MANOR GARDENS</u> <u>LONDON</u> UK Postcode <u>SW3 5PN</u> Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation <u>President & C.E.O.</u> Date of change _ _ / _ _ / _ _ _ _ Date Lee Martin AMAITIS ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Andrew ANDREOU Address 46 Mr Ham Long NW1 <u>RESIGNED 29.12.00</u> Date Nationality British Occupation Chief Financial Officer	Name _____ Address _____ _____ _____ _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Andrew ANDREOU ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

	Current details	Amended details
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Mark HANNEY Address 9 Kingsdown Road Epsom Surrey KT17 3PU Date of birth 27/09/1968 Nationality British Occupation Finance Director	Name _____ Address _____ _____ _____ UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation _____ Date of change ____ / ____ / ____ Date Mark HANNEY ceased to be director (if applicable) ____ / ____ / ____
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name James C JOHNSON Address 83 Redington Road London NW3 7RR Date of birth 09/04/1960 Nationality American Occupation Chief Information Officer Euro	Name _____ Address NEW ADDRESS 8 WINNINGTON ROAD LONDON N2 0UB UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation SNR VICE PRESIDENT + CHIEF INFORMATION OFFICER EURO/ASIA OF ESPRESSO INTERNATIONAL LIMITED Date of change 07 / 07 / 2000 Date James C JOHNSON ceased to be director (if applicable) ____ / ____ / ____
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column. Particulars of a new Director must be notified on form 288.	Name Howard LUTNICK Address 200 East 69TH Street Apartment 32A New York New York State Usa 10021 Date of birth 14/06/1961 Nationality American Occupation President/Chief Executive Offi	Name _____ Address _____ _____ _____ UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation _____ Date of change ____ / ____ / ____ Date Howard LUTNICK ceased to be director (if applicable) ____ / ____ / ____

	Current details	Amended details
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Name Stephen MERKEL Address 61 Jane Street Apartment 19K New York New York U.S.A. NY10014 Date of birth 22/06/1958 Nationality American Occupation General Counsel/Snrvce Presi	Name Address UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation _____ Date of change ____ / ____ / ____ Date Stephen MERKEL ceased to be director (if applicable)
Particulars of a new Director must be notified on form 288.		
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Name Clive TRIANCE Address 3 Pondmore Way Ashford Kent TN25 4LU Date of birth 19/01/1967 Nationality British Occupation Head Of Settlements	Name Address UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation <u>MANAGING DIRECTOR OF OPERATIONS + ADMINISTRATION</u> Date of change 05 / 10 / 2000 Date Clive TRIANCE ceased to be director (if applicable)
Particulars of a new Director must be notified on form 288.		
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Name Frederick VARACCHI Address 14 Sandy Lane Greenwich Fairfield Connecticut 06831 Usa Date of birth 21/12/1965 Nationality American Occupation President & Coo Of E Speed Em <u>CO</u>	Name Address UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation <u>PRESIDENT + CHIEF OPERATING OFFICER</u> Date of change ____ / ____ / ____ Date Frederick VARACCHI ceased to be director (if applicable)
Particulars of a new Director must be notified on form 288.		

	Current details	Amended details
> Issued Share Capital <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details.</i>	Class of share Ordinary Nominal value of each share £1.00 Number of shares issued 1 Aggregate Nominal Value of issued shares £1.00	Class of share Nominal value of each share <u>US\$1.00</u> Number of shares issued Aggregate Nominal Value of issued shares <u>US\$1.00</u>
> Total shares issued and value <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Total number of shares issued 1 Total Nominal value of shares issued £1.00	Total number of shares issued Total Nominal value of shares issued <u>US\$1.00</u>
> At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at www.companieshouse.gov.uk or by ringing 029 2038 0801.		

Section 4: Details of Shareholders

- > The details we hold on your company's shareholders and their shareholdings are printed below. These are based on your last Annual Return.
- > If any details have changed, or if any shares have been transferred, please fill in the details in the "Amended details" or "Shares transferred" column.
- > Please give details of any other shareholders in Section 5.

Current details		Amended details		Shares transferred			
> Shareholder Name Cantor FITZGERALD <i>INTERNATIONAL</i>		Name _____		Shares transferred by Cantor FITZGERALD			
		Address _____					
Address International One America Square London EC3N 2LS		_____ _____ _____					
		UK Postcode _ _ _ _ _					
Shares held Class Ordinary		Number 1	Shares held Class _____	Number _____	Class _____	Number _____	Date of transfer ____/____/____ ____/____/____

Section 5: Details of Other Shareholders

- > Please fill in details of any persons or corporate bodies who are shareholders of the company at the date of this return, but whose details are not printed in Section 4.
- > Also, provide the details of any persons who became but have ceased to be shareholders of the company since the date of the last annual return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please copy this page if there is not enough space to enter all the company's other shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			



Companies House

— for the record —

363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

1. Declaration

- ☐ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

(Director / Secretary)

ROBERT FALKNER

Date

06 / 02 / 2001

This date must not be earlier than the return date at 2 below

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

2. Date of this return

- ☒ This AR is made up to
2/1/2001

If you are making this return up to an earlier date, please give the date here

___ / ___ / ___

Note: The form must be delivered to CH within 28 days of this date

3. Date of next return

- ☐ If you wish to change your next return to a date earlier than **2nd January 2002** please give the new date here:

___ / ___ / ___

4. Where to send this form

- ☒ Please return this form to:

Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ

OR

For members of the Hays Document
Exchange service
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Cheque



Postal Order



Cheque / Postal Order
Number

(Please complete as appropriate)

Contact Address

Please give the name and address of the person who should be contacted if there are any queries about this form.

Contact Name

MARVIA DOWNIE

Telephone number inc code

Address

CANTOR FITZGERALD
ONE AMERICA SQUARE
LONDON

DX number if applicable

DX exchange

Postcode

EC 3N 2LS