



Please complete in typescript,  
or in bold black capitals.

BULK LIST  
£30x2  
000113

363a

## Annual Return

Company Number

2998217

Company Name in full

Ockham Holdings PLC



\* F363AD40 \*

### Date of this return (See note 1)

The information in this return is made up to

Day Month Year

30 11 1996

### Date of next return (See note 2)

If you wish to make your next return  
to a date earlier than the anniversary  
of this return please show the date here.  
Companies House will then send a form  
at the appropriate time.

Day Month Year

26 02 1997

### Registered Office (See note 3)

Show here the address at the date of  
this return.

Any change of  
registered office  
must be notified  
on form 287.

Post town

County / Region

Postcode

CUTLER HOUSE

3B DEVONSHIRE SQUARE

LONDON

EC2M 4YA

### Principal business activities

(See note 4)

Show trade classification code number(s)  
for the principal activity or activities.

If the code number cannot be determined,  
give a brief description of principal activity.

HOLDING COMPANY FOR INSURANCE AND FINANCIAL SERVICES OPERATIONS



Form revised March 1995

When you have completed and signed the form please send it to the  
Registrar of Companies at:  
Companies House, Crown Way, Cardiff, CF4 3UZ DX 33050 Cardiff  
for companies registered in England and Wales  
or  
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB  
for companies registered in Scotland DX 235 Edinburgh

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**Register of members** (See note 5)

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

LLOYDS BANK REGISTRARS

THE CAUSEWAY

WORTHING

WEST SUSSEX

Postcode

BN99 6DA

**Register of Debenture holders**

(See note 6)

If there is a register of debenture holders and it is not kept at the registered office, state here where it is kept.

Post town

County / Region

NOT APPLICABLE

**Company type** (See note 7)

Public limited company

X

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

Please mark the appropriate box

**Company Secretary** (see notes 1-5)

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name

\* Style / Title

MR

\*Honours etc

BCOM CA FCT

Forename(s)

ALEXANDER JOHNSTON

Surname

BROWN

\* Voluntary details.

Previous forename(s)

Previous surname(s)

Address

4 THE GRANGWAY

**Usual residential**

**address** must be given. In the case of a corporation, give the registered or principal office address.

Post town

County / Region

Country

LONDON

Postcode

N21 2HA

**Directors** (see notes 1 to 5)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name	* Style / Title	PROFESSOR	Day	Month	Year	
	* Honours etc	BA, MA, PHD	Date of birth	12	09	1944
	Forename(s)	KEITH NORMAN GEORGE				
	Surname	BRADLEY				
	Previous forename(s)					
	Previous surname(s)					
Address	55 THE CHASE ✓					
Usual residential address must be given. In the case of a corporation, give the registered or principal office address.	Post town	LONDON				
	County / Region		Postcode	SW4 0NP		
	Country	ENGLAND	Nationality	ENGLISH		
	Business occupation	PROFESSOR				
	Other directorships	Viewcharm Limited				
* Voluntary details.						

Name	* Style / Title	MR	Day	Month	Year	
	* Honours etc		Date of birth	07	06	1932
	Forename(s)	DAVID EAN				
	Surname	COLERIDGE				
	Previous forename(s)					
	Previous surname(s)					
Address	SPRING POND, WISPERS					
Usual residential address must be given. In the case of a corporation, give the registered or principal office address.	Post town	NR MIDHURST ✓				
	County / Region	SUSSEX	Postcode	GU29 0QH		
	Country		Nationality	BRITISH		
	Business occupation	UNDERWRITER AT LLOYD'S				
	Other directorships	Ashdown House School Trust Limited				
		SHAREDEALER LIMITED				

**Directors** (see notes 1-5)

Please list directors in alphabetical order

Details of new directors must be notified on form 288a.

Name	* Style / Title	MR	Day	Month	Year	
	* Honours etc		Date of birth	12	03	1957
Forename(s)	ANDREW JAMES					
Surname	GIBSON					
Previous forename(s)						
Previous surname						
Address	21 ACORN LANE					
Post town	CUFFLEY					
County / Region	HERTS			Postcode		
Country						
Nationality	BRITISH					
Business occupation	CHARTERED ACCOUNTANT					
Other directorships	See attached list					

**Usual residential**

address must be given. In the case of a corporation, give the registered or principal office address.

Name	* Style / Title	MR	Day	Month	Year	
	* Honours etc		Date of birth	21	05	1947
Forename(s)	ANTHONY EVERARD GEORGE					
Surname	HAMBRO					
Previous forename(s)						
Previous surname						
Address	108 ST GEORGE'S SQUARE, PIMLICO					
Post town	LONDON					
County / Region				Postcode	SW1V 3QY	
Country						
Nationality	BRITISH					
Business occupation	CONSULTANT					
Other directorships	See attached list					

**Usual residential**

address must be given. In the case of a corporation, give the registered or principal office address.

