



Companies House

for the record
Company Name
PHOQUS LIMITED

HSBC
£15
102423

363s Annual Return

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.

Company Type
Private Company Limited By Shares

Company Number
3486675

Information extracted from
Companies House records on
7th December 2001

Section 1: Company details



A42
COMPANIES HOUSE

0784
25/01/02

Ref: 3486675/03/10

	Current details	Amended details																		
> Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	10 Kings Hill Avenue Kings Hill West Malling Kent ME19 4PQ	Address UK Postcode _ _ _ _ _																		
> Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Address where the Register is held At Registered Office	Address UK Postcode _ _ _ _ _																		
> Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	At Registered Office	Address UK Postcode _ _ _ _ _																		
> Principal Business Activities <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>7310</td> <td>R & D on nat sciences & engineering</td> </tr> </tbody> </table>	SIC Code	Description	7310	R & D on nat sciences & engineering	<table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table>	SIC CODE	Description												
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7310	R & D on nat sciences & engineering																			
SIC CODE	Description																			
> Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.																				

Section 2: Details of Officers of the Company

	Current details	Amended details
> Company Secretary <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Company Secretary must be notified on form 288.</i>	Name Dr Peter Graham JOHNSON Address Hollybush House Crawley Winchester Hampshire SO21 2QB	Name Address UK Postcode _ _ _ _ _ Date of change _ _ / _ _ / _ _ _ _ Date Dr Peter Graham JOHNSON ceased to be secretary (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288.</i>	Name Jerry Christopher BENJAMIN Address 2 Walnut Drive Tadworth Surrey KT20 6QX Date of birth 22/12/1940 Nationality American Occupation Venture Capital	Name Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Jerry Christopher BENJAMIN ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288.</i>	Name Doctor Graham Joseph BLAKER Address Callingham House 17 Ledborough Lane Beaconsfield Buckinghamshire HP9 2PZ Date of birth 11/08/1946 Nationality British Occupation Director Consultant	Name Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Doctor Graham Joseph BLAKER ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

	Current details	Amended details
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288.</i>	Name Terence William Daniel BLANEY Address Meadow Court Ranters Lane Goudhurst Kent TN17 1HR Date of birth 30/06/1948 Nationality British Occupation Executive	Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Terence William Daniel BLANEY ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288.</i>	Name John Patrick CASHMAN Address 83 Aylesbury Road Dublin 4 Dublin Ireland Date of birth 19/11/1940 Nationality Irish Occupation Director	Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date John Patrick CASHMAN ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288.</i>	Name Jon CLOSE Address Warren House Castle Road Kingswear Dartmouth Devon TQ6 0DX Date of birth 10/11/1955 Nationality British Occupation Director	Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Jon CLOSE ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

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> **Director**

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Particulars of a new Director must be notified on form 288.

