



COMPANIES HOUSE

THE REGISTRAR OF COMPANIES
COMPANIES HOUSE
CROWN WAY
CARDIFF
CF4 3UZ



This form should be completed in black.

The information printed below is taken from Companies House records as at 01/07/98
If this information requires amendment use the spaces opposite.

Date of this return (See note 1)

The information in this return should be made up to a date not later than

Day	Month	Year
15	07	98

Date of next return (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day	Month	Year

Day	Month	Year

Registered Office (See note 3)

This is the address registered by Companies House.

13TH FLOOR
21 NEW FETTER LANE
LONDON
EC4A 1AJ

of company number 03224870

R

company name

LOMBARD RISK MANAGEMENT PLC

company type

PUBLIC LIMITED COMPANY

If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

Principal business activities (See note 4)

Trade classification is

9305 OTHER SERVICE ACTIVITIES

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If the code cannot be determined from the notes, give a brief description of principal activity.

03224870

Register of members (See note 5)

If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.

The register is kept at
REGISTERED OFFICE

Register of debenture holders (See note 6)

Any register of debenture holders (or duplicate) is kept at

Company Secretary (See note 7)

Particulars of a new secretary **must** be notified on form 288.

Day	Month	Year

 Date of any change.

NIGEL JOHN
DUXBURY
114 KING GEORGE STREET
GREENWICH
LONDON
SE10 8PX

If this person has ceased to be secretary, please state when.

Day	Month	Year

 Date of resignation.

Directors (See note 7)

Particulars of a new director **must** be notified on form 288.

Day	Month	Year

 Date of any change.

NIGEL JOHN
DUXBURY
114 KING GEORGE STREET
GREENWICH
LONDON
SE10 8PX

Date of Birth:- 26/05/59
Nat:BRITISH
Occ:FINANCE DIRECTOR

If this person has ceased to be director, please state when.

Day	Month	Year

 Date of resignation.

Show any relevant current and previous directorships.

If the information shown needs amendment,
give details below and the date of any change.

Directors - continued

Particulars.

JOHN MICHAEL
WISBEY
28 WESTBOURNE TERRACE
LONDON
W2 3UP

Day	Month	Year

Date of any change.

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Date of Birth:- 15/02/56

Nat:BRITISH

Occ:COMPANY CHAIRMAN

If this person has ceased to be director, please
state when.

Day	Month	Year

Date of resignation.

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Show any relevant current and previous directorships.

Particulars.

Day	Month	Year

Date of any change.

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NO MORE DIRECTORS - ADDITIONAL SECRETARIES
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

If this person has ceased to be director, please
state when.

Day	Month	Year

Date of resignation.

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Show any relevant current and previous directorships.

Particulars.

Day	Month	Year

Date of any change.

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NO MORE DIRECTORS - ADDITIONAL SECRETARIES
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

If this person has ceased to be director, please
state when.

Day	Month	Year

Date of resignation.

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Show any relevant current and previous directorships.

03224870

Issued Share Capital (See note 8)

Enter details of all shares in issue at the date of this return.

Class (eg Ordinary/ Preference etc)	Number of shares issued	Aggregate nominal value (ie Number of shares issued multiplied by nominal value per share)
<u>ORDINARY</u>	<u>5,002,200</u>	<u>£500,220</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
Totals	<u>5,002,200</u>	<u>£500,220</u>

List of past and present members

(See note 9)

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

Please mark the
appropriate box.

There were no changes in the period ☐

The last full members list was at 15/07/97

on paper

not on
paperA list of changes is enclosed ☐A full list of members is enclosed ☒**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

I enclose the fee of **£15**.

Cheques should be made payable
to **Companies House**.

