



363a

Please complete in typescript,  
or in bold black capitals.

## Annual Return

CHFP029

Company Number 3061140

Company Name in full Quilter Holdings Limited

### Date of this return

The information in this return is made up to

Day Month Year

1 9 / 0 3 / 2 0 0 8

### Date of next return

If you wish to make your next return  
to a date earlier than the anniversary  
of this return please show the date here  
Companies House will then send a form  
at the appropriate time

Day Month Year

1 9 / 0 3 / 2 0 0 9

### Registered Office

Show here the address at the date of  
this return

Citigroup Centre

Canada Square, Canary Wharf

Any change of  
registered office  
must be notified  
on form 287

Post town

London

County / Region

UK Postcode

E 1 4   5 L B

### Principal business activities

Show trade classification code number(s)  
for the principal activity or activities

7415

If the code number cannot be determined,  
give a brief description of principal activity



A44

\*A9HFIYFA\*  
29/03/2008  
COMPANIES HOUSE

104

When you have completed and signed the form please send it to the  
Registrar of Companies at

**Companies House, Crown Way, Cardiff, CF14 3UZ** DX 33050 Cardiff  
for companies registered in England and Wales

or

**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**

for companies registered in Scotland

DX 235 Edinburgh  
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## Register of members

If the register of members is not kept at the registered office, state here where it is kept

Post town

County/Region

UK Postcode

## Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept

Post town

County/Region

UK Postcode

## Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

## Company Secretary

\* Voluntary details

(Please photocopy this area to provide details of joint secretaries)

Name

\* Style / Title

Forename(s)

Surname

Address ††

☐

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation, or Scottish firm, give the registered or principal office address

If a partnership give the names and addresses of the partners or the name of the partnership and office address

Details of a new company secretary must be notified on form 288a

Andrew Martin

Gaulter

Willows End

39 Linden Grove

Post town Walton-on-Thames

County/ Region Surrey

UK Postcode K T 1 2 1 E Y

Country

## Directors

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address In the case of a corporation, or Scottish firm, give the registered or principal office address

Name	* Style / Title		
	Day	Month	Year
Date of Birth	2	8	05 / 1964
Forename(s)	Martin Howard		
Surname	Baines		
<input type="checkbox"/> Address ††	Birling		
	Long Bottom Lane		
Post town	Seer Green		
County / Region	Buckinghamshire	UK Postcode	HP9 2UL
Country	England	Nationality	British
Business occupation	Stockbroker Investment Manager		

\* Voluntary details

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address In the case of a corporation, or Scottish firm, give the registered or principal office address

Name	* Style / Title		
	Day	Month	Year
Date of Birth	04	04	1960
Forename(s)	Robert Earl		
Surname	Stemmons		
<input type="checkbox"/> Address ††	1 Lebanon Drive		
Post town	Cobham		
County / Region	Surrey	UK Postcode	KT11 2PR
Country	England	Nationality	United States Citizen
Business occupation	Lawyer		

## Directors

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

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Name	* Style / Title			
		Day	Month	Year
	Date of Birth	2	2	04
		1	9	6
		2		
	Forename(s)	Stephen John		
	Surname	Vakil		
<input type="checkbox"/>	Address ††	60 Vogans Mill		
		17 Mill Street		
	Post town	London		
	County / Region		UK Postcode	S E 1 2 B Z
	Country		Nationality	British
	Business occupation	Director		

\* Voluntary details

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address In the case of a corporation, or Scottish firm, give the registered or principal office address

Name	* Style / Title	Mrs		
		Day	Month	Year
	Date of Birth	1	5	11
		1	9	5
		7		
	Forename(s)	Catherine Mullaney		
	Surname	Weir		
<input type="checkbox"/>	Address ††	44 Grosvenor Square		
	Post town	London		
	County / Region		UK Postcode	W 1 K 2 H P
	Country		Nationality	United States Citizen
	Business occupation	CEO, Global Wealth Management EMEA		

**Issued share capital**

Enter details of all the shares in issue at the date of this return

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value <small>(i.e. Number of shares issued multiplied by nominal value per share or total amount of stock)</small>
7% Cumulative Preference	11,934,000 00	£ 11,934,000 00
A Ordinary	7,000,000 00	£ 70,000 00
B Ordinary	2,500,000 00	£ 25,000 00
Deferred	1 00	£ 0 001466
Totals		

**List of past and present shareholders**

*(Use attached schedule where appropriate)*

A full list is required if one was not included with either of the last two returns

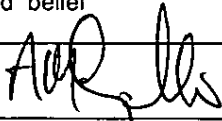
There were no changes in the period ☐

	on paper	in another format
A list of changes is enclosed	<input type="checkbox"/>	<input type="checkbox"/>
A full list of shareholders is enclosed	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Certificate**

I certify that the information given in this return is true to the best of my Knowledge and belief

Signed

  
† a director / secretary

Date 26/03/2008

† Please delete as appropriate

if you have signed the return send it with the fee to the Registrar of Companies  
Cheques should be made payable to  
**Companies House**

This return includes  continuation sheets  
(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record

Company Secretary's Department

Citigroup Centre, Canada Square, Canary Wharf, London, E14 5LB

Tel   
DX number  DX exchange

CHFP029

Company Number

3061140

Company Name in full

Quilter Holdings Limited

**Issued share capital**  
Enter details of all the shares in issue  
at the date of this return

**Class**  
(e.g. Ordinary/Preference)

**Number of  
shares issued**

**Aggregate  
Nominal Value**

(i.e. Number of shares issued  
multiplied by nominal value per  
share or total amount of stock)

New Ordinary

2,258,065 00

£

18,750 000792

Ordinary

500,000 00

£

5,000 00

Totals

24,192,066 00

12,052,750 002258

# List of past and present shareholders Schedule to form 363a

CHFP029

Company Number 3061140

Company Name in full Quilter Holdings Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on
  - The company's first annual return following incorporation,
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Citibank Investments Limited Address Citigroup Centre, Canada Square, Canary Wharf, London UK Postcode E 1 4 L 5 L B	7% Cumulative Preference  11934000		
Name Citibank Investments Limited Address Citigroup Centre, Canada Square, Canary Wharf, London UK Postcode E 1 4 L 5 L B	A Ordinary  7000000		
Name Citibank Investments Limited Address Citigroup Centre, Canada Square, Canary Wharf, London UK Postcode E 1 4 L 5 L B	B Ordinary  2500000		

## List of past and present shareholders (Continued)

Company Number 3061140

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate) Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Citibank Investments Limited Address Citigroup Centre, Canada Square, Canary Wharf, London UK Postcode E 1 4 L 5 L B	Deferred 1		
Name Citibank Investments Limited Address Citigroup Centre, Canada Square, Canary Wharf, London UK Postcode E 1 4 L 5 L B	New Ordinary 2258065		
Name Citibank Investments Limited Address Citigroup Centre, Canada Square, Canary Wharf, London UK Postcode E 1 4 L 5 L B	Ordinary 500000		
Name Address UK Postcode L L L L L L L			
Name Address UK Postcode L L L L L L L			