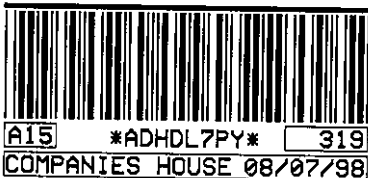


Gater allen 195X13 006709



C O M P A N I E S   H O U S E

THE REGISTRAR OF COMPANIES  
COMPANIES HOUSE  
CROWN WAY  
CARDIFF  
CF4 3UZ



# 363s

## Annual Return

of company number 03017046

V

**company name**

CATER ALLEN GLOBAL MARKETS LIMITED

**company type**

PRIVATE COMPANY LIMITED BY SHARES

**This form should be completed in black.**

The information printed below is taken from Companies House records as at 10/06/98

If this information requires amendment use the spaces opposite.

**Date of this return** (See note 1)

The information in this return should be made up to a date not later than

Day	Month	Year
27	06	98

If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

Day	Month	Year

**Date of next return** (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day	Month	Year

**Registered Office** (See note 3)

This is the address registered by Companies House.

20 BIRCHIN LANE  
LONDON  
EC3V 9DJ

.....  
.....  
.....  
.....

**Principal business activities** (See note 4)

Trade classification is  
7499 NON-TRADING COMPANY

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If the code cannot be determined from the notes, give a brief description of principal activity.

03017046

## Register of members *(See note 5)*

The register is kept at

REGISTERED OFFICE

If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.

## Register of debenture holders *(See note 6)*

Any register of debenture holders (or duplicate) is kept at

## Company Secretary *(See note 7)*

Particulars of a new secretary **must** be notified on form 288.

TERENCE MICHAEL  
SULLIVAN  
15 CHESTNUT AVENUE  
BILLERICAY  
ESSEX CM12 9JF

Day Month Year

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Date of any change.

If this person has ceased to be secretary, please state when.

Day Month Year

--	--	--

Date of resignation.

## Directors *(See note 7)*

Particulars of a new director **must** be notified on form 288.

GWENDOLINE MARY  
BATCHELOR  
FCCA FCT  
26 CRESCENT WOOD ROAD  
LONDON  
SE26 6RU

Day Month Year

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Date of any change.

Date of Birth:-- 17/01/48

Nat:BRITISH

Occ:FINANCE DIRECTOR

If this person has ceased to be director, please state when.

Day Month Year

--	--	--

Date of resignation.

Show any relevant current and previous directorships.

CATER ALLEN FUTURES LIMITED  
CATER ALLEN ASSET MANAGEMENT LTD  
also, see attached

03017046

If the information shown needs amendment,  
give details below and the date of any change.

## Directors - continued

### Particulars.

MICHAEL RICHARD DUGDALE  
EVANS  
46 STATION ROAD  
THAMES DITTON  
SURREY KT7 0NS

Day	Month	Year

Date of any change.

Date of Birth:- 09/03/55

Nat:BRITISH

Occ:ACCOUNTANT

If this person has ceased to be director, please  
state when.

Day	Month	Year
12	06	98

Date of resignation.

Show any relevant current and previous directorships.

### Particulars.

BRIAN WILLIAM  
MORRISON  
GOODACRES TOKERS GREEN LANE  
KIDMORE END  
READING  
BERKSHIRE RG4 9EB

Day	Month	Year

Date of any change.

Date of Birth:- 02/06/55

Nat:BRITISH

Occ:DIRECTOR

If this person has ceased to be director, please  
state when.

Day	Month	Year

Date of resignation.

Show any relevant current and previous directorships.

CATER ALLEN FUTURES LIMITED  
CATER ALLEN ASSET MANAGEMENT LIMITED  
also, see attached.

### Particulars.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES  
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

Day	Month	Year

Date of any change.

If this person has ceased to be director, please  
state when.

Day	Month	Year

Date of resignation.

Show any relevant current and previous directorships.

03017046

**Issued Share Capital** (See note 8)

Enter details of all shares in issue at the date of this return.

Class (eg Ordinary/ Preference etc)	Number of shares issued	Aggregate nominal value (ie Number of shares issued multiplied by nominal value per share)
<u>Ordinary</u>	<u>2</u>	<u>£2</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
<b>Totals</b>	<u>2</u>	<u>£2</u>

**List of past and present members**

(See note 9)

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

Please mark the appropriate box.

