



Companies House

— for the record —

Company Name

ERM LIMITED

363s Annual Return

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.

Company Type

Private Company Limited By Shares

Company Number

3128071

Information extracted from
Companies House records on
3rd November 2001

Section 1: Company details



Ref: 3128071/09/28

Current details

Amended details

> Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	8 Cavendish Square London W1G 0ER	Address _____ _____ _____ UK Postcode _ _ _ _ _																																
> Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Address where the Register is held At Registered Office	Address _____ _____ _____ UK Postcode _ _ _ _ _																																
> Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Not Applicable	Address _____ _____ _____ UK Postcode _ _ _ _ _																																
> Principal Business Activities <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> > Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.	<table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>7415</td> <td>Management activities holding comps</td> </tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table>	SIC Code	Description	7415	Management activities holding comps													<table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table>	SIC CODE	Description														
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SIC CODE	Description																																	

Section 2: Details of Officers of the Company

	Current details	Amended details
> Company Secretary <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Company Secretary must be notified on form 288.</i>	Name Svend Martin LITTAUER Address Lgff 54 Loftus Road London W12 7EN	Name Address UK Postcode _ _ _ _ _ Date of change _ _ / _ _ / _ _ _ _ Date Svend Martin LITTAUER ceased to be secretary (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288.</i>	Name Dr Malcolm HUTTON Address Box Lane Court Box Lane Boxmoor Hemel Hempstead Hertfordshire HP3 0DH Date of birth 18/02/1953 Nationality British Occupation Director	Name Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Dr Malcolm HUTTON ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
> Director <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i> <i>Particulars of a new Director must be notified on form 288.</i>	Name Philip KELLER Address 30 Meadowbank London NW3 3AY Date of birth 26/12/1965 Nationality British Occupation Accountant	Name Address UK Postcode _ _ _ _ _ Date of birth _ _ / _ _ / _ _ _ _ Nationality _____ Occupation _____ Date of change _ _ / _ _ / _ _ _ _ Date Philip KELLER ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

Issued share capital details

> Please fill in the details of total share capital by class (eg. ordinary, preference etc) that has been issued to the company's shareholders.

Class of Share	Number of shares issued
<u>ORDINARY</u>	<u>1,736,475</u>
	Aggregate Nominal Value of issued shares
	<u>86,823-75</u>

Class of Share	Number of shares issued
_____	_____
	Aggregate Nominal Value of issued shares

Class of Share	Number of shares issued
_____	_____
	Aggregate Nominal Value of issued shares

Class of Share	Number of shares issued
_____	_____
	Aggregate Nominal Value of issued shares

> Please fill in the total number of issued shares and their total nominal value.	Number of shares issued
	<u>1,736,475</u>
	Aggregate Nominal Value of issued shares
	<u>86,823-75</u>

List of past and present members (Tick appropriate box)

> Please complete the required information on the attached schedules or in another format agreed by Companies House.

☐ There were no changes during the period
☒ A list of changes is enclosed
☒ A full list of members is enclosed

The last full list of members was received on: 20/11/1999

> **REMEMBER:**
Changes to shareholder particulars or details of shares transferred to be **completed each year**
 A full list of shareholders is required with the first and every third Annual Return thereafter
 List shareholders in alphabetical order or provide an index
 List joint shareholders consecutively

Section 4: Details of New Shareholders and Transfers (A) (ii)

- > Please fill in details of any persons or corporate bodies who have become shareholders since the last annual return.
- > Please fill in details of any persons or corporate bodies that have transferred shares since the last annual return.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name <u>ERM HOLDINGS LTD</u> Address <u>8 CANNONDOVER SQUARE</u> <u>LONDON</u> UK Postcode <u>W1G 0ER</u>	<u>ORDINARY</u> <u>1,786,475</u>		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			

Section 4B: Details of Former Shareholders

- > Please fill in details of any persons or corporate bodies who have ceased to be shareholders at the date of this return. Also, please give the dates that their shares were transferred.
- > Please copy this page if there is not enough space to enter all the company's former shareholders.

