

## COMPANIES HOUSE

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# Annual Return

of company number 0

02799756

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company name

PRINCIPAL PORTFOLIOS 4 PLC

company type

PUBLIC LIMITED COMPANY

THE REGISTRAR OF COMPANIES
COMPANIES HOUSE
CROWN WAY
CARDIFF
CF4 3UZ

A33 \*AENSKF4J\* 255
COMPANIES HOUSE 21

### This form should be completed in black.

The information printed below is taken from Companies House records as at 24/02/99 If this information requires amendment use the spaces opposite.

## Date of this return (See note 1)

The information in this return should be made up to a date not later than

Day	Month	Year
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#### Date of next return (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

#### Registered Office (See note 3)

This is the address registered by Companies House.

TRINITY ROAD
HALIFAX
WEST YORKSHIRE HX1 2RG

## Principal business activities (See note 4)

Trade classification is
7032 MANAGE REAL ESTATE, FEE OR CONTRACT

If the code cannot be determined from the notes, give a brief description of principal activity.

If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

Year

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	Day	Month	Year
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Month

Day

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02799756 Register of members (See note 5)	If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.
The register is kept at  17 GAY STREET BATH BA1 2PH  Register of debenture holders (See note 6)	
Any register of debenture holders (or duplicate) is kept at	
17 GAY STREET BATH BA1 2PH	
Company Secretary (See note 7)	
Particulars of a new secretary must be notified on form 288.	Day Month Year Date of any change.
MATTHEW SEBASTIAN LODGE ROSE COTTAGE DOG LANE STAINLAND HALIFAX WEST YORKSHIRE HX4 9QF	
If this person has ceased to be secretary, please state when.	Day Month Year          Date of resignation.
Directors (See note 7)	
Particulars of a new director must be notified on form 288.  TIMOTHY JAMES GOODE LACEY HOUSE LACEY GREEN PRINCES RISBOROUGH BUCKINGHAMSHIRE HP27 ORB	Day Month Year Date of any change.
Date of Birth:- 29/03/52 Nat:BRITISH Occ:TREASURER	
If this person has ceased to be director, please state when.	Day Month Year        Date of resignation.
Show any relevant current and previous directorships	

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02799756	If the information shown needs amendment, give details below and the date of any change.				
Directors - continued					
Particulars.	Day Month Year				
ANTHONY WILFRID	Date of any change.				
JUKES POTTS FARM CHENNELL PARK ROAD					
TENTERDEN					
KENT TN30 6XA					
Date of Birth:- 10/04/43	***************************************				
Nat:BRITISH Occ:COMPANY DIRECTOR					
	Day Month Year				
If this person has ceased to be director, please state when.	Date of resignation.				
Show any relevant current and previous directorships.	***************************************				
Particulars.	Day Month Year				
STEPHEN JOHN	Date of any change.				
PETERS					
BIRCHES 65 ST GEORGES ROAD WEST BICKLEY BROMLEY					
KENT BR1 2NR	***************************************				
	•••••••••••••••••••••••••••••••••••••••				
	***************************************				
Date of Birth:- 15/08/53					
Nat:BRITISH	***************************************				
Occ:FINANCIAL CONTROLLER	Day Month You				
If this person has ceased to be director, please state when.	Day Month Year  Date of resignation.				
Show any relevant current and previous directorships.	***************************************				
Particulars.	Day Month Year				
•	Date of any change.				
JAMES DOUGLAS MORTIMER	***************************************				
SMALLWOOD POPPLEWELL KNOWLE TOP ROAD					
LIGHTCLIFFE					
HALIFAX WEST YORKSHIRE HX3 85J	***************************************				
TOWNSHIELD INS COO					
	***************************************				
Date of Birth:- 10/06/55					
Nat:BRITISH					
Occ:BUILDING SOCIETY MANAGER	Day Month Year				
If this person has ceased to be director, please state when.	Day Month Year        Date of resignation.				
	L I I     Date of resignation.				
Show any relevant current and previous directorships.					

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02799756 Issued Share Capital (See note 8) Enter details of all shares in issue at the date of	Class (eg Ordinary/ Preference etc)	Number of shares issued	Aggregate nominal value (ie Number of shares issued multiplied by
this return.	Ordinary A Ordinary	<u>960,001</u>	£0.50 £480.000.50
	Totals	960,002	£480,001
List of past and present members (See note 9) (Use attached schedule where appropriate) A full list is required if one was not included with either of the last two returns.		changes in the po	Please mark the appropriate box.  eriod not on on paper paper
The last full members list was at 10/03/97	A list of chang	es is enclosed nembers is enclos	ed X
Certificate  I certify that the information given in this return is true to the best of my knowledge and belief.  I enclose the fee of £15.  Cheques should be made payable to Companies House.		cludes (enter numbe	I have completed
To whom should Companies House direct any enquiries about the information shown in this return?>	ASSISTAL HALIDA Trinity 12 Wyould	ST SELRE Sple Doel He	TACY