There were no changes in the period ☐

on paper

not on paper

The last full members list was at 27/06/96

A list of changes is enclosed ☐A full list of members is enclosed ☒**Elective resolutions** (See note 10)

(Private companies only)

If an elective resolution is in force at the date of this return to dispense with annual general meetings, *mark this box.*If an elective resolution is in force at the date of this return to dispense with laying accounts in general meetings, *mark this box.***Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

I enclose the fee of **£15.**Cheques should be made payable to **Companies House.**Signed Secretary/Director  
\*(delete as appropriate)Date 7.7.98This return includes 6 continuation sheets.  
(enter number)**Please ensure that you have completed all sections on this page.**

To whom should Companies House direct any enquiries about the information shown in this return?-----&gt;

T.M. SULLIVANCATER ALLEN LIMITED20 BIRCHIN LANELONDONPostcode EC3V 9DJTelephone 0171 623 2070 Ext 242

**SCHEDULE TO FORM 363**

**Continued overleaf**

### LIST OF PAST AND PRESENT MEMBERS (continued)

**SCHEDULE TO FORM 363**[illegible]

Company No 03017046

## Individual Profile

Mr Brian William Morrison

### Personal Details

Surname	Morrison	Title	Mr
Forenames	Brian William		
Preferred Forename			
Honours			
Nationality	British		
Occupation	Director, Treasury Services & International		
Date of Birth	02 June 1955		
NI Number			

### Home(Forms) Address

'Goodacres', Tokers Green Lane, Kidmore End, Reading, Berkshire, England.

Date of Effect

### Home(Forms) Address

'Goodacres', Tokers Green Lane, Kidmore End, Reading, Berkshire, RG4 9EB, England.

Date of Effect

05 Aug 1996

### Current Directorships

Company Name	Title	Date of Appointment
Abbey National Treasury Services plc	Director, Treasury Services & International	05 Aug 1996
Aitken Campbell & Company Limited		14 Oct 1997
Cater Allen Holdings PLC	Director	12 Mar 1998
Cater Allen International Limited	Non-Executive Director	21 Jan 1998

### Past Directorships

Company Name	Title	Date of Appointment	Date of Cessation
National & Provincial Insurances Limited	Alternate to Alastair David Lyons	26 Oct 1994	05 Aug 1996
Novachance Limited		15 Jan 1992	21 Jan 1994

## Current and Past Directorships Enquiry

Mr Brian William Morrison

Name	Date of Appointment	Date of Cessation	Level	Title
Abbey National Treasury Services plc	05 Aug 1996		99	Director, Treasury Services & International
Aitken Campbell & Company Limited	14 Oct 1997		99	
Cater Allen Holdings PLC	12 Mar 1998		99	Director
Cater Allen International Limited	21 Jan 1998		99	Non-Executive Director
Novachance Limited	15 Jan 1992	21 Jan 1994	99	
National & Provincial Insurances Limited	26 Oct 1994	05 Aug 1996	99	Alternate to Alastair David Lyons



COMPANY No 03017046

## Individual Profile

Mrs Gwendoline Mary Batchelor FCCA, FCT

### Personal Details

Surname	Batchelor	Title	Mrs
Forenames	Gwendoline Mary		
Preferred Forename			
Honours	FCCA, FCT		
Nationality	British		
Occupation	Finance Director		
Date of Birth	17 January 1948		
NI Number			

### Home(Forms) Address

26 Crescent Wood Road, LONDON, SE26 6RU.

Date of Effect

### Business Address

Abbey National plc, Abbey House, Baker Street, LONDON, NW1 6XL.

Date of Effect

### Current Directorships

Company Name	Title	Date of Appointment
Abbey National (Holdings) Limited	Director	13 Dec 1994
Abbey National American Investments Limited	Director	29 Jun 1995
Abbey National December Leasing (1) Limited		26 Mar 1998
Abbey National December Leasing (2) Limited		26 Mar 1998
Abbey National December Leasing (3) Limited		26 Mar 1998
Abbey National December Leasing (4) Limited		26 Mar 1998
Abbey National December Leasing (5) Limited		26 Mar 1998
Abbey National December Leasing (6) Limited		26 Mar 1998
Abbey National December Leasing (7) Limited		26 Mar 1998
Abbey National First Capital B.V.	Director	06 Apr 1994
Abbey National Funding plc		20 Oct 1997
Abbey National June Leasing (1) Limited		26 Mar 1998
Abbey National June Leasing (2) Limited		26 Mar 1998
Abbey National June Leasing (3) Limited		26 Mar 1998
Abbey National June Leasing (4) Ltd		26 Mar 1998
Abbey National June Leasing (5) Ltd		26 Mar 1998
Abbey National June Leasing (6) Ltd		26 Mar 1998
Abbey National March Leasing (1) Limited		26 Mar 1998
Abbey National March Leasing (2) Limited		26 Mar 1998
Abbey National March Leasing (3) Limited		26 Mar 1998
Abbey National March Leasing (4) Limited		26 Mar 1998
Abbey National March Leasing (5) Ltd		26 Mar 1998
Abbey National March Leasing (6) Ltd		26 Mar 1998
Abbey National Second Capital B.V.	Director	06 Apr 1994

