



363a

190816130

Please complete in typescript,
or in bold black capitals

Annual Return

CHFP029

Company Number 3061140

Company Name in full Quilter Holdings Limited

Date of this return

The information in this return is made up to

Day Month Year

1 9 / 0 3 / 2 0 0 7

Date of next return

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here
Companies House will then send a form
at the appropriate time

Day Month Year

1 9 / 0 3 / 2 0 0 8

Registered Office

Show here the address at the date of
this return

Citigroup Centre

Canada Square, Canary Wharf

Any change of
registered office
must be notified
on form 287

Post town

London

County / Region

UK Postcode

E 1 4 5 L B

Principal business activities

Show trade classification code number(s)
for the principal activity or activities

7415

If the code number cannot be determined,
give a brief description of principal activity

THURSDAY



A37 *A18RUOUM* 19/04/2007 50
COMPANIES HOUSE

Form April 2002

When you have completed and signed the form please send it to the
Registrar of Companies at

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland

DX 235 Edinburgh
Page 1

Register of members

If the register of members is not kept at the registered office, state here where it is kept

Post town

County/Region

UK Postcode

 _ _ _ _ _ _ _ _ _ _

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept

Post town

County/Region

UK Postcode

 _ _ _ _ _ _ _ _ _ _

Company type

Public limited company

☐

Private company limited by shares

☒

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 30

☐

Private company limited by guarantee exempt under section 30

☐

Private unlimited company with share capital

☐

Private unlimited company without share capital

☐

Please tick the appropriate box

Company Secretary

* Voluntary details

(Please photocopy this area to provide details of joint secretaries)

Name

* Style / Title

Forename(s)

Andrew

Surname

Gaulter

Address ^{††}

☐

Willows End

39 Linden Grove

Post town

Walton-on-Thames

County/ Region

Surrey

UK Postcode

 K T 1 2 1 E Y

Country

If a partnership give the names and addresses of the partners or the name of the partnership and office address

Details of a new company secretary must be notified on form 288a

Directors

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address In the case of a corporation, or Scottish firm, give the registered or principal office address

Name	* Style / Title					
		Day	Month	Year		
Date of Birth		2	8	05	19	64
Forename(s)		Martin Howard				
Surname		Baines				
<input type="checkbox"/> Address ††		Birling				
		Long Bottom Lane				
Post town		Seer Green				
County / Region		Buckinghamshire	UK Postcode	H P 9 2 U L		
Country		England	Nationality	British		
Business occupation		Stockbroker Investment Manager				

* Voluntary details

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address In the case of a corporation, or Scottish firm, give the registered or principal office address

Name	* Style / Title					
		Day	Month	Year		
Date of Birth		0	9	03	19	57
Forename(s)		Ernest Paul				
Surname		Boles				
<input type="checkbox"/> Address ††		Wilderness Chase				
		Wilderness Avenue				
Post town		Sevenoaks				
County / Region		Kent	UK Postcode	T N 1 5 0 E D		
Country		England	Nationality	British & American		
Business occupation		Banking - Investment Advice				

Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address In the case of a corporation, or Scottish firm, give the registered or principal office address

Name * Style / Title Mrs
Day Month Year
Date of Birth 3 1 / 0 3 / 1 9 5 2
Forename(s) Marianne Laing
Surname Hay
Address †† ☐ Wrotham Hill Park
Wrotham
Post town Sevenoaks
County / Region Kent UK Postcode T N 1 5 7 P Y
Country United Kingdom Nationality British
Business occupation Banker

* Voluntary details

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address In the case of a corporation, or Scottish firm, give the registered or principal office address

Name * Style / Title
Day Month Year
Date of Birth 0 4 / 0 4 / 1 9 6 0
Forename(s) Robert Earl
Surname Stemmons
Address †† ☐ 1 Lebanon Drive
Post town Cobham
County / Region Surrey UK Postcode K T 1 1 2 P R
Country England Nationality United States Citizen
Business occupation Lawyer

Directors

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address In the case of a corporation, or Scottish firm, give the registered or principal office address

Name	* Style / Title			
		Day	Month	Year
Date of Birth		2	2	04
		1	9	6
		2		
Forename(s)		Stephen John		
Surname		Vakil		
Address ††		60 Vogans Mill		
		17 Mill Street		
Post town		London		
County / Region		UK Postcode		
		S	E	1
		2	B	Z
Country		Nationality		
		British		
Business occupation		Director		

