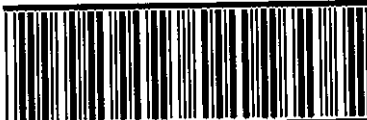




C O M P A N I E S H O U S E

THE REGISTRAR OF COMPANIES
COMPANIES HOUSE
CROWN WAY
CARDIFF
CF4 3UZ



A11 *ATSC46M3* 215
COMPANIES HOUSE 30/05/98

This form should be completed in black.

The information printed below is taken from Companies House records as at 17/04/98
If this information requires amendment use the spaces opposite.

Date of this return *(See note 1)*

The information in this return should be made up to a date not later than

Day	Month	Year
02	05	98

Date of next return *(See note 2)*

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Registered Office *(See note 3)*

This is the address registered by Companies House.
15 ST JAMESS SQUARE
LONDON
SW1Y 4LQ

Principal business activities *(See note 4)*

Trade classification is
6601 LIFE INSURANCE

If the code cannot be determined from the notes, give a brief description of principal activity.

363s

Annual Return

of company number 03196171

W

company name

CLERICAL MEDICAL INVESTMENT GROUP
LIMITED

company type

PRIVATE COMPANY LIMITED BY SHARES

If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

Day	Month	Year

Day	Month	Year

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If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.

Register of members *(See note 5)*

The register is kept at

REGISTERED OFFICE

Register of debenture holders *(See note 6)*

Any register of debenture holders (or duplicate) is kept at

Company Secretary *(See note 7)*

Particulars of a new secretary **must** be notified on form 288.

SUSAN ANNABEL MARGARET
FOGARTY
THE GABLES BRANTHAM COURT
MANNINGTREE
ESSEX CO11 1TP

Day Month Year

--	--	--

Date of any change.

If this person has ceased to be secretary, please state when.

Day Month Year

--	--	--

Date of resignation.

Directors *(See note 7)*

Particulars of a new director **must** be notified on form 288.

MALCOLM
ARGENT
CHESTNUTS
FRYERNING LANE FRYERNING
INGATESTONE
ESSEX CM4 0DF

Day Month Year

--	--	--

Date of any change.

Date of Birth:- 14/08/35
Nat:BRITISH
Occ:DIRECTOR

If this person has ceased to be director, please state when.

Day Month Year

--	--	--

Date of resignation.

Show any relevant current and previous directorships.

See attached

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

GILLIAN
CANN
OAKLEAZE
BREADSTONE
BERKLEY
GLOUCESTERSHIRE GL13 9HG

Date of Birth:- 31/12/59

Nat:BRITISH

Occ:DIRECTOR BUSINESS TRANSFORMATI

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year

Date of any change.

Day Month Year

Day	Month	Year

Date of resignation.

Particulars.

JAMES ROBERT
CROSBY
62 CORNHILL
LONDON
EC3V 3PL

Date of Birth:- 14/03/56

Nat:BRITISH

Occ:BUILDING SOC- GENERAL MANAGER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year

Date of any change.

Day Month Year

Day	Month	Year

Date of resignation.

See attached

Particulars.

JOHN STEPHEN
EDWARDS
EBBOR HOUSE
WOOKEY HOLE
WELLS
SOMERSET BA5 1AY

Date of Birth:- 10/06/55

Nat:BRITISH

Occ:GENERAL MANAGER MARKETING SALE

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Day	Month	Year

Date of resignation.

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

SIR
JOHN AUSTIN HUNGERFORD LEIGH
HOSKYNS
83 CLAPHAM COMMON WEST SIDE
LONDON
SW4 9AY

Date of Birth:- 23/08/27

Nat:BRITISH

Occ:DIRECTOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--

Date of any change.

Day Month Year

23	08	27
----	----	----

Date of resignation.

Particulars.

MICHAEL RODNEY NEWTON
MOORE
32 LOWER BELGRAVE STREET
LONDON
SW1W 0LN

Date of Birth:- 15/03/36

Nat:BRITISH

Occ:DIRECTOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--

Date of any change.

Day Month Year

--	--	--

Date of resignation.

Particulars.

RALPH NICHOLAS
QUARTANO
CBE
20 OAKCROFT ROAD
LONDON
SE13 7ED

Date of Birth:- 03/08/27

Nat:BRITISH GREEK

Occ:DIRECTOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--

Date of any change.

Day Month Year

23	08	27
----	----	----

Date of resignation.

