



BLUEPRINT
Company Secretary

Please complete in typescript,
or in bold black capitals.

CHFP055

HSBC
119199
£90x6.

363a

Annual Return

Company Number 2781154

Company Name in full HSBC Private Equity Limited

Date of this return

The information in this return is made up to

Day		Month		Year			
2	2	0	5	2	0	0	1

Date of next return

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day		Month		Year			

Registered Office

Show here the address at the date of this return.

Any change of registered office **must** be notified on form 287.

Post town Vintners Place
County / Region 68 Upper Thames Street
London
UK Postcode EC4V 3BJ

Principal business activities

Show trade classification code number(s) for the principal activity or activities.

6523

If the code number cannot be determined, give a brief description of the activity.

Holding Company for Equity Group



A39
COMPANIES HOUSE
----- OFFICE HOUSE

0424
21/07/01
0742
12/07/01

When you have completed and signed the form please send it to the Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland **DX 235 Edinburgh**

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

10 Lower Thames Street

Post town London

County / Region

UK Postcode EC3R 6AE

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

Forename(s)

~~Nicola Suzanne~~

~~PAUL ANDREW~~

Nicola Suzanne

* Voluntary details.

Surname

~~BLACK~~

~~STAFFORD~~ BLACK

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Address

~~Springfield~~

Springfield

~~24 Drovers Way~~

24 Drovers Way

Post town

~~Bishops Stortford~~

Bishops Stortford

County / Region

~~Herts~~ Herts

UK Postcode

~~CM23 4GF~~

CM23 4GF

Country

England

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 2 7 1 2 1 9 4 8

Forename(s) Ian Christopher

Surname COTTERILL

Address

1 Cloisters Lawns

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town Letchworth

County / Region Herts

UK Postcode SG6 3JT

Country

Nationality British

Business occupation Chief Operating Officer

* Voluntary details.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 2 9 0 3 1 9 6 5

Forename(s) Mark

Surname DUNFOY

Address

68 Fulham Park Gardens

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town London

County / Region

UK Postcode SW6 4LB

Country England

Nationality British

Business occupation Banker

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

**Number of
shares issued**

**Aggregate
Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

Ordinary Shares

25,000,002

£25,000,002.000

Totals

25,000,002

£25,000,002.000

List of past and present shareholders

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period



on paper in another format

A list of changes is enclosed



A full list of shareholders is enclosed



Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed

Jim Hawson

Date

15/6/01

† Please delete as appropriate.

~~† a director~~/secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

6

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

Miss J Cole, Company Secretarial Assistant, HSBC Holdings plc, 10

Lower Thames Street, London EC3R 6AE

Tel 020 7260 9629

DX number DX exchange

Directors * (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

Name	* Style / Title								
Directors	In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.								
	Date of birth	Day	Month	Year					
		1	3	0	2	1	9	6	2
	Forename(s)	David Allan							
	Surname	FARLEY							
Address	22 Douglas Road								
Usual residential address	must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.								
	Post town	Harpenden							
	County / Region	Hertfordshire				UK Postcode	AL5 2EW		
	Country					Nationality	British		
	Business occupation	Investment Banker							

* Voluntary details.

Name	* Style / Title								
Directors	In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.								
	Date of birth	Day	Month	Year					
		0	2	1	2	1	9	5	7
	Forename(s)	Christopher Paul							
	Surname	GILL							
Address	Silvertrees								
	18 Burntwood Road								
	Post town	Sevenoaks							
	County / Region	Kent				UK Postcode	TN13 1PT		
	Country					Nationality	British		
	Business occupation	Merchant Banker							

Directors (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title _____

Date of birth
Day Month Year
1 7 0 2 1 9 6 1

Forename(s) Philip Walter

Surname GOODWIN

Address

~~39 Carrwood Road~~ 5 former street

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town ~~Wilmelton~~ LondonCounty / Region ~~Cheshire~~UK Postcode ~~SK9 5DL~~ W8 7SN

