



**Companies House**

— for the record —

Company Name

**ASCEND CAPITAL MANAGEMENT 363s Annual Return**  
**LIMITED**

Company Type

**Private Company Limited By Shares**

Company Number

**2831521**

Information extracted from  
Companies House records on  
**8th June 2002**

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.

## Section 1: Company details



A44 \*A86CL30H\* 0219  
COMPANIES HOUSE 15/06/02

Ref: 2831521/03/10

	Current details	Amended details
> Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Flat B</b> <b>58 Eaton Square</b> <b>London</b> <b>SW1W 9BG</b>	Address _____ _____ _____ UK Postcode    _ _ _ _ _
> Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Address where the Register is held</b>  <b>At Registered Office</b>	Address _____ _____ _____ UK Postcode    _ _ _ _ _
> Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Not Applicable</b>	Address _____ _____ _____ UK Postcode    _ _ _ _ _
> Principal Business Activities <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>SIC Code</b>  <b>7484</b>	<b>Description</b>  <b>Other business activities</b>
> Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.		<b>SIC CODE</b> <b>Description</b> _____ _____ _____ _____ _____

## Section 2: Details of Officers of the Company

	Current details	Amended details
<b>&gt; Company Secretary</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>    <i>Particulars of a new Company Secretary must be notified on form 288.</i>	<b>Name</b> Carol Ann Lombardi YOUNG  <b>Address</b> 58 Eaton Square London Westminster SW1W 9BG	<b>Name</b>  <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.  <b>Address</b>    UK Postcode    _ _ _ _ _ Date of change    _ _ / _ _ / _ _ _ _ Date Carol Ann Lombardi YOUNG ceased to be secretary (if applicable) _ _ / _ _ / _ _ _ _
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>    <i>Particulars of a new Director must be notified on form 288.</i>	<b>Name</b> Alexander Bellamy YOUNG  <b>Address</b> 34 Daver Court Chelsea Manor Street London SW3 3TS  <b>Date of birth</b> 26/08/1969  <b>Nationality</b> American  <b>Occupation</b> Portfolio Investment Manager	<b>Name</b>  <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.  <b>Address</b>    UK Postcode    _ _ _ _ _ Date of birth        _ _ / _ _ / _ _ _ _ Nationality        _____ Occupation        _____ Date of change    _ _ / _ _ / _ _ _ _ Date Alexander Bellamy YOUNG ceased to be director (if applicable) _ _ / _ _ / _ _ _ _



**Section 3: Share Capital**

	<b>Current details</b>	<b>Amended details</b>
<b>&gt; Issued Share Capital</b> <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details.</i>	<b>Class of share</b> Ordinary <b>Nominal value of each share</b> £1.00 <b>Number of shares issued</b> 100 <b>Aggregate Nominal Value of issued shares</b> £100.00	<b>Class of share</b> _____ <b>Nominal value of each share</b> _____ <b>Number of shares issued</b> _____ <b>Aggregate Nominal Value of issued shares</b> _____
<b>&gt; Total shares issued and value</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Total number of shares issued</b> 100 <b>Total Nominal value of shares issued</b> £100.00	<b>Total number of shares issued</b> _____ <b>Total Nominal value of shares issued</b> _____
<b>&gt; At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a> or by ringing 0870 3333636.</b>		

**Section 4: Details of Shareholders**

- > The details we hold on your company's shareholders and their shareholdings are printed below. These are based on your last Annual Return.
- > If any details have changed, or if any shares have been transferred, please fill in the details in the "Amended details" or "Shares transferred" column.
- > Please give details of any other shareholders in Section 5.

Current details		Amended details		Shares transferred		
<b>&gt; Shareholder Name</b> <b>Alexander B. YOUNG</b>		<b>Name</b>  		<b>Shares transferred by</b> <b>Alexander B. YOUNG</b>		
		<b>Address</b>  				
<b>Address</b> <b>58B Eaton Square</b> <b>London</b> <b>SW1W 9BG</b>		<b>UK Postcode</b> _ _ _ _ _				
<b>Shares held</b> <b>Class</b> <b>Ordinary</b>	<b>Number</b> <b>25</b>	<b>Shares held</b> <b>Class</b> <b>Number</b>	<b>Class</b> <b>Number</b> <b>Date of transfer</b>  			
<b>&gt; Shareholder Name</b> <b>Carol L. YOUNG</b>		<b>Name</b>  		<b>Shares transferred by</b> <b>Carol L. YOUNG</b>		
		<b>Address</b>  				
<b>Address</b> <b>58 Eaton Square</b> <b>London</b> <b>SW1W 9BG</b>		<b>UK Postcode</b> _ _ _ _ _				
<b>Shares held</b> <b>Class</b> <b>Ordinary</b>	<b>Number</b> <b>37</b>	<b>Shares held</b> <b>Class</b> <b>Number</b>	<b>Class</b> <b>Number</b> <b>Date of transfer</b>  			
<b>&gt; Shareholder Name</b> <b>Charles B. YOUNG</b>		<b>Name</b>  		<b>Shares transferred by</b> <b>Charles B. YOUNG</b>		
		<b>Address</b>  				
<b>Address</b> <b>58 Eaton Square</b> <b>London</b> <b>SW1W 9BG</b>		<b>UK Postcode</b> _ _ _ _ _				
<b>Shares held</b> <b>Class</b> <b>Ordinary</b>	<b>Number</b> <b>38</b>	<b>Shares held</b> <b>Class</b> <b>Number</b>	<b>Class</b> <b>Number</b> <b>Date of transfer</b>  			

**Section 5: Details of Other Shareholders**

- > Please fill in details of any persons or corporate bodies who are shareholders of the company at the date of this return, but whose details are not printed in Section 4.
- > Also, provide the details of any persons who became but have ceased to be shareholders of the company since the date of the last annual return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please copy this page if there is not enough space to enter all the company's other shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			



Companies House

for the record

**363s Annual Return Declaration**

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

**1. Declaration**

- ☒ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

*[Handwritten Signature]*  
(Director / Secretary)

Date

14, 06, 2002

This date must not be earlier than the  
return date at 2 below

**What to do now**

Complete this page then send the whole of the Annual Return and the  
declaration to the address shown at 4 below.

**2. Date of this return**

- ☒ This AR is made up to  
28/6/2002

If you are making this return up to an earlier date,  
please give the date here

*[Handwritten: 14/06/2002 CM]*  
Note: The form must be delivered to CH within 28 days of this date

**3. Date of next return**

- ☐ If you wish to change your next return to a date earlier than 28th June 2003  
please give the new date here:

\_\_\_ / \_\_\_ / \_\_\_

**4. Where to send this form**

- ☐ Please return this form to:

Registrar of Companies  
Companies House  
Crown Way  
Cardiff CF14 3UZ

OR

For members of the Hays Document  
Exchange service  
DX 33050 Cardiff

**Have you enclosed the filing fee with the company number written on the  
reverse of the cheque?**

Cheque



Postal Order



Cheque / Postal Order

Number

200139

(Please complete as appropriate)

**Contact Address**

You do not have to give any contact information below, but if you do, it will help  
Companies House to contact you if there is a query on the form. The contact  
information that you give will be visible to searchers of the public record.

Contact Name

CB Young

Telephone number inc code

0207 4913568

Address

58 Eaton Sq

London

JW1W9BG

DX number if applicable

\_\_\_\_\_

DX exchange

Postcode

\_\_\_\_\_