LIST OF PAST AND PRESENT MEMBERS

Company Number: 02799756  Company Name: PRINCIPAL PORTFOLIOS 4 PLC  RINGIPAL PORTFOLIOS 4 PLC  Number of shares or shares for red since the date of the shares or smooted in the case of the little term, since the shares of the little term, since the little term, since th	LIST OF PAST AND PRESENT MEMBERS			SCHEDULE TO FORM 363			
Company Name:  PRINCIPAL PORTFOLIOS 4 PLC  Abares or shares transferred of the first return, or, in the case of the first return, since the stock held by existing members and (a) persons who are still members, and (b) persons who have coased to be members.  Number (a) Persons who are still members, and (b) persons who have coased to be members.  Number (a) Persons who are still members, and (b) persons who have coased to be members.  PRINCIPAL PORTFOLIOS 4 PLC  Number (a) Persons who are still members, and (b) persons who have coased to be members.  PRINCIPAL PORTFOLIOS 4 PLC  PRINCIPAL PORTFOLIOS 4 PLC  PRINCIPAL PORTFOLIOS 4 PLC  Abares of the first return, or, in the case of the company, by the company, by the company, by the company of the persons who are still the company, by the company of the company of the company of the company o	Company Number: 02799756		Account of Shares				
PRINCIPAL PORTFOLIOS 4 PLC  shares or amount of stock held by existing members at date of this return.  Name and address  Number currently held  Halifax plc Ordinary 960,001 960,001 31/12/98  Trinity Road A Ordinary 1 1 31/12/98  Halifax Mortgage Services Limited — 960,001 31/12/98  Trinity Road Ordinary 960,001 31/12/98			Mumber of	Particulars of	f shares trans-		
PRINCIPAL PORTFOLIOS 4 PLC  amount of stock held by existing members at date of this return.  Name and address  Number currently held  Transferred  Halifax plc Ordinary 960,001 960,001 31/12/98  Trinity Road A Ordinary 1 1 31/12/98  Halifax Mortgage Services Limited — 960,001 31/12/98  Trinity Road Ordinary 960,001 31/12/98	Company Name:			last return	The date of the		
Name and address  Number currently held  Name and address  Number Transferred	PRINCIPAL PORTFOLIOS 4 PLC		I	of the first i	return.since the		
Name and address  Number currently held  Halifax plc Ordinary 960,001 960,001 31/12/98  Trinity Road A Ordinary 1 1 31/12/98  Halifax Mortgage Services Limited — 960,001 31/12/98			stock held	incorporation	of the		
Name and address  Number Currently held  Number Transferred  Number Transferre							
Name and address  Number currently held  Halifax plc Ordinary 960,001 960,001 31/12/98  Trinity Road A Ordinary 1 1 31/12/98  Halifax HX1 2RG  Halifax Mortgage Services Limited — 960,001 31/12/98		,				i ·	
Name and address  Number currently held  Halifax plc  Ordinary  Halifax HX1 2RG  Halifax Mortgage Services Limited  Trinity Road  Ordinary  Ordinary  Ordinary   960,001  Number Transferred  Number Transferred  Registration of Transfer  1 1 31/12/98  Halifax Mortgage Services Limited   Trinity Road  Ordinary   960,001  31/12/98			1				
Name and address  Currently held  Currently Transferred  Registration of Transfer  Remarks  Remarks  A Ordinary  1 1 31/12/98  Halifax HX1 2RG  Halifax Mortgage Services Limited  Trinity Road  Ordinary  960,001 31/12/98							
Name and address  Currently held  Transferred  Registration of Transfer  Remark  Remark  Remark  Registration of Transfer  Remark  Rejistration of Transfer  31/12/98			Number	·····			
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Trinity Road A Ordinary 1 1 31/12/98  Halifax HX1 2RG  Halifax Mortgage Services Limited  Trinity Road Ordinary 960,001 31/12/98	Yf 1.0						
Halifax HX1 2RG  Halifax Mortgage Services Limited  Trinity Road  Ordinary  960,001  31/12/98	Halifax plc	Ordinary	960,001	960,001	31/12/98		
Halifax HX1 2RG  Halifax Mortgage Services Limited  Trinity Road Ordinary 960,001 31/12/98	Trinity Road	A Ordinary	_	1	31/12/08		
Trinity Road Ordinary 960,001 31/12/98	Halifax HX1 2RG				-3.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1	**···	
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LIST OF PAST AND PRESENT MEMBERS (continued)

SCHEDULE TO FORM 363

		Acc	ount of Share	S
Company Number: 02799756	Number of	Particulars of ferred since t	shares trans- he date of the	<u>-</u> : ———————————————————————————————————
Company Name:	shares or		r, in the case	
PRINCIPAL PORTFOLIOS 4 PLC	amount of		eturn, since the	
LYINCILUT LOYILOTION A LTC	stock held		or the	
	by existing	company, by	who are still	
	members at date of this			
	return.	(b) persons	who have	
		ceased t	o be members.	
	Number		Date of	
		Number		Denseules
Name and address	currently	Transferred	Registration	Remarks
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