



Companies House

**AR01** (ef)

**Annual Return**



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*Company Name:* **LOMBARD RISK MANAGEMENT PLC**

*Company Number:* **03224870**

*Date of this return:* **15/07/2014**

*SIC codes:* **62012**

*Company Type:* **Public limited company**

*Situation of Registered Office:* **7TH FLOOR, LUDGATE HOUSE 245 BLACKFRIARS ROAD  
LONDON  
UNITED KINGDOM  
SE1 9UF**

**Officers of the company**

## *Company Secretary* 1

Type: **Person**  
Full forename(s): **MS XIAOHE (LISA)**

Surname: **TAN**

Former names:

*Service Address recorded as Company's registered office*

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## *Company Director* 1

Type: **Person**  
Full forename(s): **MR PHILIP JAMES**

Surname: **CRAWFORD**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **ENGLAND**

Date of Birth: **30/12/1951**                      Nationality: **BRITISH**  
Occupation: **DIRECTOR**

## *Company Director* 2

*Type:* **Person**  
*Full forename(s):* **MR NICHOLAS**

*Surname:* **DAVIES**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **17/07/1970** *Nationality:* **BRITISH**

*Occupation:* **CHIEF TECHNOLOGY OFFICER**

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## *Company Director* 3

*Type:* **Person**  
*Full forename(s):* **MR JOHN PATRICK**

*Surname:* **MCCORMICK**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **20/08/1961** *Nationality:* **IRISH**

*Occupation:* **COMPANY DIRECTOR**

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*Company Director* 4

*Type:* **Person**

*Full forename(s):* **MR STEPHEN RODERICK**

*Surname:* **ROGERS**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **ENGLAND**

*Date of Birth:* **25/03/1961**

*Nationality:* **BRITISH**

*Occupation:* **GENERAL MANAGER**

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*Company Director*    **5**

*Type:*                                **Person**

*Full forename(s):*                **JOHN MICHAEL**

*Surname:*                                **WISBEY**

*Former names:*

*Service Address:*                        **EAST HOUSE  
WOODLANDS ROAD EAST  
VIRGINIA WATER  
SURREY  
GU25 4PH**

*Country/State Usually Resident:*   **ENGLAND**

*Date of Birth:*   **15/02/1956**                                *Nationality:*   **BRITISH**

*Occupation:*    **COMPANY CHAIRMAN**

## Statement of Capital (Share Capital)

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<b>Class of shares</b>	<b>DEFERRED</b>	<i>Number allotted</i>	<b>429829575</b>
		<i>Aggregate nominal value</i>	<b>429829.575</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.001</b>
		<i>Amount unpaid per share</i>	<b>0</b>
<i>Prescribed particulars</i>			
<b>AS PER ARTICLES OF ASSOCIATION</b>			

<b>Class of shares</b>	<b>ORDINARY SHARES OF</b>	<i>Number allotted</i>	<b>500000</b>
	<b>£0.005</b>	<i>Aggregate nominal value</i>	<b>2500</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.09</b>
		<i>Amount unpaid per share</i>	<b>0</b>
<i>Prescribed particulars</i>			
<b>ADDITIONAL ORDINARY SHARES .... SEE ORDINARY PRESCRIBED PARTICULARS</b>			

<b>Class of shares</b>	<b>ORDINARY SHARES OF</b>	<i>Number allotted</i>	<b>206926786</b>
	<b>£0.005</b>	<i>Aggregate nominal value</i>	<b>1034633.93</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.03</b>
		<i>Amount unpaid per share</i>	<b>0</b>
<i>Prescribed particulars</i>			
<b>AS PER ARTICLES OF ASSOCIATION</b>			

<b>Class of shares</b>	<b>ORDINARY SHARES OF</b>	<i>Number allotted</i>	<b>1050000</b>
	<b>£0.005</b>	<i>Aggregate nominal value</i>	<b>5250</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.045</b>
		<i>Amount unpaid per share</i>	<b>0</b>

*Prescribed particulars*

**AS PER ARTICLES OF ASSOCIATION**

<b>Class of shares</b>	<b>ORDINARY SHARES OF</b>	<i>Number allotted</i>	<b>2327556</b>
	<b>£0.005</b>	<i>Aggregate nominal value</i>	<b>11637.78</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.13125</b>
		<i>Amount unpaid per share</i>	<b>0</b>

*Prescribed particulars*

**ADDITIONAL ORDINARY SHARES .... SEE ORDINARY PRESCRIBED PARTICULARS**

<b>Class of shares</b>	<b>ORDINARY SHARES OF</b>	<i>Number allotted</i>	<b>1055555</b>
	<b>£0.005</b>	<i>Aggregate nominal value</i>	<b>5277.775</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.09</b>
		<i>Amount unpaid per share</i>	<b>0</b>

