

001277/00

363a

Please complete in typescript, or in bold black capitals.

Annual Return

CHFP000

Company number

2944633

Company name in full

GARTMORE FLEDGLING TRUST PLC

Date of this return

The information in this return is made up to

Day

Month

Year

0 6 1 1 0 1 2 0 0 8

Date of next return

If you wish to make your next return to a date earlier than the anniversary of this return please show that date here. Companies House will then send a form at the appropriate time.

Day

Month

Year

0 6 1 1 0 1 2 0 0 9

Registered Office

Show here the address at the date of this return

GARTMORE HOUSE

8 FENCHURCH PLACE

LONDON

Any change of registered office must be notified on form 287

Post town

County/Region

UK Postcode

E C 3 M 4 P 3

Principal business activities

Show trade classification code number(s) for the principal activity or activities

6523

If the code number cannot be determined,

OTHER FINANCIAL INTERMEDIATION

WEDNESDAY



ALXBD4K4

A33

05/11/2008

5

COMPANIES HOUSE

AS6H14D7

A77

29/10/2008

113

COMPANIES HOUSE

When you have completed and signed the form please send it to the Registrar of Companies at
 Companies House, Crown Way, Cardiff, CF14 3UZ
 for companies registered in England or Wales or
 Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
 For companies registered in Scotland

DX 33050 Cardiff

DX ED235 Edinburgh 1
or LP-4 Edinburgh 2

Register of members

If the register of members is not kept at the registered office, state here where it is kept

EQUINITY LIMITED
ASPECT HOUSE, SPENCER ROAD
Post town LANCING
County/Region WEST SUSSEX
UK Postcode B 2 9 9 6 D A

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept

NOT APPLICABLE
Post town
County/Region
UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a

* Voluntary details
(Please photocopy this area to provide details of joint secretaries)

Name *Style/Title GARTMORE INVESTMENT LIMITED

Forename(s)

Surname

Address †† GARTMORE HOUSE

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

☐

8 FENCHURCH PLACE ✓

Post town LONDON

County/Region

UK Postcode E C 3 M 4 P B

Country ENGLAND

If a partnership, give the names and addresses of the partners or the name of the partnership and office address

Directors

Please list the directors in alphabetical order

Details of new directors must be notified on form 288a

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Name *Style/Title MR

Date of birth 2 2 10 4 11 9 6 11

Forename(s) RODERIC AIDAN

Surname BIRKETT

Address ☐ BEECHLANDS
ROTHERFIELD ROAD

Post town HEALEY - ON THAMES ✓

County/Region OXFORDSHIRE

UK Postcode R G 19 1 1 W R

Country ENGLAND

Nationality BRITISH

Business occupation CONSULTANT

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Otherwise, give your usual residential address in the case of a corporation or Scottish firm, give the registered or principal office address

Directors

Please list the directors in alphabetical order

Details of new directors must be notified on form 288a

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Name *Style/Title MR

Date of birth 2 7 10 8 11 9 14 12

Forename(s) PETER FREDERICK

Surname DICKS

Address ☐ 17 CHELSEA PARK GARDENS

Post town LONDON ✓

County/Region

UK Postcode S W 13 6 A F

Country ENGLAND

Nationality BRITISH

Business occupation COMPANY DIRECTOR

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 Otherwise, give your usual residential address in the case of a corporation or Scottish firm, give the registered or principal office address

Issue share capital

Enter details of all the shares in issue at the date of this return

Class (e.g. Ordinary/Preference)

Number of shares issued

Aggregate Nominal Value

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

ORDINARY ¹⁵⁰	19,588,580 ✓	£4,897,145
		✓
Totals	19,588,580	£4,897,145

Traded public companies

A traded public company means a company any of whose shares are shares admitted to trading on a regulated market

Please tick this box if your company was a traded public company at any time during the period of this return

☒
List of past and present shareholders

(use attached schedule where appropriate)

Private or non-traded public companies are required to provide a "full list" if one was not included with either of the last two returns

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns

Please tick the appropriate box below

On paper

In another format

A full list of shareholders for a private or non-traded public company is enclosed **Please complete Schedule A.**

☐
☐

A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed **Please complete Schedule B**

☐
☐

A list containing shareholder changes is enclosed

☐
☒

→ For private or non-traded public companies, please complete **Schedule A**

→ For traded public companies, please complete **Schedule B**

There were no shareholder changes in this period

☐
Certificate

I certify that the information given in this return is true to the best of my knowledge and belief