\* Voluntary details.

**Directors** (see notes 1-5)

Please list directors in alphabetical order

Details of new directors must be notified on form 288a.

Name	* Style / Title	MR	Day	Month	Year	
	* Honours etc	C.V.O.	Date of birth	21	10	1936
	Forename(s)	GRAHAM NORBERT				
	Surname	KENNEDY				
	Previous forename(s)					
	Previous surname					
Address	"HATCHETTS", CHURCH LANE					
	WORTING ✓					
	Post town	BASINGSTOKE				
	County / Region	HANTS	Postcode	RG23 8PX		
	Country					
	Nationality	BRITISH				
	Business occupation	CONSULTANT				
	Other directorships	See attached list				

**Usual residential**

address must be given. In the case of a corporation, give the registered or principal office address.

Name	* Style / Title	MR	Day	Month	Year	
	* Honours etc		Date of birth	28	01	1937
	Forename(s)	JAMES WILLIAM STUART				
	Surname	MACDONALD				
	Previous forename(s)					
	Previous surname					
Address	HARLEQUIN HOUSE, ICKLETON					
	Post town	SAFFRON WALDEN				
	County / Region	ESSEX	Postcode	CB10 1SS		
	Country					
	Nationality	BRITISH				
	Business occupation	CHARTERED ACCOUNTANT				
	Other directorships	See attached list				

\* Voluntary details.

**Directors** (see notes 1-5)

Please list directors in alphabetical order

Details of new directors must be notified on form 288a.

Name	* Style / Title	THE LORD			Day	Month	Year	
	* Honours etc				Date of birth	06	01	1945
Forename(s)								
Surname		POOLE						
Previous forename(s)								
Previous surname								
Address		53 THE CHASE						
Post town		LONDON						
County / Region				Postcode	SW4 0NY			
Country								
Nationality		BRITISH						
Business occupation		CHIEF EXECUTIVE						
Other directorships		See attached list						

**Usual residential**

address must be given. In the case of a corporation, give the registered or principal office address.

Name	* Style / Title	MR			Day	Month	Year	
	* Honours etc				Date of birth	15	09	1939
Forename(s)		ALLEN LLOYD						
Surname		THOMAS						
Previous forename(s)								
Previous surname								
Address		3 CHESTER STREET						
Post town		LONDON						
County / Region				Postcode	SW1X 7BB			
Country								
Nationality		AMERICAN						
Business occupation		LAWYER						
Other directorships		Penna PLC						
		Prideaux & Associates Limited						

**Usual residential**

address must be given. In the case of a corporation, give the registered or principal office address.

\* Voluntary details.

## Other relevant directorships

Company Number **2998217**

Company Name **Ockham Holdings PLC**

Directors Name **GIBSON ANDREW JAMES**

† Directors only.

† Other directorships

OCKHAM LONDON LIMITED

OCKHAM STURGE AVIATION AGENCY LIMITED

OCKHAM WORLDWIDE HOLDINGS PLC

OCKHAM WORLDWIDE INVESTMENTS LIMITED

## NOTES

Show the full forenames, NOT INITIALS. If the director or secretary is a corporation or Scottish firm, show the name on surname line and registered or principal office on the usual residential line.

Give previous forenames or surname(s) except:  
- for a married woman, the name by which she was known before marriage need not be given.

- for names not used since the age of 18 or for at least 20 years

A peer or individual known by a title may state the title instead of or in addition to the forenames and surname and need not give the name by which that person was known before he or she adopted the title or preceded to it.

## Other directorships.

Give the name of every company incorporated in Great Britain of which the person concerned is a director or has been a director at any time in the past five years.

You may exclude a company which either is, or at all times during the past five years when the person concerned was a director, was

- dormant
- a parent company which wholly owned the company making the return, or
- another wholly owned subsidiary of the same parent company.

## Other relevant directorships

Company Number **2998217**

Company Name **Ockham Holdings PLC**

Directors Name **HAMBRO ANTHONY EVERARD GEORGE**

† Directors only.

† Other directorships

**DURRINGTON OIL & GAS SEARCH LIMITED**

**H & H MINING LIMITED**

**PETER HAMBRO PLC**

**ZOLOTO MINING LIMITED**

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- dormant

- a parent company which wholly owned the company making the return, or

- another wholly owned subsidiary of the same parent company.