Former shareholders details	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <u>SEE ATTACHED</u> Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _		



Companies House

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363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

1. Declaration

- ☐ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

(Director/ Secretary)

Date

11/12/2001

This date must not be earlier than the return date at 2 below

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

2. Date of this return

- ☒ This AR is made up to
20/11/2001

If you are making this return up to an earlier date, please give the date here

___ / ___ / ___

Note: The form must be delivered to CH within 28 days of this date

3. Date of next return

- ☒ If you wish to change your next return to a date earlier than **20th November 2002** please give the new date here:

01/04/2002

4. Where to send this form

- ☒ Please return this form to:

Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ

OR

For members of the Hays Document
Exchange service
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Cheque

☒ Postal Order☐

Cheque / Postal Order

Number

(Please complete as appropriate)

Contact Address

Please give the name and address of the person who should be contacted if there are any queries about this form.

Contact Name

J LITTAUER

Telephone number *inc code*

0207 4657363

Address

PCAVENDISH SQUARE

LONDON

DX number *if applicable*

DX exchange

Postcode

W1G 0ER

Share Movements

ACQUIRED/SOLD:	20.1.99	21.1.99	1.7.99	7.12.99	4.1.2000	17.1.00	1.2.00	3.2.2000	16.2.00	31.3.00	1.06.00	31.7.00	15.9.00	30.9.00	1.12.00	31.12.00	31.12.00	31.3.01	10.4.01	Balance 11/12/2001
Alejandro Achaval																		10,868	(10,868)	0
Jose Achaval											82							6,383	(6,383)	0
Pam Arthur	1,019									769	246								(82)	0
David Arthur	158																	1,718	(3,752)	0
Gordon Ashworth																		7,808	(7,966)	0
Eamonn Barrett																		7,288	(7,288)	0
Roger Barrowcliffe																		1,128	(1,128)	0
Brian Barrowcliffe	2,141																		(2,141)	0
Simon Bennett																		678	(678)	0
Berkeley Bettanin																		550	(550)	0
Massimo Bidwell	87,980	30,924												652					(118,904)	0
Robin Bjurstrom																			(652)	0
Lennart Black																		85	(85)	0
Adam Borremans																		1,447	(1,447)	0
Eric Bovarnick																		170	(170)	0
Andrew Boyman																		170	(170)	0
Gaye Brambilla	158																	736	(894)	0
Milena Brevi	158																		(158)	0
Marco Brown														326					(326)	0
Rosie Brunelli Bortetti														652					(652)	0
Alberto Buckingham	34,554	13,473												1,631				12,811	(48,027)	0
Philip Buydens	787															1,470		494	(16,699)	0
Walter Carmichael																		550	(494)	0
Iain Carriero																		632	(550)	0
Libero Chapman																			(632)	0
Chris Cheung										1,877								2,155	(4,032)	0
Freeman Clarke										610				(610)					0	0
Shelley Cleator																		85	(85)	0
Brian Cochrane	1,276																	1,561	(2,837)	0
Joana Cook																		295	(1,066)	0
Andrew Cook										1,642	410					771		2,177	(4,229)	0
Caroline Corsi																		2,942	(2,942)	0
Rcardo Cox														326					(326)	0
Joanna Craes																		15,692	(15,692)	0
Chinese Research CRAES																		85	(85)	0
Michael Cupit																		148	(533)	0
Murray Curtis																		310	(310)	0
Paul Davies																		6,315	(8,343)	0
Maurice De Waldner	2,028																		(3,504)	0
DeFilippi	2,520	984												652					(652)	0
Joanne Della Valle																		4,513	(4,513)	0
Graeme Dickson																		170	(170)	0
John Douglas																			(326)	0
John Dowson														326				1,088	(2,856)	0
Paul Duckworth											328			652				127	(127)	0
Steve Dudley																		678	(1,983)	0
Stephen Dye														1,305				1,096	(2,540)	0
Gev Eduljee	1,444																	447	(447)	0
Rolf Eggersdorfer																			(652)	0
David Elliott										938	410			652					(2,000)	0
David Evans																			(1,305)	0
Feldbaum	1,925													1,305					(1,925)	0
Ronald Fender																				0

