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363a

Please complete in typescript,  
or in bold black capitals.

CHFP055

## Annual Return

Company Number 3479251

Company Name in full AXA Sun Life Holdings Public Limited Company

### Date of this return

The information in this return is made up to

Day		Month		Year		
0	5	1	2	2	0	0

### Date of next return

If you wish to make your next return  
to a date earlier than the anniversary  
of this return please show the date here.  
Companies House will then send a form  
at the appropriate time.

Day		Month		Year		
2	3	0	4	2	0	1

### Registered Office

Show here the address at the date of  
this return.

107 Cheapside

Any change of  
registered office  
**must** be notified  
on form 287.

Post town London

County / Region

UK Postcode EC2V 6DU

### Principal business activities

Show trade classification code number(s)  
for the principal activity or activities.

7484

If the c  
give a

A35  
COMPANIES HOUSE0821  
06/01/01A20  
COMPANIES HOUSE0195  
18/12/00

When you have completed and signed the form please send it to the  
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff  
for companies registered in England and Wales or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

## Register of members

If the register of members is not kept at the registered office, state here where it is kept.

107 Cheapside

Post town London

County / Region UK Postcode EC2V 6DU

## Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Not applicable

Post town

County / Region UK Postcode

## Company type

Public limited company

☒

Private company limited by shares

☐

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 30

☐

Private company limited by guarantee exempt under section 30

☐

Private unlimited company with share capital

☐

Private unlimited company without share capital

☐

Please tick the appropriate box

## Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name

\* Style / Title

Forename(s) Ian David Lea

\* Voluntary details.

Surname Richardson

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Address

Gatehouse Farm

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town Dinedor

County / Region Hereford UK Postcode HR2 6PF

Country

## Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name \* Style / Title \_\_\_\_\_

Day Month Year  
Date of birth 3 1 0 1 1 9 4 7

Forename(s) Peter Nigel Stuckey

Surname Clark

### Address

Stonehill

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Nympsfield

Post town Nr Stonehouse

County / Region Gloucestershire

UK Postcode GL10 3TR

Country England

Nationality British

Business occupation Chief Actuary

\* Voluntary details.

Name \* Style / Title \_\_\_\_\_

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth 3 1 1 0 1 9 5 3

Forename(s) Denis

Surname Duverne

### Address

70 Avenue de Breteuil

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town Paris

County / Region

UK Postcode 75007

Country France

Nationality French

Business occupation Senior Vice President

**Directors** (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name \* Style / Title \_\_\_\_\_

Date of birth  
Day Month Year  
0 1 0 1 1 9 6 2

Forename(s) Andrew Kenneth

Surname Haste

Address

Flat 8

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

19 Queen's Gate Terrace

Post town London

County / Region

UK Postcode SW7 5PR

Country England

Nationality British

Business occupation CEO, AXA Sun Life

\* Voluntary details.

Name \* Style / Title \_\_\_\_\_

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth  
Day Month Year  
0 7 0 6 1 9 5 7

Forename(s) Robert Edmund

Surname Lee

Address

45 Alma Road

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Clifton

Post town Bristol

County / Region

UK Postcode BS8 2DE

Country England

Nationality British

Business occupation Marketing Strategy Director

**Directors** (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

<b>Name</b>	* Style / Title								
<b>Directors</b>	In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.								
	Date of birth	Day	Month	Year					
		0	2	0	8	1	9	5	2
	Forename(s)	Peter Jonathan							
	Surname	Shelley							
<b>Address</b>	Littledown, Haw Lane								
<b>Usual residential address</b>	must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.								
	Post town	Olveston							
	County / Region	South Glos.			UK Postcode	BS35 4EQ			
	Country	England			Nationality	British			
	<b>Business occupation</b>	Actuary							

\* Voluntary details.

<b>Name</b>	* Style / Title								
<b>Directors</b>	In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.								
	Date of birth	Day	Month	Year					
		2	6	0	7	1	9	5	3
	Forename(s)	Gregory Mark							
	Surname	Wood							
<b>Address</b>	21 Sprimont Place								
<b>Usual residential address</b>	must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.								
	Post town	London							
	County / Region				UK Postcode	SW3 3HT			
	Country	England			Nationality	British			
	<b>Business occupation</b>	Chartered Accountant							

## Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name \* Style / Title \_\_\_\_\_

Day Month Year  
Date of birth 1 1 0 5 1 9 4 5

Forename(s) David John

Surname Garrett

### Address

5 Wyeclyffe Road

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Henleaze

Post town Bristol

County / Region

UK Postcode BS9 4NH

Country

Nationality British

Business occupation Customer Service Director

\* Voluntary details.

Name \* Style / Title \_\_\_\_\_

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year  
Date of birth

Forename(s)

Surname

### Address

**Usual residential address** must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town

County / Region

UK Postcode

Country

Nationality

Business occupation

**Issued share capital**

Enter details of all the shares in issue  
at the date of this return.

**Class**

(e.g. Ordinary/Preference)

**Number of  
shares issued****Aggregate  
Nominal Value**

(i.e. Number of shares issued  
multiplied by nominal value per  
share, or total amount of stock)

Ordinary Shares of £1 each

2,290,440,900

£2,290,440,900.00  
0

Totals

2,290,440,900

£2,290,440,900.00  
0

**List of past and present shareholders**

(Use attached schedule where appropriate)

A full list is required if one was not  
included with either of the last two  
returns.

There were no changes in the period

☐

on paper

in another format

A list of changes is enclosed

☐
☐

A full list of shareholders is enclosed

☒
☐
**Certificate**

I certify that the information given in this return is true to the best of my  
knowledge and belief

Signed

*Paul Tett*

Date

11.12.00

† Please delete as appropriate.

† a director / secretary

When you have signed the return send it  
with the fee to the Registrar of Companies.  
Cheques should be made payable to  
**Companies House.**

This return includes

23

continuation sheets.

(enter number)

Please give the name, address,  
telephone number, and if available,  
a DX number and Exchange, for  
the person Companies House  
should contact if there is any query.

Mrs P M Tett, AXA UK plc, 107 Cheapside, London EC2V 6DU

Tel 0207 645 1212

DX number

DX exchange

# **List of past and present shareholders** **Schedule to form 363a**

CHFP055

**Company Number** 3479251

**Company Name in full** AXA Sun Life Holdings Public Limited Company

- > Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- > You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following incorporation;
  - Every third annual return after a full list has been provided
- > List the company shareholders in alphabetical order or provide an index
- > List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
<b>Name</b> AXA UK plc <hr/> <b>Address</b> 107 Cheapside, London. <hr/> <b>UK Postcode</b> EC2V 6DU	Ordinary Shares of £1 each  2,290,440,899		
<b>Name</b> Sun Life Nominees Limited <hr/> <b>Address</b> 107 Cheapside, London. <hr/> <b>UK Postcode</b> EC2V 6DU	Ordinary Shares of £1 each  1		
<b>Name</b> <hr/> <b>Address</b> <hr/> <b>UK Postcode</b>			