Current details

Name
Professor John Nicholas
STANIFORTH

Address
High Trees
170 Bloomfield Road
Bath
Avon
BA2 2AT

Date of birth 02/10/1953

Nationality British

Occupation Professor

Amended details

Name

Address

UK Postcode _ _ _ _ _

Date of birth _ _ / _ _ / _ _ _ _

Nationality _____

Occupation _____

Date of change _ _ / _ _ / _ _ _ _

**Date Professor John Nicholas
STANIFORTH ceased to be director (if
applicable)** _ _ / _ _ / _ _ _ _

Section 3: Share Capital

	Current details	Amended details
> Issued Share Capital <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details.</i>	Class of share Ordinary A	Class of share _____
	Nominal value of each share £0.01	Nominal value of each share _____
	Number of shares issued 62,500	Number of shares issued _____
	Aggregate Nominal Value of issued shares £625.00	Aggregate Nominal Value of issued shares _____
	Class of share Ordinary B	Class of share _____
	Nominal value of each share £0.01	Nominal value of each share _____
	Number of shares issued 22,500	Number of shares issued <u>16000</u>
	Aggregate Nominal Value of issued shares £225.00	Aggregate Nominal Value of issued shares <u>£160.00</u>
	Class of share Ordinary C	Class of share _____
	Nominal value of each share £0.01	Nominal value of each share _____
	Number of shares issued 15,000	Number of shares issued _____
	Aggregate Nominal Value of issued shares £150.00	Aggregate Nominal Value of issued shares _____
	Class of share Preference	Class of share <u>Deferred</u>
	Nominal value of each share £1.00	Nominal value of each share _____
	Number of shares issued 4,580,000	Number of shares issued <u>4580065</u>
	Aggregate Nominal Value of issued shares £4,580,000.00	Aggregate Nominal Value of issued shares <u>£4,580,065</u>
	Total number of shares issued 4,680,000	Total number of shares issued <u>4,835,753</u>
	Total Nominal value of shares issued £4,581,000.00	Total Nominal value of shares issued <u>4,582,621.88</u>
> Total shares issued and value <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>		

Current details

Amended details

> At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at www.companieshouse.gov.uk or by ringing 0870 333636.

already submitted,

— see enclosed letter
 & info.

Ordinary D

£0.01

7000

£70.00

Preferred Ordinary

£0.01

155,188

£1551.88

Current details		Amended details		Shares transferred		
> Shareholder Name Trustees Of ADVENT PRIVATE EQUITY FUND II D		Name _____		Shares transferred by Trustees Of ADVENT PRIVATE EQUITY FUND II D		
Address 25 Buckingham Gate London SW1E 6LD		Address _____ _____				
UK Postcode _____		UK Postcode _____				
Shares held Class Ordinary A Preference		Shares held Class _____ _____				
Number 2958 216802		Number _____ _____		Class Number Date of transfer _____ _____ ____/____/____ _____ _____ ____/____/____		
> Shareholder Name Mr Terence William Daniel BLANEY		Name _____		Shares transferred by Mr Terence William Daniel BLANEY		
Address Meadow Court Ranters Lane Goudhurst Cranbrook Kent TN17 1HR		Address _____ _____				
UK Postcode _____		UK Postcode _____				
Shares held Class Ordinary B		Shares held Class _____ _____				
Number 22500		Number _____ _____		Class Number Date of transfer _____ _____ ____/____/____ _____ _____ ____/____/____		
> Shareholder Name COLORCON LTD		Name _____		Shares transferred by COLORCON LTD		
Address Flagship House Victory Way Crossways Dartford Kent DA2 6DQ		Address _____ _____				
UK Postcode _____		UK Postcode _____				
Shares held Class Ordinary C		Shares held Class _____ _____				
Number 15000		Number _____ _____		Class Number Date of transfer _____ _____ ____/____/____ _____ _____ ____/____/____		

Current details		Amended details		Shares transferred		
> Shareholder Name		Name				
3I GROUP PLC						
Address		Address				
91 Waterloo Road						
London						
SE1 8XP						
		UK Postcode	_____			
Shares held		Shares held				
Class		Class		Class	Number	Date of transfer
Ordinary A	Number 25000	Ordinary Series A	Number _____	_____	_____	__/__/____
Preference	1832000		Number _____	_____	_____	__/__/____

Section 5: Details of Other Shareholders

- > Please fill in details of any persons or corporate bodies who are shareholders of the company at the date of this return, but whose details are not printed in Section 4.
- > Also, provide the details of any persons who became but have ceased to be shareholders of the company since the date of the last annual return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please copy this page if there is not enough space to enter all the company's other shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			



Companies House

— for the record —

363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

1. Declaration

- ☐ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

[Signature]
(Director / Secretary)

Date

24 / 01 / 2002

This date must not be earlier than the return date at 2 below

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

2. Date of this return

- ☐ This AR is made up to 29/12/2001
- If you are making this return up to an earlier date, please give the date here

__ / __ / ____

Note: The form must be delivered to CH within 28 days of this date

3. Date of next return

- ☐ If you wish to change your next return to a date earlier than 29th December 2002 please give the new date here:

__ / __ / ____

4. Where to send this form

- ☐ Please return this form to:

Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ

OR

For members of the Hays Document
Exchange service
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Cheque



Postal Order



Cheque / Postal Order

Number

102423

(Please complete as appropriate)

Contact Address

Please give the name and address of the person who should be contacted if there are any queries about this form.