*Prescribed particulars*

**ADDITIONAL ORDINARY SHARES .... SEE ORDINARY PRESCRIBED PARTICULARS**

<b>Class of shares</b>	<b>ORDINARY SHARES OF</b>	<i>Number allotted</i>	<b>20000000</b>
	<b>£0.005</b>	<i>Aggregate nominal value</i>	<b>100000</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.075</b>
		<i>Amount unpaid per share</i>	<b>0</b>

*Prescribed particulars*

**ADDITIONAL ORDINARY SHARES .... SEE ORDINARY PRESCRIBED PARTICULARS**

<b>Class of shares</b>	<b>ORDINARY SHARES OF</b>	<i>Number allotted</i>	<b>250000</b>
	<b>£0.005</b>	<i>Aggregate nominal value</i>	<b>1250</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.055</b>
		<i>Amount unpaid per share</i>	<b>0</b>

*Prescribed particulars*

**AS PER ARTICLES OF ASSOCIATION**

<b>Class of shares</b>	<b>ORDINARY SHARES OF</b>	<i>Number allotted</i>	<b>300000</b>
	<b>£0.005</b>	<i>Aggregate nominal value</i>	<b>1500</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.005</b>
		<i>Amount unpaid per share</i>	<b>0</b>

*Prescribed particulars*

**AS PER ARTICLES OF ASSOCIATION**

<b>Class of shares</b>	<b>ORDINARY SHARES OF</b>	<i>Number allotted</i>	<b>120000</b>
	<b>£0.005</b>	<i>Aggregate nominal value</i>	<b>600</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.055</b>
		<i>Amount unpaid per share</i>	<b>0</b>

*Prescribed particulars*

**AS PER ARTICLES OF ASSOCIATION**

<b>Class of shares</b>	<b>ORDINARY SHARES OF</b>	<i>Number allotted</i>	<b>120000</b>
	<b>£0.005</b>	<i>Aggregate nominal value</i>	<b>600</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.055</b>
		<i>Amount unpaid per share</i>	<b>0</b>

*Prescribed particulars*

**AS PER ARTICLES OF ASSOCIATION**



<b>Class of shares</b>	<b>ORDINARY SHARES OF £0.005</b>	<i>Number allotted</i>	<b>23636363</b>
		<i>Aggregate nominal value</i>	<b>118181.815</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.11</b>
		<i>Amount unpaid per share</i>	<b>0</b>
<i>Prescribed particulars</i>			
<b>AS PER ARTICLES OF ASSOCIATION</b>			

<b>Class of shares</b>	<b>ORDINARY SHARES OF £0.005</b>	<i>Number allotted</i>	<b>800000</b>
		<i>Aggregate nominal value</i>	<b>4000</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.005</b>
		<i>Amount unpaid per share</i>	<b>0</b>
<i>Prescribed particulars</i>			
<b>AS PER ARTICLES OF ASSOCIATION</b>			

<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>2400000</b>
		<i>Aggregate nominal value</i>	<b>12000</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.055</b>
		<i>Amount unpaid per share</i>	<b>0</b>
<i>Prescribed particulars</i>			
<b>AS PER ARTICLES OF ASSOCIATION</b>			

<b>Class of shares</b>	<b>ORDINARY £0.005</b>	<i>Number allotted</i>	<b>2600000</b>
		<i>Aggregate nominal value</i>	<b>13000</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.045</b>
		<i>Amount unpaid per share</i>	<b>0</b>
<i>Prescribed particulars</i>			
<b>AS PER ARTICLES OF ASSOCIATION</b>			

<b>Class of shares</b>	<b>ORDINARY £0.005P</b>	<i>Number allotted</i>	<b>80000</b>
		<i>Aggregate nominal value</i>	<b>400</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.09</b>
		<i>Amount unpaid per share</i>	<b>0</b>
<i>Prescribed particulars</i>			
<b>AS PER ARTICLES OF ASSOCIATION</b>			

<b>Class of shares</b>	<b>ORDINARY - £0.005</b>	<i>Number allotted</i>	<b>200000</b>
		<i>Aggregate nominal value</i>	<b>1000</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.09</b>
		<i>Amount unpaid per share</i>	<b>0</b>
<i>Prescribed particulars</i>			
<b>AS PER ARTICLES OF ASSOCIATION</b>			

<b>Class of shares</b>	<b>ORDINARY - £0.005P</b>	<i>Number allotted</i>	<b>1000000</b>
		<i>Aggregate nominal value</i>	<b>5000</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.055</b>
		<i>Amount unpaid per share</i>	<b>0</b>
<i>Prescribed particulars</i>			
<b>AS PER ARTICLES OF ASSOCIATION</b>			

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<b>Statement of Capital (Totals)</b>			
<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>693195835</b>
		<i>Total aggregate nominal value</i>	<b>1746660.875</b>

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## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 15/07/2014

*The company's shares were admitted to trading on a market during the period of this return and it was an issuer to which the shareholders notification requirements of Chapter 5 of the FSA's Disclosure and Transparency Rules source book applied throughout the period of the return*

*The Company was not required to provide details of any shareholders*

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### *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.