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

JOHN RUSSELL
REES
FRIARS HALT ST MARYS ROAD
LEIGH WOODS
BRISTOL
AVON BS8 3PY

Date of Birth:- 16/03/28
Nat:BRITISH
Occ:DIRECTOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--

Date of any change.

Day Month Year

2	3	0	4	9	8
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Date of resignation.

Particulars.

PETER LOUIS MICHAEL
SHERWOOD
10 COLLEGE ROAD
CLIFTON
BRISTOL
BS8 3HZ

Date of Birth:- 27/10/41
Nat:BRITISH
Occ:DIRECTOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--

Date of any change.

Day Month Year

--	--	--

Date of resignation.

Particulars.

PRESIDENT
MALACHY PAUL
SMITH
C/O THE PINNACLES PITNEY BOWES
HARLOW
ESSEX CM19 5BD

Date of Birth:- 01/06/48
Nat:BRITISH
Occ:PRESIDENT

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--

Date of any change.

Day Month Year

--	--	--

Date of resignation.

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

ROBERT PHILIPPE
WALTHER
ASHWELLS BARN
CHESHAM LANE
CHALFONT ST GILES
BUCKINGHAMSHIRE HP8 4AS

Date of Birth:- 31/07/43
Nat:BRITISH
Occ:CHIEF EXECUTIVE

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

See attached

Particulars.

ROLAND GORDON
WARD
DRAKE HOUSE
MALVERN ROAD
CHELTENHAM
GLOUCESTERSHIRE GL50 2JJ

Date of Birth:- 01/06/45
Nat:BRITISH
Occ:FINANCE DIRECTOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

See attached

Particulars.

JOHN LOCKHART
WOOD
MA FIOP
THE HOO
GREAT GADDESSEN
HEMEL HEMPSTEAD
HERTFORDSHIRE HP2 6HD

Date of Birth:- 22/08/35
Nat:BRITISH
Occ:DIRECTOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

See attached

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

Day Month Year

Day	Month	Year

Date of any change.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

If this person has ceased to be director, please state when.

Day Month Year

Day	Month	Year

Date of resignation.

Show any relevant current and previous directorships.

Particulars.

Day Month Year

Day	Month	Year

Date of any change.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

If this person has ceased to be director, please state when.

Day Month Year

Day	Month	Year

Date of resignation.

Show any relevant current and previous directorships.

Particulars.

Day Month Year

Day	Month	Year

Date of any change.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

If this person has ceased to be director, please state when.

Day Month Year

Day	Month	Year

Date of resignation.

Show any relevant current and previous directorships.

Issued Share Capital (See note 8)

03196171

Enter details of all shares in issue at the date of this return.

Class (eg Ordinary/ Preference etc.)	Number of shares issued	Aggregate nominal value (ie Number of shares issued multiplied by nominal value per share)
ORDINARY	70,000,000	£70,000,000
Totals	70,000,000	£70,000,000

List of past and present members

(See note 9)

(Use attached schedule where appropriate)

Please mark the appropriate box.

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☐

The last full members list was at 02/05/97

	on paper	not on paper
A list of changes is enclosed	<input checked="" type="checkbox"/>	<input type="checkbox"/>
A full list of members is enclosed	<input type="checkbox"/>	<input type="checkbox"/>

Elective resolutions (See note 10)

(Private companies only)

If an elective resolution is in force at the date of this return to dispense with annual general meetings, mark this box.

☐

If an elective resolution is in force at the date of this return to dispense with laying accounts in general meetings, mark this box.

☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed

Secretary/Director *

*(delete as appropriate)

Date

29/5/98

I enclose the fee of £ 15.

Cheques should be made payable to **Companies House**.

This return includes continuation sheets.

(enter number)

Please ensure that you have completed all sections on this page.

To whom should Companies House direct any enquiries about the information shown in this return?

