



BLUEPRINT
Company Secretary

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mid

363a

Annual Return

Company Number 3021508

Company Name in full ING BARING SECURITIES LIMITED



* F 3 6 3 A C 5 0 *

Date of this return (See note 1)

The information in this return is made up to

Day Month Year

14 02 99

Date of next return (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day Month Year

14 02 00

Registered Office (See note 3)

Show here the address at the date of this return.

60 LONDON WALL

Any change of registered office must be notified on form 287.

Post town

LONDON

County / Region

Postcode

EC2M 5TQ

Principal business activities

(See note 4)

Show trade classification code number(s) for the principal activity or activities.

6712

If the code number cannot be determined, give a brief description of principal activity.



When you have completed and signed the form please send it to
Companies House, Crown Way, Cardiff, CF4 3UZ DX 33050 Cardiff
for companies registered in England and Wales or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland **DX 235 Edinburgh**

Register of members (See note 5)

If the register of members is not kept at the registered office, state here where it is kept.

Post town
County / Region

Postcode

Register of Debenture holders

(See note 6)

If there is a register of debenture holders and it is not kept at the registered office, state here where it is kept.

Post town
County / Region

Postcode

Company type (See note 7)

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

Please mark the appropriate box

Company Secretary (See notes 1-5)

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name * Style / Title

Forename(s)

Surname

* Voluntary details.

Previous forename(s)

Previous surname(s)

Address

* Honours etc

ADRIAN NEIL

MARSH

Erchless House

Fairoak Lane

Oxshott

Surrey

England

Postcode KT22 OTP

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town
County / Region
Country

Directors (See notes 1-5)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

Name	* Style / Title				Day	Month	Year
* Honours etc		Date of birth			16	02	49
Forename(s)	Andrew Michael Godfrey						
Surname	BARING						
Previous forename(s)							
Previous surname(s)							
Address	Ravenscourt House						
	Ravenscourt Park						
Post town							
County / Region	London			Postcode	W6 0TJ		
Country	England			Nationality	British		
Business occupation	Merchant Banker						
Other directorships	(See continuation sheet).						

* Voluntary details.

Directors	Name	* Style / Title				Day	Month	Year
	* Honours etc		Date of birth			08	08	45
	Forename(s)	Alastair Langdon Craig						
	Surname	Cameron						
	Previous forename(s)							
	Previous surname(s)							
	Address	48, Lowther Road						
		Barnes						
	Post town							
	County / Region				Postcode	SW13 9NV		
	Country	England			Nationality	British		
	Business occupation	Global Chief Operating Officer of Investment Banking & Equities						
	Other directorships	None						

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Issued share capital (See notes 9)

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

**Number of
shares issued**

**Aggregate
Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share)

ORDINARY SHARES		
	242,000,002	£242,000,002.000
Totals		242,000,002 £242,000,002.000

List of past and present members

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

(see note 10)

There were no changes in the period

☐

on paper in another format

A list of changes is enclosed

☐

A full list of members is enclosed

☒
Elective resolutions

(Private companies only)

(see note 11)

If at the date of this return an election is in force to dispense with annual general meetings, mark this box

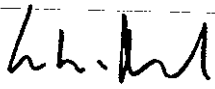
☒

If at the date of this return an election is in force to dispense with laying accounts in general meetings, mark this box

☒
Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

24.2.99

† ~~a director~~ /secretary

† Please delete as appropriate.

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House.**

This return includes

3

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

The Company
60, London Wall
London EC2M 5TQ
Ref: Adrian Marsh/ Lilian Finegold

Directors (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

Name	* Style / Title		Day	Month	Year
* Honours etc		Date of birth	02	02	66
Forename(s)	Nicholas Jeffrey				
Surname	Cowan				
Previous forename(s)					
Previous surname(s)					
Address	1, The Belvedere Chelsea Harbour				
Usual residential address must be given. In the case of a corporation, give the registered or principal office address.	Post town	London			
	County / Region				
	Country	England			
			Postcode		
Business occupation			Nationality	British	
Other directorships	None				

* Voluntary details.

Directors	Name	* Style / Title		Day	Month	Year
	* Honours etc		Date of birth	14	09	60
	Forename(s)	John Stewart				
	Surname	Reynolds				
	Previous forename(s)					
	Previous surname(s)					
	Address	30, Joycelyn Road Richmond				
Usual residential address must be given. In the case of a corporation, give the registered or principal office address.	Post town					
	County / Region	Surrey				
	Country	England				
			Postcode	TW9 2TH		
Business occupation			Nationality	British		
Other directorships	Global Head of Research (See continuation sheet).					

Directors (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

Name	* Style / Title		Day	Month	Year	
	* Honours etc		Date of birth	07	12	61
	Forename(s)	CARL				
	Surname	STRUTT				
	Previous forename(s)					
	Previous surname(s)					
Address		12, Hazlewell Road				
		Putney				
Usual residential address must be given. In the case of a corporation, give the registered or principal office address.	Post town	London				
	County / Region					
	Country	England				
			Postcode	SW15 6LH		
			Nationality	BRITISH		
	Business occupation	DIRECTOR				
	Other directorships	None				

* Voluntary details.

Directors	Name	* Style / Title		Day	Month	Year	
		* Honours etc		Date of birth	29	08	62
		Forename(s)	Mark				
		Surname	Vorbach				
		Previous forename(s)					
		Previous surname(s)					
	Address		54, Gordon Place				
Usual residential address must be given. In the case of a corporation, give the registered or principal office address.	Post town	London					
	County / Region						
	Country						
			Postcode	W8 4JF			
			Nationality	Australian			
	Business occupation	Banker					
	Other directorships	None					

Company Number 3021508

Company Name in full ING BARING SECURITIES LIMITED

Past directorships for Andrew Michael Godfrey BARING

International Securities Market Association (31-May-1995)

Current directorships for John Stewart Reynolds

Taskope Ltd

**List of past and present members
Schedule to form 363a and 363b**

Company Number 3021508

Company Name in full ING BARING SECURITIES LIMITED

Number of shares
or amount of
stock held by
existing members
at date of this
return.

Particulars of shares or stock transferred since
the date of the last return (or in the case of the
first return, since the incorporation of the
company) by
(a) persons who are still members, and
(b) persons who have ceased to be members.

Number or
amount
currently held

Number or
amount
Transferred

Date of
registration
of transfer

Remarks

Name and address

ING BARING SECURITIES HOLDINGS
LIMITED

60, London Wall, London, EC2M 5TQ,
Great Britain

ORDINARY SHARES

242,000,002