Signed [Signature]

Secretary/Director*
*(delete as appropriate)

Date 8/7/98

This return includes _____ continuation sheets.
(enter number)

**Please ensure that you have completed
all sections on this page.**

To whom should Companies House direct any
enquiries about the information shown in this
return?----->

NIGEL JOHN ALEXANDER
13TH FLOOR
21 NEW FETTER LANE
LONDON Postcode EC1A 1AJ

Telephone 0171-353-5330 Ext 257

LIST OF PAST AND PRESENT MEMBERS
SCHEDULE TO FORM 363

Company Number: 03224870		Account of Shares			
Company Name: LOMBARD RISK MANAGEMENT PLC		Number of shares or amount of stock held by existing members at date of this return.	Particulars of shares transferred since the date of the last return, or, in the case of the first return, since the incorporation of the company, by (a) persons who are still members, and (b) persons who have ceased to be members.		Remarks
Name and address			Number currently held	Date of Registration of Transfer	
CALVARO INVESTMENTS LTD, ROYAL BANK HOUSE,		200,000			
EAST HILL ST, NASSAU, BAHAMAS					
NIKON LIMITED, LA MOTTE CHAMBERS, LA MOTTE ST,		345,000			
ST HELEWEL, JERSEY JE1 1BJ					
CALLION REACH HOLDINGS LTD, LA MOTTE CHAMBERS,		525,000			
LA MOTTE ST, ST HELEWEL, JERSEY, JE1 1BJ					
NEPTUNUM LTD, LA MOTTE CHAMBERS, LA MOTTE		525,000			
ST, ST HELEWEL, JERSEY, JE1 1BJ					
TARCA HOLDINGS LTD, LA MOTTE CHAMBERS,		525,000			
LA MOTTE ST, ST HELEWEL, JERSEY, JE1 1BJ					
VIOLET HOLDINGS LTD, LA MOTTE CHAMBERS,		525,000			
LA MOTTE ST, ST HELEWEL, JERSEY, JE1 1BJ					
JOHN MICHAEL WISSET, FLAT 28A,		1,661,993			
WESTROCKNIE TERRACE, LONDON W2 3LP					
CRAIG WARNOCK DAWSON, 2 ERLICUL CLOSE,		250			
LEIGHTON BURZARD, BEDS, W17 7XW					
ANDREW GEORGE FRASER, CROOKSBURY,		16,610			
2 BASINGSTOKE ROAD, KISLBY, BUCKINGHAM (RG7 1UD)					
ROBERT CHRISTOPHER RICHMOND HORSBURGH, 18		50,600			
ABBOTSBURY GARDENS, EASTCOTE, PINNER, MID, W5 1SZ					
NIGEL JOHN DUNN, 12 CIRCUS ST,		200			
GREENWICH, LONDON SE10 2BN					
RICHARD TRAVELL, 4 EDGE HILL,		2200			
WIMBLEDON, LONDON SW19 4LP					

Continued overleaf

LIST OF PAST AND PRESENT MEMBERS (continued)

SCHEDULE TO FORM 363

Company Number: 03224870		Account of Shares			
Company Name: LOMBARD RISK MANAGEMENT PLC		Number of shares or amount of stock held by existing members at date of this return.	Particulars of shares transferred since the date of the last return, or, in the case of the first return, since the incorporation of the company, by (a) persons who are still members, and (b) persons who have ceased to be members.		
Name and address			Number currently held	Date of Registration of Transfer	
JOHN RACQUE WILSON SHIELD, FAGINALL FARMHOUSE		25,347	300	12/2/97	
FAGINALL CANE, WINKHAMME HILL, AMERSHAM,			100	12/2/97	
BUCKS, HP7 0PC,			4433	12/2/97	
			515	12/9/97	
			50	12/9/97	
			50	12/9/97	
			50	12/9/97	
			515	6/10/97	
			50	6/10/97	
			50	6/10/97	
			50	6/10/97	
			515	6/11/97	
			50	6/11/97	
			50	6/11/97	
			50	6/11/97	
			10,000	20/11/97	
			50	15/2/98	
			50	15/12/98	
			50	15/12/98	
			515	15/12/98	
			1330	13/2/98	
			615	20/3/98	
			50	20/3/98	
			4000	7/6/98	
			665	30/6/98	