MRS S.A.M. FOGARTY

CLERICAL MEDICAL INVESTMENT GROUP LTD

15 ST JAMES'S SQ

LONDON

Postcode SW1X 4LQ

Telephone 0171 930 5474

Ext

LIST OF PAST AND PRESENT MEMBERS

SCHEDULE TO FORM 363[illegible]

Continued overleaf

LIST OF PAST AND PRESENT MEMBERS (continued)

SCHEDULE TO FORM 363[illegible]

Directorships

Malcolm ARGENT

Current

Civil Aviation Authority (Deputy Chairman)
British Telecommunications plc
National Air Traffic Services Ltd (Chairman)
Westminster Health Care Holdings plc

Clerical Medical Companies

Clerical Medical Investment Group (Holdings) Limited
Clerical Medical Investment Group Limited
Clerical, Medical and General Life Assurance Society

Held within last five years

McCaw Cellular Communications Inc (USA company)

3.2.98

Directorships

Gillian Elizabeth CAMM

Current

The Harbourside Centre Limited	19.01.98
--------------------------------	----------

Clerical Medical Companies

Clerical Medical Investment Group (Holdings) Limited	20.01.98
--	----------

Clerical Medical Investment Group Limited	20.01.98
---	----------

Clerical, Medical and General Life Assurance Society	20.01.98
--	----------

Held within last five years

3.2.98

Directorships

James Robert CROSBY

Current

Halifax Financial Services (Holdings) Limited	28.02.1994
Halifax Financial Services Limited	28.02.1994
Halifax Independent Financial Advisers Limited	28.02.1994
Halifax Fund Management Limited	12.05.1994
Halifax Life Limited	12.05.1994
Halifax Unit Trust Management Ltd	12.05.1994
Halifax plc	01.12.1996
HCM Holdings Limited	01.05.1996
Halifax General Insurance Services Limited	22.01.1997
Leeds Life Assurance Limited	01.03.1997
Leeds Unit Trusts Limited	01.03.1997

Clerical Medical Companies

Clerical Medical Investment Group (Holdings) Limited	
Clerical Medical Investment Group Limited	02.05.1996
Clerical, Medical and General Life Assurance Society	31.12.1996
Clerical Medical Investment Group (Holdings) Limited	17.02.1997

Held within last five years

J Rothschild Assurance Holdings plc	Resigned 31 January 1994
J Rothschild Assurance plc	Resigned 31 January 1994
Scottish Amicable Nominees Limited	Resigned 2 February 1994
Scottish Amicable Pension Investments Limited	Resigned 2 February 1994
Scottish Amicable PEP Managers Limited	Resigned 2 February 1994
Scottish Amicable PEP Nominees Limited	Resigned 2 February 1994
Scottish Amicable Trustees Limited	Resigned 2 February 1994
The Bank of Edinburgh Group Limited	Resigned 23 July 1993

Directorships

John Stephen EDWARDS

Current

Origo Services Group Limited

Appointed
January 1997

Clerical Medical Companies

Clerical Medical Investment Group (Holdings) Limited
Clerical Medical Investment Group Limited
Clerical, Medical and General Life Assurance Society
Clerical Medical International Financial Holdings Limited

Appointed
20.01.98
20.01.98
20.01.98

Held within last five years

Prolific Life and Pensions Limited

Resigned
April 1993

3.2.98

Directorships

Michael Rodney Newton MOORE

Current

Brixton Estate
Linx Printing Technologies plc (Chairman)
London International Group plc (Chairman)
Quicks Group plc (Chairman)
Which? Ltd (Chairman)
Finsbury Smaller Companies Trust plc
HCM Holdings Ltd

Clerical Medical Companies

Clerical Medical Investment Group (Holdings) Limited
Clerical Medical Investment Group Limited
Clerical, Medical and General Life Assurance Society (Deputy Chairman)

Held within last five years

Happy Valley Ducks Ltd	Resigned 11 May 1993
The Bank of Edinburgh plc (Chairman)	Resigned 16 July 1993
B of E Finance plc	Resigned 16 July 1993
B of E Trading plc	Resigned 16 July 1993
The Bank of Edinburgh Group Ltd (Director)	Resigned 30 March 1994
(Chairman)	Resigned 16 July 1993
Tomkins plc (Director)	31 December 1994
(Chairman)	Resigned 31 December 1994
NSPCC (Chairman)	Resigned 28 June 1995

3.2.98

Directorships

Peter Louis Michael SHERWOOD

Current

ASW Holdings plc
Bristol Chamber of Commerce & Industry (trading as Bristol Chamber of Commerce and Initiative)
EBC Group plc
HCM Holdings Limited
United Bristol Healthcare NHS Trust
Centre for the Performing Arts (Bristol) Limited (Chairman)
Halifax plc

Clerical Medical Companies

Clerical Medical Investment Group Holdings Limited
Clerical Medical Investment Group Limited
Clerical, Medical and General Life Assurance Society