ACQUIRED/SOLD:	20.1.99	21.1.99	1.7.99	7.12.99	4.1.2000	17.1.00	1.2.00	3.2.2000	16.2.00	31.3.00	1.06.00	31.7.00	15.9.00	30.9.00	1.12.00	31.12.00	31.12.00	31.3.01	10.4.01	Balance 11/12/2001	
Paul Fletcher	158									516								1,332	(2,006)	0	
Alistair Fulton	1,275																	323	(1,598)	0	
Arnon Garonzik	9,795	3,637																	(13,432)	0	
Astin Gavin																		168	(168)	0	
Gavroy Pty Ltd († Gavroy Pty Ltd (McCotter))																		22,881	(22,881)	0	
Ricardo Genova	432										(432)			652					(652)	0	
Raymond Germann																		3,159	(3,159)	0	
Tim Geyer																		2,562	(2,562)	0	
Kathleen Goossens	158																	1,099	(1,257)	0	
Michael Goth	158																		0	0	
Jorge Graells																		842	(842)	0	
Michel Guilhem																	385	148	(533)	0	
Keren Halliday																		893	(893)	0	
Hans Joachim Hampel																		2,970	(2,970)	0	
Rodney Harwood																		599	(599)	0	
Carl Hawkins																		495	(495)	0	
Walter Heinz														652				3,417	(5,343)	0	
Simon Hewitt	1,274																	49,734	(49,734)	0	
John Horberry														1,152		(352)			(2,141)	0	
Andrew Huggins	2,141									352				3,263			1,470	11,848	(17,368)	0	
Blaine Hummel	5,625																	85	(85)	0	
Mark Hutchinson																			(326)	0	
Malcolm Hutton	787																	364	(522)	0	
Niamh Hyland																		1,088	(2,535)	0	
Mark Irvine																	257	99	(520)	0	
Elena Jachia	158									1,447	164		(158)						0	0	
Andrew Jackson																		364	(364)	0	
James Jones	158																	206	(206)	0	
Andrea Josia																		674	(674)	0	
Klaus Kaiser																			(19,582)	0	
Susanne Karner																		2,896	(2,896)	0	
Philip Keller																			0	0	
Lonan Kierans																		1,348	(2,976)	0	
Thomas Koenig	158													(158)					(980)	0	
Brian Kraus	158																	1,835	(1,835)	0	
Phanu Kriporn																		2,700	(6,449)	0	
Maurizio Lagomarsino																			(164)	0	
Steve Laistr	1,699																	2,155	(3,839)	0	
William Lines																		1,099	(1,099)	0	
John Lo										1,684	164								(9,060)	0	
Stefano Lodi																		495	(495)	0	
William Lorenz	6,506	2,554																12,642	(13,490)	0	
Gabriele Luft																		96	(96)	0	
Don Macintre	848																	736	(894)	0	
David Maidment																				0	
Sergio Marcoaldi	158																	17,096	(28,472)	0	
Carlo A Marcoaldi	6,643													3,263		1,470		2,177	(2,177)	0	
Peter Marsden																		3,852	(3,852)	0	
Julie Martin																			(652)	0	
Jaime Martinez														652					0	0	
Vitor Martins	1,014		(1,014)																0	0	0
Bob McCotter										3,309	1,781					9,556		4,220	(18,866)	0	

ACQUIRED/SOLD:		20.1.99	21.1.99	1.7.99	7.12.99	4.1.2000	17.1.00	1.2.00	3.2.2000	16.2.00	31.3.00	1.06.00	31.7.00	15.9.00	30.9.00	1.12.00	31.12.00	31.12.00	31.3.01	10.4.01	Balance 11/12/2001
Edward	McKeever	216							1,000											(216)	0
Alistair	McNair Scott																			(1,000)	0
Tony	McNamara																		148	(533)	0
Lidia	Meli	1,171																385	2,942	(4,113)	0
Robert	Metcalf																		255	(255)	0
Tassilo	Metternich																		0	0	0
Alfio	Mianzan																			(326)	0
Paul	Mitchell																		27,100	(27,100)	0
Frank	Mohen																		345	(1,799)	0
Bruce	Moholt	516			(516)												9,556	(9,556)	1,454	0	0
Peter	Moore																				0
Piero	Mori																		396	(396)	0
Mark	Morris	158																	364	(364)	0
Krishna	Mudan	787																	1,117	(1,927)	0
Scott	Nadler																		0	0	0
Chandran	Nair	0	7,556								6,590									(1,305)	0
Arai	Naoki																		9,418	(26,827)	0
Daniela	Nissim																			(652)	0
Dirk	Nuyens																		364	(364)	0
Steve	O'Connor																		2,562	(2,562)	0
Massimo	Olivotti																		3,052	(4,080)	0
Sean	O'Riordan																		1,285	(1,937)	0
Pedro	Panzardi																			(652)	0
Colin	Parker	18,283																	80,937	(80,937)	0
John	Patterson		28,627																10,592	(29,844)	0
Kent	Patterson	73,678																	85	(737)	0
James	Pearson										5,117	410							7,237	(13,416)	0
Glen	Pettitt																		401	(401)	0
Christina	Phang																			(652)	0
Paolo	Picozzi																		736	(736)	0
Tim	Pierson-Smith										234								2,155	(2,389)	0
Arbind	Prasad	432																		(432)	0
Peter	Prynn	7,245								5,146									2,177	(2,646)	0
Jon	Pyke										469								85	(85)	0
Andrew	Radcliff																		5,072	(7,791)	0
Karen	Raymond	2,719																	886	(3,200)	0
Andrew	Read																		932	(1,090)	0
Catherine	Reed	158																	2,504	(2,504)	0
Alan	Reeves																			(326)	0
David	Reid																			0	0
Martin	Sarbacki	5,936												(4,936)					150	(150)	0
Annie	Say																		206	(206)	0
Mathias	Schroeder																			(21,461)	0
Daniel	Sevick	12,041	4,687																	0	0
Jatin	Shah	158																	2,511	(2,511)	0
Jill	Shankleman																		85	(411)	0
Bob	Shaw																		0	0	0
Alan	Shimada	108					(108)												9,574	(9,574)	0
Carlos	Silva																			(2,141)	0
Edward	Simck	2,141																	1,695	(3,326)	0
John	Simonson																		17,003	(17,655)	0
Andrew	Smith																			(170)	0
Tim M	Smith																		170		0

