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Companies House
for the record

Company Name
BLUEBAY ASSET MANAGEMENT PLC

363s Annual Return

Company Type
Public Limited Company

Company Number
3262598
Information extracted from Companies House records on
4th October 2007

> Please check the details printed in the "**Current details**" column
> If any details are wrong, strike them thr
in the "**Amended details**" column
> Please complete in **black** ink and use c

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COMPANIES HOUSE

Section 1: Company details

Ref 3262598/09/28

	Current details	Amended details
> Registered Office Address *If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column*	**Times Place** **45 Pall Mall** **London** **SW1Y 5JG**	Address UK Postcode
> Register of Members *If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column*	**Address where the Register is held** ~~At Registered Office~~	Address CAPITA REGISTRARS, THE REGISTRY, 34 BECKENHAM ROAD, BECKENHAM, KENT UK Postcode BR3 4TU
> Register of Debenture Holders *If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column*	**Not Applicable**	Address UK Postcode
> Principal Business Activities *If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column*	**SIC Code** **Description** **6712** **Security broking & fund management**	**SIC CODE** **Description**
> *Please enter additional principal activity code(s) in "Amended details" column See notes for guidance for list of activity codes*		

Company Number - 3262598

Section 2: Details of Officers of the Company

	Current details	Amended details
> **Company Secretary** *If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column* *Particulars of a new Company Secretary must be notified on form 288a*	**Name** **James Jackson BRACE** **Address** **94A Hadham Road** **Bishops Stortford** **Hertfordshire** **CM23 2QF**	Name ☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Address UK Postcode Date of change / / Date James Jackson BRACE ceased to be secretary (if applicable) / /
> **Company Secretary** *If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column* *Particulars of a new Company Secretary must be notified on form 288a*	**Name** ~~**Nicholas Mark WILLIAMS**~~ **Address** ~~**Flat 7 Samphire**~~ ~~**39 Old Hill**~~ ~~**Chislehurst**~~ ~~**Kent**~~ ~~**BR7 5NA**~~	Name ☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Address THIS INDIVIDUAL RESIGNED AS SECRETARY WEF 13/07/07. HE HAS BEEN A DIRECTOR SINCE 1/4/06 * UK Postcode Date of change / / Date Nicholas Mark WILLIAMS ceased to be secretary (if applicable) 13/07/2007

* COPIES OF THE RELEVANT 288a & 288b ARE ATTACHED TO THIS FORM FOR REFERENCE

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Section 2: Details of Officers of the Company (continued)

	Current details	Amended details
> **Director** *If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column* *Particulars of a new Director must be notified on form 288a*	**Name** **Tom CROSS BROWN** **Address** **Shipton Old Farm** **Winslow** **Buckinghamshire** **MK18 3JL** **Date of birth** **22/12/1947** **Nationality** **British** **Occupation** **Non Executive Director**	Name ☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Address UK Postcode Date of birth __ / __ / ____ Nationality Occupation Date of change __ / __ / ____ Date Tom CROSS BROWN ceased to be director (if applicable) __ / __ / ____
> **Director** *If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column* *Particulars of a new Director must be notified on form 288a*	**Name** **Terence Charles ECCLES** **Address** **Gateside House** **Ravenscroft Road** **Weybridge** **Surrey** **KT13 0NX** **Date of birth** **09/01/1946** **Nationality** **British** **Occupation** **Non Executive Director**	Name ☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Address UK Postcode Date of birth __ / __ / ____ Nationality Occupation Date of change __ / __ / ____ Date Terence Charles ECCLES ceased to be director (if applicable) __ / __ / ____

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Section 2: Details of Officers of the Company (continued)

	Current details	Amended details
> **Director** *If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column* *Particulars of a new Director must be notified on form 288a*	**Name** **Alexander KHEIN** **Address** **Penthouse D Montrose Court** **Princess Gate** **London** **SW7 2QG** **Date of birth** **17/09/1969** **Nationality** **Usa** **Occupation** **Chief Operating Officer**	Name ☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Address UK Postcode Date of birth ___ / ___ / ____ Nationality Occupation Date of change ___ / ___ / ____ Date Alexander KHEIN ceased to be director (if applicable) ___ / ___ / ____
> **Director** *If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column* *Particulars of a new Director must be notified on form 288a*	**Name** **Mark POOLE** **Address** **Shefford House** **Great Shefford** **Hungerford** **Berkshire** **RG17 7EF** **Date of birth** **19/07/1961** **Nationality** **British** **Occupation** ~~**Fund Manager**~~ Fund Manager	Name ☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Address UK Postcode Date of birth ___ / ___ / ____ Nationality Occupation Date of change ___ / ___ / ____ Date Mark POOLE ceased to be director (if applicable) ___ / ___ / ____

Section 2 Details of Officers of the Company (continued)