Country

Nationality British

Business occupation Investment Banker

* Voluntary details.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth
Day Month Year
1 3 0 6 1 9 6 4

Forename(s) Nigel Derek

Surname HAMMOND

Address

Rust Hall

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town Langton Green

County / Region Kent

UK Postcode TN3 0DB

Country England

Nationality British

Business occupation Investment Banker

Directors (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

Name	* Style / Title			
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.				
	Date of birth	Day	Month	Year
		2	4	1 0 1 9 5 6
	Forename(s)	Christopher Mary		
	Surname	MASTERSON		
Address	Side Ley			
	Cavendish Road			
	St George's Hill			
Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Post town	Weybridge		
	County / Region	Surrey	UK Postcode	KT13 0JY
	Country	England	Nationality	British
Business occupation	Investment Banker			

* Voluntary details.

Name	* Style / Title			
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.				
	Date of birth	Day	Month	Year
		1	9	0 1 1 9 5 8
	Forename(s)	Vincent Gerald		
	Surname	O'BRIEN		
Address	8 Vineyard Hill Road			
	Wimbledon			
Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Post town	London		
	County / Region		UK Postcode	SW19 7JH
	Country	England	Nationality	British
Business occupation	Investment Banker			

Directors (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title _____

Date of birth
Day Month Year
0 9 0 8 1 9 6 6

Forename(s) Simon Felix

Surname POOLER

Address

Peninsular House

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

30 Monument Street

Post town London

County / Region

UK Postcode EC3R 8NB

Country

Nationality British

Business occupation

Investment Banker

* Voluntary details.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth
Day Month Year
0 4 0 2 1 9 5 7

Forename(s) George Alton

Surname RAFFINI

Address

Apartment 22a, Block 1

Grand Garden

61 South Bay Road

Post town

County / Region

UK Postcode

Country Hong Kong SAR

Nationality American

Business occupation

Banker

Directors. (Continued)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth
Day Month Year
1 0 0 1 1 9 5 9

Forename(s) Alexander Gabriel

Surname SHINDER

Address

14 Nassington Road

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town

County / Region

London

UK Postcode

NW3 2UD

Country

England

Nationality

British

Business occupation

Investment Banker

* Voluntary details.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth
Day Month Year
1 0 0 2 1 9 5 7

Forename(s) Andrew Leonard

Surname THESEN

Address

Hartmere

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town

County / Region

East Sussex

UK Postcode

TN7 4DJ

Country

United Kingdom

Nationality

British

Business occupation

Investment Banker

Company Number 2781154**Company Name in full** HSBC Private Equity Limited**Company Secretary**

Details of a new company secretary must be notified on form 288a.

(Please photocopy
this area to provide
details of joint
secretaries).

Name

* Style / Title Mrs

Forename(s) Susan Mary

Surname HAWORTH

* Voluntary details.

If a partnership give
the names and
addresses of the
partners or the name
of the partnership
and office address.

Address

33 The Stewarts

**Usual residential
address** must be
given. In the case of a
corporation or a
Scottish firm, give the
registered or principal
office address.

Post town Bishops Stortford

County / Region Herts

UK Postcode CM23 2NU

Country United Kingdom

Company Secretary

(Please photocopy
this area to provide
details of joint
secretaries).

Name

* Style / Title

Forename(s)

Surname

* Voluntary details.

If a partnership give
the names and
addresses of the
partners or the name
of the partnership
and office address.

Address

**Usual residential
address** must be
given. In the case of a
corporation or a
Scottish firm, give the
registered or principal
office address.

Post town

County / Region

UK Postcode

Country

List of past and present shareholders **Schedule to form 363a**

CHFP055

Company Number | 2781154

Company Name in full | HSBC Private Equity Limited

- > Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- > You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following incorporation;
 - Every third annual return after a full list has been provided
- > List the company shareholders in alphabetical order or provide an index
- > List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <hr/> Address <hr/> UK Postcode <hr/>			
Name <hr/> Address <hr/> UK Postcode <hr/>			
Name <hr/> Address <hr/> UK Postcode <hr/>			