Signed

X/Min

GARTMORE INVESTMENT LTD
Secretaries

Date

28/12/08

* Please delete as appropriate

When you have signed the return, send it with the fee to the Registrar of Companies. Make cheques payable to Companies House

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record

*(director / secretary)

This return includes

☐

continuation sheets

(enter number)

DX number

DX exchange

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name

*Style/Title

MR

Day

Month

Year

Date of birth

1 18 10 1 11 9 4 11

Forename(s)

JOHN PHILIP DALE

Surname

HANCOX

Address ††

OFFHAM MANOR

OFFHAM

Post town

WEST MALLING ✓

County/Region

KENT

UK Postcode

M E 1 1 9 5 N 5

Country

ENGLAND

Nationality

BRITISH

Business occupation

COMPANY DIRECTOR

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name

*Style/Title

MR

Day

Month

Year

Date of birth

0 2 10 3 11 9 4 11

Forename(s)

JAMES RODIER

Surname

KERR-MUIR

Address ††

HAWKHILL HOUSE

NETHER WORTON ✓

Post town

County/Region

OXFORDSHIRE

UK Postcode

O X 1 Y L Y 1 A 1 P

Country

ENGLAND

Nationality

BRITISH

Business occupation

COMPANY DIRECTOR

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name *Style/Title

MR

Day

Month

Year

Date of birth

2 1 1 0 4 1 1 9 4 7

Forename(s)

JAMES GLYNN

Surname

WEST

Address ††

ORCHARD HOUSE, THE STREET

Post town

EASTLING, FAVERSHAM ✓

County/Region

KENT

UK Postcode

M E 1 3 0 1 A 1 Z

Country

ENGLAND

Nationality

BRITISH

Business occupation

COMPANY DIRECTOR

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐**Directors**

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Name *Style/Title

Day

Month

Year

Date of birth

Forename(s)

Surname

Address ††

Post town

County/Region

UK Postcode

Country

Nationality

Business occupation

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Schedule A

for private or non-traded public companies

List of past and present shareholders

Company number	
Company name in full	

- Changes to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all company shareholders on
 - The company's first annual return following incorporation
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

[illegible]

List of past and present shareholders

[illegible]

This must only be completed by companies that have traded on a regulated market and must show the details of shareholders who held at least 5% of the issued shares of any class of the company at any time during the period

Schedule B
for traded public companies
List of past and present shareholders

Company number

Company name in full

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a list of all company shareholders who held at least 5% of the issued shares of any class of the company on:
 - The company's first annual return following incorporation
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholder's name and address	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			

Schedule B
for traded public companies
(continuation sheet)
List of past and present shareholders

Company number

Shareholder's name and address	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			

Run Date 27-Oct-2008 08 53:04

ANNUAL RETURN

Reg no 2974633 Client Gartmore Pledgling Trust plc
Report Between 07-Oct-2007 to 06-Oct-2008

LIST OF CHANGES TO MEMBERS' DETAILS AND SHARE PARTICULARS, SINCE THE
DATE OF THE LAST RETURN, AS REQUIRED UNDER SECTION 854 OF COMPANIES
ACT 2006

An asterisk in the final column denotes that the Holder(s) now
re-appears elsewhere in the register.

NAME AND STOCK ADDRESS TRANSFERRED	DATE	DESIGNATION	STOCK CODE	BALANCE OF HOLDING
CHASE NOMINEES LIMITED P O BOX 18171 125 LONDON WALL LONDON EC2Y 5AJ		LEND	2088	1,546,000
-35,000	06-Oct-2008			
HSBC GLOBAL CUSTODY NOMINEE (UK) LIMITED 8 CANADA SQUARE LONDON E14 5HQ		867530	2088	1,737,805
-500,000	16-Oct-2007			
500,000	22-Oct-2007			

-105,000	23-Nov-2007
-30,043	26-Nov-2007
30,043	27-Nov-2007
494	28-Nov-2007
2,390	29-Nov-2007
7,788	06-Dec-2007
2,923	24-Dec-2007
34,741	10-Jan-2008
13,533	14-Jan-2008
1,878	31-Jan-2008
32,797	04-Feb-2008
819	22-Feb-2008
7,637	10-Mar-2008

STOCK CODE	ISSUED CAPITAL
2088	19,588,580

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