## Other relevant directorships

Company Number 2998217

Company Name Ockham Holdings PLC

Directors Name KENNEDY GRAHAM NORBERT

† Directors only.

† Other directorships

ANGLO PACIFIC RESOURCES PLC

ANNSTAR PROPERTIES LIMITED

CHARITIES INVESTMENT MANAGERS LIMITED

DUNCAN H MCLAREN LIMITED

DWYER (UK) HOLDINGS LIMITED

DWYER ESTATES LIMITED

DWYER PLC

DWYER PROPERTY LIMITED

JAMES CAPEL & CO. LIMITED

JAMES CAPEL CORPORATE FINANCE LIMITED

JAMES CAPEL INTERNATIONAL FINANCE LIMITED

PRO NED LIMITED

RPL LIMITED

STOCK WATCH LIMITED

THE INTERNATIONAL STOCK EXCHANGE

## NOTES

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## Other relevant directorships

Company Number 2998217

Company Name Ockham Holdings PLC

Directors Name MACDONALD JAMES WILLIAM STUART

† Directors only.

† Other directorships

G.W. RUN-OFF LIMITED

OCKHAM LONDON LIMITED

OCKHAM STURGE AVIATION AGENCY LIMITED

OCKHAM WORLDWIDE AGENCY LIMITED

OCKHAM WORLDWIDE HOLDINGS PLC

OCKHAM WORLDWIDE INVESTMENTS LIMITED

OCKHAM WORLDWIDE SERVICES LIMITED

STAFFORD RUN-OFF AGENCY LIMITED

STOXMAN LIMITED

WIS ICS NOMINEES LIMITED

## NOTES

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## Other directorships.

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- dormant

- a parent company which wholly owned the company making the return, or

- another wholly owned subsidiary of the same parent company.

## Other relevant directorships

Company Number 2998217

Company Name Ockham Holdings PLC

Directors Name POOLE

† Directors only.

† Other directorships

INTERMEDIATE CAPITAL GROUP LIMITED

JAMES CAPEL & CO LIMITED

JAMES CAPEL CORPORATE FINANCE LIMITED

JAMES CAPEL INTERNATIONAL LIMITED

OCKHAM LONDON LIMITED

OCKHAM STURGE AVIATION AGENCY LIMITED

OCKHAM WORLDWIDE AGENCY LIMITED

OCKHAM WORLDWIDE HOLDINGS PLC

TRAVEL BOOKSHOP LIMITED

## NOTES

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- dormant
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- another wholly owned subsidiary of the same parent company.

**Issued share capital** (see note 9)  
 Enter details of all the shares in issue  
 at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share)
ORDINARY 20P	50,599,233	£ 10,119,846.60
Totals	50,599,233	£ 10,119,846.60

**List of past and present members**  
 (Use attached schedule where appropriate)

A full list is required if one was not  
 included with either of the last two  
 returns.  
 (see note 10)

There were no changes in the period

☐

on paper in another format

A list of changes is enclosed

☐
☐

A full list of members is enclosed

☒
☐

**Elective resolutions**

(Private companies only)  
 (See note 11)

If at the date of this return an election is in force to dispense with  
 annual general meetings, mark this box

☐

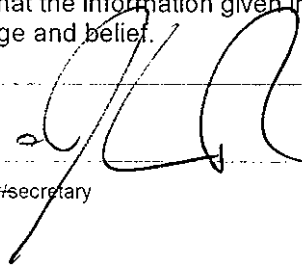
If at the date of this return an election is in force to dispense with  
 laying accounts in general meetings, mark this box

☐

**Certificate**

I certify that the information given in this return is true to the best of my  
 knowledge and belief.

Signed



Date

29/11/96

† Please delete as appropriate.

† a director/secretary

When you have signed the return send it  
 with the fee to the Registrar of Companies.  
 Cheques should be made payable to  
**Companies House.**

This return includes

continuation sheets.

(enter number)

Please give the name, address,  
 telephone number, and if available,  
 a DX number and Exchange, for  
 the person Companies House should  
 contact if there is any query.

0171-617 2317

MR AJ BROWN, 38 DEVONSHIRE SQUARE, LONDON, EC3M 4YA	
Tel 0171-617 2317	
DX number	DX exchange

MF

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FORM ML8

## BULK LIST OF SHAREHOLDERS OR MEMBERS

A bulk list (over 10 pages) of allotments for the company named below has been lodged but does not appear on this annual return microfiche.

If you wish to search the list, please enquire at the Search Control Counter.

Company Number 2998217

Company Name OCKHAM HOLDINGS PLC

Made-up-date 30-11-96