



Companies House

— for the record —

Company Name

**LOMBARD RISK MANAGEMENT
PLC**

363s Annual Return

500060
15

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capital.

Company Type

Public Limited Company

Company Number

3224870

Information extracted from
Companies House records on
23rd June 2003

Section 1: Company details



A17 0199
COMPANIES HOUSE 11/09/03
A21 0224
COMPANIES HOUSE 05/07/03

Ref: 3224870/11/36

Current details

Amended details

- > Registered Office
Address
If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.

**13TH Floor
21 New Fetter Lane
London
EC4A 1AJ**

Address

UK Postcode

- > Register of Members
If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.

**Address where the Register is held

At Registered Office**

Address

UK Postcode

- > Register of Debenture
Holders
If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.

Address

At Registered Office

UK Postcode

- > Principal Business
Activities
If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.

SIC Code

Description

9305

**Other service activities
n.e.c.**

SIC CODE

Description

- > Please enter additional
principal activity code(s) in
"Amended details" column.
See notes for guidance for
list of activity codes.

- > The records we hold for your company's officers are incomplete. Please enter their details below. **You must also complete and send a form 288 with this annual return if an appointment has not been notified to Companies House.**
- > Please copy this page if there is not enough space to enter all the company's officers.

Details of Officers

> Company Secretary

Please enter the details requested.

(Photocopy this area to enter details of joint secretaries).

Forenames

SPENCER NEIL

Surname or

Corporate name

BACKHOUSE

- > Please attach form 288 if the appointment of this company officer has not been notified to Companies House.

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

90 AVENUE ROAD

(Usual Residential)

LONDON

UK Postcode

N1 5 SDN

> Director

Please enter the details requested.

Forenames

Surname or

Corporate name

- > Please attach form 288 if the appointment of this company officer has not been notified to Companies House.

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

(Usual Residential)

UK Postcode

Date of birth

Business Occupation

Nationality

Section 2: Details of Officers of the Company

Current details

Amended details

> Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Name
Ian Rex PEACOCK

Address
65 Park Road
London
W4 3EY

Date of birth 05/07/1947

Nationality British

Occupation Banker

Name

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

UK Postcode

Date of birth

Nationality

Occupation

Date of change

Date Ian Rex PEACOCK ceased to be director (if applicable)

Particulars of a new Director must be notified on form 288.

> Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Name
Christopher Nigel ROSE

Address
Wentworth House
Westwood Road
Windlesham
Surrey
GU20 6LP

Date of birth 25/03/1957

Nationality British

Occupation Director

Name

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

UK Postcode

Date of birth

Nationality

Occupation

Date of change

Date Christopher Nigel ROSE ceased to be director (if applicable)

Particulars of a new Director must be notified on form 288.

	Current details	Amended details
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Name John Michael WISBEY	Name
	Address East House Woodlands Road East Virginia Water Surrey GU25 4PH	<input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Address
	Date of birth 15/02/1956	UK Postcode - - - - - Date of birth - - / - - / - - - - Nationality _____ Occupation _____ Date of change - - / - - / - - - - Date John Michael WISBEY ceased to be director (if applicable) - - / - - / - - - -
<i>Particulars of a new Director must be notified on form 288.</i>	Nationality British Occupation Company Chairman	
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Name Christopher WRIGHT	Name
	Address 139 Main Street Southport Connecticut Ct 06490 Usa	<input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Address
	Date of birth 17/07/1957	UK Postcode - - - - - Date of birth - - / - - / - - - - Nationality _____ Occupation _____ Date of change - - / - - / - - - - Date Christopher WRIGHT ceased to be director (if applicable) - - / - - / - - - -
<i>Particulars of a new Director must be notified on form 288.</i>	Nationality Usa Occupation Banker	

Issued share capital details

> Please fill in the details of total share capital by class (eg. ordinary, preference etc) that has been issued to the company's shareholders.

Class of Share	Number of shares issued
<u>ORDINARY</u>	<u>5061441</u>
	Aggregate Nominal Value of issued shares
	<u>506144</u>

Class of Share	Number of shares issued
<u>PREFERENCE 'A' SHARE</u>	<u>530000</u>
	Aggregate Nominal Value of issued shares
	<u>265000</u>

Class of Share	Number of shares issued
<u>PREFERENCE 'B' SHARE</u>	<u>189470</u>
	Aggregate Nominal Value of issued shares
	<u>94737</u>

Class of Share	Number of shares issued
<u></u>	<u></u>
	Aggregate Nominal Value of issued shares
	<u></u>

> Please fill in the total number of issued shares and their total nominal value.	Number of shares issued
	<u>5780911</u>
	Aggregate Nominal Value of issued shares
	<u>865881</u>