Held within last five years

British Fuels Group Limited	Resigned 3 July 1992
The Bristol Initiative (amalgamated with Bristol Chamber of Commerce)	Resigned 25 February 1993
Airedale Holdings plc (Chairman)	Resigned 31 March 1993
Bristol Link West Limited	Resigned 1 April 1996
New Look Group Limited (Chairman)	Resigned 19 September 1996
Birmingham Midshires Building Society	Resigned 1 November 1996
Halifax Building Society	Resigned 2 June 1997
HTV Group plc (Chairman and Director)	Resigned 25 July 1997

Malachy Paul SMITH

Current

Pitney Bowes Limited (Chairman)
Pitney Bowes Finance PLC (Director)
Pitney Bowes - Austria (Director)
Pitney Bowes - Finland (Director)
Pitney Bowes - France (Director)
Pitney Bowes - Germany (Director)
Pitney Bowes - Ireland (Director)
Pitney Bowes - Italy (Director)
Pitney Bowes - Spain (Director)
Pitney Bowes - Sweden (Director)
National Concert Hall, Dublin, Ireland (Director)

Clerical Medical Companies

Clerical Medical Investment Group (Holdings) Limited
Clerical Medical Investment Group Limited
Clerical, Medical and General Life Assurance Society

Held within last ten years (as at 18.3.98)

Wang Europe, plus all related European subsidiaries
Wang Ireland Ltd
Cothú - The Business Council for the Arts
Irish Management Institute

18.3.98

Robert Philippe WALTHER

Current

Fidelity European Values PLC
The Fleming Claverhouse Investment Trust PLC

Clerical Medical Companies

Clerical Medical Investment Group (Holdings) Limited
HCM Holdings Limited
Clerical Medical Investment Group Limited
Clerical, Medical and General Life Assurance Society
Clerical Medical Managed Funds Limited
Clerical Medical Unit Trust Managers Limited
Clerical Medical Pep Managers Limited
Clerical Medical Investment Management Limited
General Reversionary & Investment Company
PEG Investment Company Limited
Clerical Medical International Financial Holdings Limited
Clerical Medical Bristol Limited
Clerical Medical London Limited
Clerical Medical Narrow Plain Limited
Clerical Medical Clevedon Limited

Held within last five years

CMI Insurance Company Limited
The CMI Core Portfolio PLC (now wound up)
The CMI High Income Fund PLC
CMI Trust Company (IOM) Limited
CMI Nominees Limited
CMI Financial Management Services Limited
CMI Fund Managers (IOM) Limited
CMI Traders Company Limited (now wound up)
The CMI Sterling Roll-Up Fund PLC
CM Venture Investments Limited
CMI Asset Management S A
CMI Global Network Fund
CMI Managed Portfolio Investment Company
National Westminster Life Assurance Limited
Coryo-CM Life Insurance Corporation (of South Korea)

Resigned 29 November 1996

Resigned 29 May 1997

Directorships

Roland Gordon WARD

Current

Drake Management Services Ltd
Gloucestershire Training and Enterprise Council
Gloucester Royal Hospital NHS Trust

Clerical Medical Companies

Clerical Medical Investment Group (Holdings) Limited
HCM Holdings Limited
Clerical Medical Investment Group Limited
Clerical, Medical and General Life Assurance Society
Clerical Medical Managed Funds Limited
Clerical Medical Unit Trust Managers Limited
Clerical Medical PEP Managers Limited
Clerical Medical Properties Limited
Clerical Medical Forestry Limited
Clerical Medical Investment Management Limited
PEG Investment Company Limited
General Reversionary & Investment Company
Clerical Medical International Financial Holdings Limited
Clerical Medical Bristol Limited (dormant)
Clerical Medical Clevedon Limited (dormant)
Clerical Medical London Limited (dormant)
Clerical Medical Narrow Plain Limited (dormant)
CMI Financial Management Services Limited
CMI Insurance Company Limited
CMI Fund Managers (IOM) Limited
CMI Trust Company (IOM) Limited
The CMI High Income Fund P.L.C.
The CMI Sterling Roll Up Fund P.L.C.
CM Venture Investments Limited
CMI Group Services (Gibraltar) Limited
CMI Financial Services Limited
CMI Investment Company Limited