* Voluntary details

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address In the case of a corporation, or Scottish firm, give the registered or principal office address

Name	* Style / Title			
		Day	Month	Year
Date of Birth				
Forename(s)				
Surname				
Address ††				
Post town				
County / Region		UK Postcode		
Country		Nationality		
Business occupation				

Issued share capital

Enter details of all the shares in issue at the date of this return

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share or total amount of stock)
7% Cumulative Preference	11934000	£ 11,934,000 00
A Ordinary	7000000	£ 70,000 00
B Ordinary	2500000	£ 25,000 00
Deferred	1	£ 0 001466
Totals		

List of past and present shareholders

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns

There were no changes in the period ☐

A list of changes is enclosed

on paper

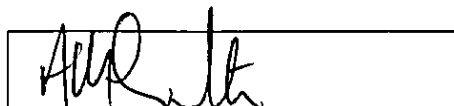
in another format

A full list of shareholders is enclosed

**Certificate**

I certify that the information given in this return is true to the best of my Knowledge and belief

Signed



Date 13/04/2007

† Please delete as appropriate

† a director / secretary

If you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to Companies House

This return includes

continuation sheets

(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record

Company Secretary's Department

Citigroup Centre, Canada Square, Canary Wharf, London, E14 5LB

Tel

DX number DX exchange

CHFP029

Company Number

3061140

Company Name in full

Quilter Holdings Limited

Issued share capital

Enter details of all the shares in issue at the date of this return

Class
(e.g. Ordinary/Preference)

Number of shares issued

**Aggregate
Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share or total amount of stock)

New Ordinary	2258065	£	18,750 000792
Ordinary	500000	£	5,000 00
Totals	24,192,066 00		12,052,750 00225

List of past and present shareholders

Schedule to form 363a

CHFP029

 Company Number 3061140

 Company Name in full Quilter Holdings Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on
 - The company's first annual return following incorporation,
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Citibank Investments Limited Address Citigroup Centre, Canada Square, Canary Wharf, London UK Postcode E 1 4 L 5 L B	7% Cumulative Preference 11934000		
Name Citibank Investments Limited Address Citigroup Centre, Canada Square Canary Wharf, London UK Postcode E 1 4 L 5 L B	A Ordinary 7000000		
Name Citibank Investments Limited Address Citigroup Centre, Canada Square, Canary Wharf, London UK Postcode E 1 4 L 5 L B	B Ordinary 2500000		

List of past and present shareholders (Continued)

Company Number | 3061140

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred	
		(if appropriate) Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Citibank Investments Limited Address Citigroup Centre, Canada Square, Canary Wharf, London UK Postcode E 1 4 L 5 L B	Deferred 1		
Name Citibank Investments Limited Address Citigroup Centre, Canada Square, Canary Wharf London UK Postcode E 1 4 L 5 L B	New Ordinary 2258065		
Name Citibank Investments Limited Address Citigroup Centre, Canada Square, Canary Wharf, London UK Postcode E 1 4 L 5 L B	Ordinary 500000		
Name Morgan Stanley Group (Europe) Address Legal Department, 25 Cabot Square, Canary Wharf, London England UK Postcode E 1 4 L 4 Q A	7% Cumulative Preference 0 00	7% Cumulative Preference 11934000	16/03/2007
Name Morgan Stanley Group (Europe) Address Legal Department, 25 Cabot Square, Canary Wharf, London, England UK Postcode E 1 4 L 4 Q A	A Ordinary 0 00	A Ordinary 7000000	16/03/2007

List of past and present shareholders (Continued)

Company Number 3061140

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Morgan Stanley Group (Europe) Address Legal Department, 25 Cabot Square, Canary Wharf, London, England UK Postcode E1 4L 4 Q A	B Ordinary 0 00	B Ordinary 2500000	16/03/2007
Name Morgan Stanley Group (Europe) Address Legal Department 25 Cabot Square, Canary Wharf, London, England UK Postcode E1 4L 4 Q A	Deferred 0 00	Deferred 1	16/03/2007
Name Morgan Stanley Group (Europe) Address Legal Department, 25 Cabot Square, Canary Wharf, London, England UK Postcode E1 4L 4 Q A	New Ordinary 0 00	New Ordinary 2258065	16/03/2007
Name Morgan Stanley Group (Europe) Address Legal Department, 25 Cabot Square, Canary Wharf, London, England UK Postcode E1 4L 4 Q A	Ordinary 0 00	Ordinary 500000	16/03/2007
Name Address UK Postcode L L L L L L L			