Contact Name

PETER JOHNSON

Telephone number inc code

01732 870227

Address

10 KINGS HILL AVENUE
KINGS HILL
WEST MALLING

DX number if applicable

DX exchange

Postcode

ME19 4PQ

3i Group PLC	91. Waterloo Road, London SE1 8XP
Abbey National Treasury Services Overseas Holdings	Abbey House Baker Street London NW1 6XL
Abingworth Bioventures III A L. P.	} 38, Jermyn Street } London SW1Y 6DN
Abingworth Bioventures III B L. P.	
Advent Private Equity Fund IIA	} 25, Buckingham Gate } London } SW1E 6LD } } } } } } } } } } }
Advent Private Equity Fund IIB	
Advent Private Equity Fund IIC	
Advent Private Equity Fund IID	
Advent Private Equity Fund IIIA	
Advent Private Equity Fund IIIB	
Advent Private Equity Fund IIIC	
Advent Private Equity Fund IIID	
Advent Management III Limited Partnership	
Advent Private Equity Fund III GmbH & Co KG	
Advent Private Equity Fund III Affiliates	
The Ailesbury Investment Trust	c/o Deutsche Bank Int'l Trust Co.(Jersey) PO Box 634 St Paul's gate New Street St Helier JE4 8YP
Colorcon	Colorcon Limited Flagship House Victory Way Crossways Dartford DA2 6DX
Credit Suisse Anlagestiftung	c/o Partners Group Middle Office Baarestrasse 37 PO Box Ch-6304 Zug Switzerland
GATX General Partner Limited	7th Floor Hillgate House 26 Old Bailey London EC4M 7HS

Intechquity Limited	Mitteldorf 1 House Crafadure Postfach 838 F19490 Vaduz Liechtenstein
Partners Group Private Equity Performance Holdings Ltd Pearl Holding Limited	} Elizabeth House } Les Ruettes Braye St Peter Port Guernsey
The Wellcome Trust Limited	183 Euston Road London NW1 2BE
Terry D W Blaney	Meadow Court Ranfers Lane Goudhurst Kent TN17 1HR
Joeeph Blaker	Callingham House 17 Ledborough Lane Beaconsfield HP9 2PZ
Jackie Brace	87 Oakdene Avenue Chislehurst Kent BR7 6DZ
Martin Hallett	15 Maple Court 51 James Street Greenhithe Kent DA9 9EA
Peter Johnson	Hollybush House Crawley Winchester SO21 2QB
John Langridge	Yale Cottage The Pant Llandelgla Denbighshire LL11 3AE
Linda Reeves	31 Hatton Court Lubbock Road