Held within last five years

TMC Mortgage Securities No.23 plc	Resigned 30 June 1992
TMC Mortgage Securities No.22 plc	Resigned 30 June 1992
TMC Mortgage Securities No.24 plc	Resigned 30 June 1992
TMC Mortgage Securities No.3 plc	Resigned 30 June 1992
TMC Mortgage Securities No.4 plc	Resigned 30 June 1992
TMC Mortgage Securities No.21 plc	Resigned 30 June 1992
TMC Mortgage Securities No.2 plc	Resigned 30 June 1992
TMC Funding No.3 Limited	Resigned 30 June 1992
TMC Funding No.2 Limited	Resigned 30 June 1992
TMC Mortgage Securities No.1 plc	Resigned 30 June 1992
TMC Mortgage Securities No.10 plc	Resigned 30 June 1992
TMC Mortgage Securities No.11 plc	Resigned 30 June 1992
TMC Mortgage Securities No.5 plc	Resigned 30 June 1992
TMC Mortgage Securities No.6 plc	Resigned 30 June 1992
TMC P.I.M.B.S Sixth Financing plc	Resigned 30 June 1992
TMC P.I.M.B.S Second Financing plc	Resigned 30 June 1992
TMC P.I.M.B.S Third Financing plc	Resigned 30 June 1992
TMC Portfolio Services Limited	Resigned 30 June 1992

Roland Gordon WARD

Held within last five years continued

TMC P.I.M.B.S. Seventh Financing plc	Resigned 30 June 1992
TMC Financial Services Limited	Resigned 30 June 1992
TMC Private Placements No.1 Limited	Resigned 30 June 1992
TMC P.I.M.B.S plc	Resigned 30 June 1992
TMC P.I.M.B.S Fourth financing plc	Resigned 30 June 1992
TMC Mortgage Securities No.7 plc	Resigned 30 June 1992
TMC Mortgage Securities No.8 plc	Resigned 30 June 1992
TMC Mortgage Securities No.9 plc	Resigned 30 June 1992
TMC P.I.M.B.S Fifth Financing plc	Resigned 30 June 1992
TMC Funding No.1 Limited	Resigned 30 June 1992
TMC Finance plc	Resigned 30 June 1992
The Mortgage Corporation Group Limited	Resigned 30 June 1992
Holmes Placement No. 1 Limited	Resigned 30 June 1992
The Mortgage Corporation Limited	Resigned 30 June 1992
The Residential Mortgage Corporation Plc	Resigned 30 June 1992
The Savings and Mortgage Corporation Limited	Resigned 30 June 1992
Holmes Placement No. 2 Limited	Resigned 30 June 1992
The Invicta Investment Company Limited	Resigned 22 November 1994
Laurentian Independent Financial Planning Limited	Resigned 24 April 1995
Laurentian Fund Management Limited	Resigned 24 April 1995
Laurentian Life plc	Resigned 24 April 1995
Laurentian Management Services Limited	Resigned 24 April 1995
Laurentian Milldon Limited	Resigned 24 April 1995
Laurentian Financial Advisers Limited	Resigned 24 April 1995
Jobprofit Limited	Resigned 24 April 1995
Barnwood Developments Limited	Resigned 24 April 1995
Barnwood Properties Limited	Resigned 24 April 1995
Barnwood Properties Group Limited	Resigned 24 April 1995
IMPCO Property G.B. Limited	Resigned 24 April 1995
Laurentian Unit Trust Management Limited	Resigned 24 April 1995
Laurit Limited	Resigned 24 April 1995
Imperial Life Limited	Resigned 24 April 1995
Laurtrust Limited	Resigned 24 April 1995
LUTM Nominees Limited	Resigned 24 April 1995
The Money Club Direct Limited	Resigned 24 April 1995
Laurentian Financial Group plc	Resigned 24 April 1995
Cavendish Homes Investments (No. 1) plc	Resigned 31 May 1997
Cavendish Homes Investments (No. 2) plc	Resigned 31 May 1997
Cavendish Homes Investments (No. 3)plc	Resigned 31 May 1997
Gloucestershire Development Agency	Resigned 16 July 1997

Directorships

John Lockhart WOOD

Current

Bibby Line Group Limited
Halifax plc (Vice Chairman) (formerly Halifax Syndicated Loans Limited)
HCM Holdings Limited

Appointed 14 July 1987
Appointed 10 March 1993
Appointed 1 January 1997

Clerical Medical Companies

Clerical Medical Investment Group (Holdings) Limited
Clerical Medical Investment Group Limited
Clerical, Medical and General Life Assurance Society

Appointed 1 January 1997
Appointed 31 December 1996

Held within last five years

Domino Printing Sciences plc
Halifax Building Society (Vice Chairman)

Resigned 15 May 1996
Resigned 2 June 1997