ACQUIRED/SOLD:	20.1.99	21.1.99	1.7.99	7.12.99	4.1.2000	17.1.00	1.2.00	3.2.2000	16.2.00	31.3.00	1.06.00	31.7.00	15.9.00	30.9.00	1.12.00	31.12.00	31.12.00	31.3.01	10.4.01	Balance 11/12/2001
David Snashall										704								7,220	(7,924)	0
Lee Solsbery														1,305					(1,305)	0
Liam Spring														1,305					(1,305)	0
Jocelyn S-Smith	158																		(158)	0
Eric Steinmiller	2,000													(2,000)				1,938	(1,938)	0
Gunther Streckert																				0
Laura Sutton														326					(326)	0
Andy Sykes														326				2,822	(2,822)	0
Dylan Tanner																			(652)	0
Glen Taylor														652					(158)	0
Jo Thompson	158																	915	(915)	0
Jutta Tillotson																		835	(835)	0
Simon Tillotson																			(158)	0
Angela Tornuin	158																	9,588	(9,588)	0
Julio Torti																		4,210	(4,210)	0
Marc Trancart																		5,415	(8,231)	0
Sandy Vigar										2,816								550	(708)	0
Michele Villa	158																		0	0
Matthias Vogt	158												(4,111)	652					(652)	0
David Walker	4,111																	1,164	(3,442)	0
Bruce Walker											1,004							848	(848)	0
Tim Walsh																			(1,081)	0
John Waters																		518	(833)	0
Kenneth Weiss	1,081																	33,032	(41,529)	0
Marc Wesselink	315																		(151,198)	0
David Wilson	8,497																	5,072	(5,072)	0
Paul Woodruff	360,521	140,677													(350,000)			848	(1,500)	0
Tom Woollard														652				4,513	(4,513)	0
Jim Wright																		1,099	(1,257)	0
Alan Wyatt																		246	(889)	0
Gloria Zavatta	158																		0	0
Dennis Zines	1,486																		0	0
Nils Zorn	42,323	18,768	1,014	516	316	108	(2,800)				(6,945)	4,936	4,269	(37,873)	(1,486)	(19,230)	(6,888)	4,323	(35,208)	0
United Env Insurance Co	30,885														1,486				(35,900)	0
Lahmeyer International																			(49,544)	0
ERM Delaware Inc																			(50,000)	0
ERM South America Ltd																			(62,863)	0
The 2000 Patterson Stock Trust															50,000			4,227	(350,000)	0
Phoenix Investment Ltd																				0
The 2000 PHW Stock Trust	54,364						2,800								350,000					0
ERM Holdings Limited																				1,736,475
Shares in Issue:	807,729	251,887	0	0	0	0	0	0	5,146	116,580	0	0	0	0	0	19,112	0	536,021	0	1,736,475
	807,729	1,059,616	1,059,616	1,059,616	1,059,616	1,059,616	1,059,616	1,059,616	1,064,762	1,181,342	1,181,342	1,181,342	1,181,342	1,181,342	1,181,342	1,200,454	1,200,454	1,736,475	1,736,475	1,736,475