	Current details	Amended details
> **Director** *If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column* *Particulars of a new Director must be notified on form 288a*	**Name** **Hans Joerg RUDLOFF** **Address** **Flat 7** **7 Onslow Gardens** **London** **SW7 3LY** **Date of birth** **11/10/1940** **Nationality** **German** **Occupation** **Banker**	Name ☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Address UK Postcode Date of birth __ / __ / ____ Nationality Occupation Date of change __ / __ / ____ Date Hans Joerg RUDLOFF ceased to be director (if applicable) __ / __ / ____
> **Director** *If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column* *Particulars of a new Director must be notified on form 288a*	**Name** **Hugh WILLIS** **Address** **Harroway House** **The Harrow Way** **Whitchurch** **Hampshire** **RG28 7QT** **Date of birth** **11/03/1960** **Nationality** **British** **Occupation** **Fund Manager**	Name ☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Address UK Postcode Date of birth __ / __ / ____ Nationality Occupation Date of change __ / __ / ____ Date Hugh WILLIS ceased to be director (if applicable) __ / __ / ____

Section 3: Share Capital

(C)

Issued share capital details

> *Please fill in the details of total share capital by class (eg ordinary, preference etc) that has been issued to the company's shareholders*

Class of Share	Number of shares issued	Aggregate Nominal Value of issued shares
ORDINARY	190,635,000	£190,635

> *Please fill in the total number of issued shares and their total nominal value*

Number of shares issued: 190,635,000

Aggregate Nominal Value of issued shares: £190,635

List of past and present members *(Tick appropriate box)*

> *Please complete the required information on the attached schedules or in another format agreed by Companies House*

- ☐ There were no changes during the period
- ☐ A list of changes is enclosed
- ☑ A full list of members is enclosed

The last full list of members was received on: 11/10/2006

> **REMEMBER:**
> ***Changes*** *to shareholder particulars or details of shares transferred to be* ***completed each year***
> *A full list of shareholders is required with the first and every third Annual Return thereafter*
> *List shareholders in alphabetical order or provide an index*
> *List joint shareholders consecutively*

Company Number - 3262598

Section 4: Details of New Shareholders and Transfers (A) (ii)

> Please fill in details of any persons or corporate bodies who have become shareholders since the last annual return

> Please fill in details of any persons or corporate bodies that have transferred shares since the last annual return

> Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company

> Please copy this page if there is not enough space to enter all the company's current shareholders

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name Address UK Postcode			
Name Address UK Postcode			
Name Address UK Postcode			
Name Address UK Postcode			

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Section 4B: Details of Former Shareholders

> Please fill in details of any persons or corporate bodies who have ceased to be shareholders at the date of this return Also, please give the dates that their shares were transferred

> Please copy this page if there is not enough space to enter all the company's former shareholders

Former shareholders details	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Address UK Postcode		
Name Address UK Postcode		
Name Address UK Postcode		
Name Address UK Postcode		
Name Address UK Postcode		

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363s Annual Return Declaration

> When you have checked all the sections of this form, please complete this page and sign the declaration below

> If you want to change the made up date of this annual return, please complete 2 below

1. Declaration

☑ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below) I enclose the filing fee of £30

Signature _____ (~~Director~~ / Secretary)

Date 20 / 11 / 2007

This date must not be earlier than the return date at 2 below

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below

2. Date of this return

☑ This AR is made up to ***11/10/2007***

If you are making this return up to an earlier date, please give the date here

__ / __ / ____

Note The form must be delivered to CH within 28 days of this date

3. Date of next return

☐ If you wish to change your next return to a date earlier than **11th October 2008** please give the new date here

__ / __ / ____

4. Where to send this form

☐ Please return this form to

Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ

OR

For members of the Hays Document Exchange service
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Contact Address

You do not have to give any contact information below, but if you do, it will help Companies House to contact you if there is a query on the form The contact information that you give will be visible to searchers of the public record

Contact Name
COMPANY SECRETARY

Telephone number *inc code*
020 7389 3700

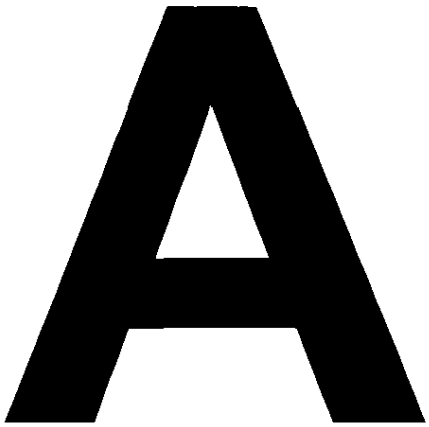
Address
77 GROSVENOR STREET
LONDON

DX number *if applicable*

DX exchange

Postcode W1K 3JR

FORM ML8(03/07)
CDROM/FICHE



BULK LIST OF SHAREHOLDERS OR MEMBERS FOR COMPANY NUMBER – 3262598

A BULK LIST OF SHAREHOLDERS OR MEMBERS FOR THIS COMPANY HAS BEEN LODGED BUT DOES NOT APPEAR ON THIS ANNUAL RETURN. THE LIST WILL BE AVAILABLE TO REQUEST ON MICROFICHE OR PAPER APPROXIMATELY 10 DAYS FROM 27/11/07. TO ORDER A COPY OF THE BULK LIST ON MICROFICHE OR PAPER CALL THE NUMBERS BELOW:

COMPANIES HOUSE DIRECT CUSTOMERS PLEASE PHONE 08457 573991

WEB CUSTOMERS PLEASE PHONE 0870 333 3636