List of past and present members (Tick appropriate box)

- > Please complete the required information on the attached schedules or in another format agreed by Companies House.
- ☐ There were no changes during the period
☐ A list of changes is enclosed
☒ A full list of members is enclosed

The last full list of members was received on: 15/07/2002

- > **REMEMBER:**
Changes to shareholder particulars or details of shares transferred to be **completed each year**
 A full list of shareholders is required with the first and every third Annual Return thereafter
 List shareholders in alphabetical order or provide an index
 List joint shareholders consecutively

Section 4: Details of New Shareholders and Transfers (A) (ii)

- > Please fill in details of any persons or corporate bodies who have become shareholders since the last annual return.
- > Please fill in details of any persons or corporate bodies that have transferred shares since the last annual return.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			

Section 4B: Details of Former Shareholders

- > Please fill in details of any persons or corporate bodies who have ceased to be shareholders at the date of this return. Also, please give the dates that their shares were transferred.
- > Please copy this page if there is not enough space to enter all the company's former shareholders.

Former shareholders details	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		



Companies House

— for the record —

363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

1. Declaration

- ☐ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

[Signature]
(Director / Secretary)

Date

03 / 07 / 2003

*This date must not be earlier than the
return date at 2 below*

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

2. Date of this return

- ☐ This AR is made up to **15/7/2003** If you are making this return up to an earlier date, please give the date here

— / — / —

Note: The form must be delivered to CH within 28 days of this date

3. Date of next return

- ☐ If you wish to change your next return to a date earlier than **15th July 2004** please give the new date here:

— / — / —

4. Where to send this form

- ☐ Please return this form to:

Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ

OR

For members of the Hays Document
Exchange service
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Contact Address

You do not have to give any contact information below, but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Contact Name

SPENCER BACKHOUSE

Telephone number inc code

0207 3835130

Address

13th Floor

DX number if applicable

— — — — —

21 NEW FETTER LANE

DX exchange

LONDON

Postcode

EC4A 3AJ

Name	Address	Number of Shares
John Michael Wisbey	East House, Woodlands Road East, Virginia Water, Surrey GU25 4PH	1,675,645
Calvaro Investments Limited	c/o Royal Bank of Canada	800,000
Gallions Reach Holdings Limited	c/o Abacus Financial Services Ltd	525,000
Neon Limited	c/o Abacus Financial Services Ltd	345,000
Tarka Holdings Limited	c/o Abacus Financial Services Ltd	525,000
Neptunium Limited	c/o Abacus Financial Services Ltd	525,000
Violet Holdings Limited	c/o Abacus Financial Services Ltd	525,000
John Barrie Wishart Shield	Fagnall Farmhouse, Fagnall Lane, Winchmore Hill, Amersham, Bucks, HP7 0PG	20,000
Craig Warnock Dawson	2 Eriboll Close, Leighton Buzzard, Beds, CU7 7XW	250
Robert Christopher Richmond Horsburgh	18 Abbotsbury Gardens, Eastcote, Pinner, Middx, HA5 1SZ	50,000
Nigel John Duxbury	The Royal Circus, Tea Warehouse, 12 Circus Street, Greenwich, London SE10 8SN	200
Ian Rex Peacock	65 Park Road, Chiswick, London, W4 3EY	29,971
Richard Flavell	4 Edge Hill, Wimbledon, London, SW19 4LP	2,200
Madeline Yvonne Emma Horsburgh	18 Abbotsbury Gardens, Pinner, Middlesex, HA5 1SZ	600
John McCormick	Northenden, 9 Esher Park Avenue, Esher, Surrey KT 10 9NX	30,075
Robin Fox	Cheriton House, Cheriton, Alresford, Hampshire, SO24 0QA	7,500
		5,061,441
'A' Preference Shares		
Christopher Wright	139 Main Street, Southport, Connecticut, CT 06490, USA	120,000
Merifin Capital NV	c/o Finabel SA, 254 RTE de Lausanne, CP23	350,000
Richard C Hazlewood	Elmstead, Chapel Road, Limpsfield, Oxted, Surrey, RH8 0SX	60,000
		530,000
'B' Preference Shares		
David Hart	5 Oxberry Avenue, London	42,105
Caspar L Fox	79 Lavendar Sweep, London, SW11 1EA	52,631
Robert D Onslow	The Old Vicarage, 54 Boundaries Road, London, SW12 8HQ	31,578
Michael J Hanson-Lawson	c/o Societe Generale, 41F Edinburgh Tower, 15 Queens Road Central, Hong Kong	31,578
Maureen Mills	12b Glendale, 10 Deepwater Bay, Shouston Hill, Hong Kong	31,578
		189,470