Chiselhurst
Kent
BR7 5JQ

Harry Seager

Ashley Lodge
Ashley Box
Corsham
Wiltshire
SN13 8AN

JN Staniforth

High Trees
170 Bloomfield Road
Bath
BA2 2AT

Marshall Whiteman

39 Cherry Orchard
Diffon
Aylesford
Kent
ME20 6QS

Phoqus Limited Shareholders

Name	No Shares Type	Date of share cert.	Ordinary Shares				Preferred	Deferred
			A	B	C	D		
3i Group PLC	15,000 Ordinary Series A	30-Aug-01	15,000					
3i Group PLC	23,737 Preferred Ordinary	22-Aug-01					23,737	
3i Group PLC	1,832,000 Deferred	22-Aug-01						1,832,000
Abbey National Treasury Services Overseas Holdings	8,824 Preferred Ordinary	22-Aug-01					8,824	
Abingworth Bioventures III A. L. P.	20,562 Preferred Ordinary	22-Aug-01					20,562	
Abingworth Bioventures III B. L. P.	14,732 Preferred Ordinary	22-Aug-01					14,732	
Advent Private Equity Fund I/A	13,719 A Ordinary	1-Nov-99	13,719					
Advent Private Equity Fund I/A	3,658 A Ordinary	30-Aug-01	3,658					
Advent Private Equity Fund I/A	4,501 Preferred Ordinary	22-Aug-01					4,501	
Advent Private Equity Fund I/A	1,005,299 Deferred	22-Aug-01						1,005,299
Advent Private Equity Fund I/B	8,367 A Ordinary	1-Nov-99	8,367					
Advent Private Equity Fund I/B	2,231 A Ordinary	30-Aug-01	2,231					
Advent Private Equity Fund I/B	2,745 Preferred Ordinary	22-Aug-01					2,745	
Advent Private Equity Fund I/B	613,123 Deferred	22-Aug-01						613,123
Advent Private Equity Fund I/C	9,075 A Ordinary	29-Jan-99	9,075					
Advent Private Equity Fund I/C	3,381 A Ordinary	1-Nov-99	3,381					
Advent Private Equity Fund I/C	3,322 A Ordinary	30-Aug-01	3,322					
Advent Private Equity Fund I/C	4,087 Preferred Ordinary	22-Aug-01					4,087	
Advent Private Equity Fund I/C	912,776 Deferred	22-Aug-01						912,776
Advent Private Equity Fund I/D	2,958 A Ordinary	1-Nov-99	2,958					
Advent Private Equity Fund I/D	789 A Ordinary	30-Aug-01	789					
Advent Private Equity Fund I/D	971 Preferred Ordinary	22-Aug-01					971	
Advent Private Equity Fund I/D	216,802 Deferred	22-Aug-01						216,802
Advent Private Equity Fund I/A	11,906 Preferred Ordinary	22-Aug-01					11,906	
Advent Private Equity Fund I/B	5,835 Preferred Ordinary	22-Aug-01					5,835	
Advent Private Equity Fund I/B	1,628 Preferred Ordinary	22-Aug-01					1,628	
Advent Private Equity Fund I/D	3,201 Preferred Ordinary	22-Aug-01					3,201	
Advent Private Equity Fund I/D	115 Preferred Ordinary	22-Aug-01					115	
Advent Management III Limited Partnership	461 Preferred Ordinary	22-Aug-01					461	
Advent Private Equity Fund III GmbH & Co KG	384 Preferred Ordinary	22-Aug-01					384	
The Allesbury Investment Trust	909 Preferred Ordinary	22-Aug-01					909	
Colorcon	15,000 C Ordinary	29-Jan-99			15,000			
Credit Suisse Anlagestiftung	1,177 Preferred Ordinary	22-Aug-01					1,177	
GATX General Partner Limited	2,941 Preferred Ordinary	17-Oct-01					2,941	

Intechquity Limited	17,648	Preferred Ordinary	22-Aug-01				17,648		
Partners Group Private Equity Performance Holdings Limi	1,765	Preferred Ordinary	22-Aug-01				1,765		
Pearl Holding Limited	3,530	Preferred Ordinary	22-Aug-01				3,530		
The Wellcome Trust Limited	23,529	Preferred Ordinary	22-Aug-01				23,529		
Joseph Blaker	500	D Ordinary	30-Oct-01			500			
Terry D W Blaney	16,000	B Ordinary	17-Oct-01		16,000				
Terry D W Blaney	65	Deferred	17-Oct-01					65	
Jackie Brace	500	D Ordinary	22-Aug-01			500			
Martin Hallett	500	D Ordinary	5-Sep-01			500			
Peter Johnson	1,000	D Ordinary	22-Aug-01			1,000			
John Langridge	500	D Ordinary	22-Aug-01			500			
Linda Reeves	1,000	D Ordinary	22-Aug-01			1,000			
Harry Seager	500	D Ordinary	22-Aug-01			500			
JN Staniforth	1,000	D Ordinary	17-Oct-01			1,000			
Marshall Whiteman	1,500	D Ordinary	5-Sep-01			1,500			
	Number								
	62,500	16,000	15,000	7,000		155,188	4,580,065		4,835,753
Value	625.00	160.00	150.00	70.00		1,551.88	4,580,065.00		4,582,621.88