## Individual Profile

Mrs Gwendoline Mary Batchelor FCCA, FCT

Abbey National September Leasing (1) Limited		26 Mar 1998
Abbey National September Leasing (2) Limited		26 Mar 1998
Abbey National September Leasing (3) Limited		26 Mar 1998
Abbey National September Leasing (4) Limited		26 Mar 1998
Abbey National September Leasing (5) Ltd		26 Mar 1998
Abbey National September Leasing (6) Ltd		26 Mar 1998
Abbey National Sterling Capital plc	Director	08 Nov 1991
Abbey National Treasury Investments	Director	23 Mar 1993
Abbey National Treasury Services Investments Limited		11 Aug 1997
Abbey National Treasury Services Overseas Holdings	Director	19 Dec 1994
Abbey National Treasury Services plc	Finance Director	01 Sep 1991
AbbNat BV	Director	06 Apr 1994
Cater Allen Holdings PLC	Director	12 Mar 1998
N&P Syndicated Loans Limited	Director	05 Aug 1996

## Past Directorships

Company Name	Title	Date of Appointment	Date of Cessation
Abbey National Fifth Capital B.V.	Managing Director	06 Apr 1994	16 Mar 1995
Abbey National Fourth Capital B.V.	Managing Director	06 Apr 1994	16 Mar 1995
Abbey National Third Capital B.V.	Managing Director	06 Apr 1994	16 Mar 1995

## Current and Past Directorships Enquiry

Mrs Gwendoline Mary Batchelor FCCA, FCT

Name	Date of Appointment	Date of Cessation	Level	Title
Abbey National (Holdings) Limited	13 Dec 1994		99	Director
Abbey National American Investments Limited	29 Jun 1995		99	Director
Abbey National December Leasing (1) Limited	26 Mar 1998		99	
Abbey National December Leasing (2) Limited	26 Mar 1998		99	
Abbey National December Leasing (3) Limited	26 Mar 1998		99	
Abbey National December Leasing (4) Limited	26 Mar 1998		99	
Abbey National December Leasing (5) Limited	26 Mar 1998		99	
Abbey National December Leasing (6) Limited	26 Mar 1998		99	
Abbey National December Leasing (7) Limited	26 Mar 1998		99	
Abbey National First Capital B.V.	06 Apr 1994		99	Director
Abbey National Funding plc	20 Oct 1997		99	
Abbey National June Leasing (1) Limited	26 Mar 1998		99	
Abbey National June Leasing (2) Limited	26 Mar 1998		99	
Abbey National June Leasing (3) Limited	26 Mar 1998		99	
Abbey National June Leasing (4) Ltd	26 Mar 1998		99	
Abbey National June Leasing (5) Ltd	26 Mar 1998		99	
Abbey National June Leasing (6) Ltd	26 Mar 1998		99	
Abbey National March Leasing (1) Limited	26 Mar 1998		99	
Abbey National March Leasing (2) Limited	26 Mar 1998		99	
Abbey National March Leasing (3) Limited	26 Mar 1998		99	
Abbey National March Leasing (4) Limited	26 Mar 1998		99	
Abbey National March Leasing (5) Ltd	26 Mar 1998		99	
Abbey National March Leasing (6) Ltd	26 Mar 1998		99	
Abbey National Second Capital B.V.	06 Apr 1994		99	Director
Abbey National September Leasing (1) Limited	26 Mar 1998		99	
Abbey National September Leasing (2) Limited	26 Mar 1998		99	
Abbey National September Leasing (3) Limited	26 Mar 1998		99	
Abbey National September Leasing (4) Limited	26 Mar 1998		99	
Abbey National September Leasing (5) Ltd	26 Mar 1998		99	
Abbey National September Leasing (6) Ltd	26 Mar 1998		99	
Abbey National Sterling Capital plc	08 Nov 1991		99	Director
Abbey National Treasury Investments	23 Mar 1993		99	Director
Abbey National Treasury Services Investments Limited	11 Aug 1997		99	
Abbey National Treasury Services Overseas Holdings	19 Dec 1994		99	Director
Abbey National Treasury Services plc	01 Sep 1991		99	Finance Director
AbbNat BV	06 Apr 1994		99	Director

## Current and Past Directorships Enquiry

Mrs Gwendoline Mary Batchelor FCCA, FCT

Name	Date of Appointment	Date of Cessation	Level	Title
Cater Allen Holdings PLC	12 Mar 1998		99	Director
N&P Syndicated Loans Limited	05 Aug 1996		99	Director
Abbey National Fifth Capital B.V.	06 Apr 1994	16 Mar 1995	99	Managing Director
Abbey National Fourth Capital B.V.	06 Apr 1994	16 Mar 1995	99	Managing Director
Abbey National Third Capital B.V.	06 Apr 1994	16 Mar 1995	99	Managing Director