



Companies House

AR01 (ef)

Annual Return



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Company Name: **TULLETT PREBON (SECURITIES) LIMITED**

Company Number: **02670499**

Date of this return: **30/11/2013**

SIC codes: **64999**

Company Type: **Private company limited by shares**

Situation of Registered Office: **TOWER 42
LEVEL 37 25 OLD BROAD STREET
LONDON
UNITED KINGDOM
EC2N 1HQ**

Officers of the company

Company Secretary 1

Type: **Person**

Full forename(s): **DIANA**

Surname: **DYER BARTLETT**

Former names:

Service Address recorded as Company's registered office

Company Director 1

Type: **Person**

Full forename(s): **JOERG**

Surname: **BIRKHOLZ**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **10/07/1964**

Nationality: **GERMAN**

Occupation: **BROKER ON EURO
GOVERNMENT BOND**

Company Director 2

Type: **Person**
Full forename(s): **MARCUS PEREGRINE**

Surname: **BOLTON**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **09/06/1964** *Nationality:* **BRITISH**

Occupation: **DIRECTOR**

Company Director 3

Type: **Person**
Full forename(s): **MR SIMON**

Surname: **CLARK**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **21/04/1965** *Nationality:* **BRITISH**

Occupation: **SOLICITOR**

Company Director **4**

Type: **Person**
Full forename(s): **PAUL SIMON**

Surname: **DUNKLEY**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **17/10/1964** *Nationality:* **BRITISH**

Occupation: **BROKER**

Company Director **5**

Type: **Person**
Full forename(s): **MR ANDREW CHARLES**

Surname: **HADLEY**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **19/11/1970** *Nationality:* **BRITISH**

Occupation: **COMPLIANCE OFFICER**

Company Director 6

Type: **Person**
Full forename(s): **ANDREW LAURENCE**

Surname: **MONKHOUSE**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **28/09/1966** *Nationality:* **BRITISH**

Occupation: **FINANCE DIRECTOR EMEA**

Company Director 7

Type: **Person**
Full forename(s): **ROBERT WILLIAM**

Surname: **OSBORNE**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **15/01/1965** *Nationality:* **BRITISH**

Occupation: **MONEYBROKER**

Company Director 8

Type: **Person**
Full forename(s): **ANDREW ARISTOTLE**

Surname: **POLYDOR**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **17/09/1962** Nationality: **AUSTRALIAN**

Occupation: **MANAGING DIRECTOR**

Company Director 9

Type: **Person**
Full forename(s): **NICHOLAS JAMES JUSTIN**

Surname: **POTTER**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **20/04/1965** Nationality: **BRITISH**

Occupation: **MONEYBROKER**

Company Director 10

Type: **Person**

Full forename(s): **ANGUS JOHN DRENNAN**

Surname: **WINK**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **25/02/1967**

Nationality: **BRITISH**

Occupation: **MONEYBROKER**

Statement of Capital (Share Capital)

Class of shares	1 ORDINARY SHARES	<i>Number allotted</i>	500
		<i>Aggregate nominal value</i>	500
<i>Currency</i>	GBP	<i>Amount paid per share</i>	8400
		<i>Amount unpaid per share</i>	0

Prescribed particulars

EACH ORDINARY SHAREHOLDER SHALL HAVE ONE VOTE ON A SHOW OF HANDS AND ONE VOTE PER ORDINARY SHARE ON A POLL.

Class of shares	1 ORDINARY SHARES	<i>Number allotted</i>	4605500
		<i>Aggregate nominal value</i>	4605500
<i>Currency</i>	GBP	<i>Amount paid per share</i>	1.4114
		<i>Amount unpaid per share</i>	0

Prescribed particulars

EACH ORDINARY SHAREHOLDER SHALL HAVE ONE VOTE ON A SHOW OF HANDS AND ONE VOTE PER ORDINARY SHARE ON A POLL.

Class of shares	1 ORDINARY SHARES	<i>Number allotted</i>	7000000
		<i>Aggregate nominal value</i>	7000000
<i>Currency</i>	GBP	<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0

Prescribed particulars

EACH ORDINARY SHAREHOLDER SHALL HAVE ONE VOTE ON A SHOW OF HANDS AND ONE VOTE PER ORDINARY SHARE ON A POLL.

Statement of Capital (Totals)

<i>Currency</i>	GBP	<i>Total number of shares</i>	11606000
		<i>Total aggregate nominal value</i>	11606000

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 30/11/2013 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for the company are shown below

Shareholding 1 : **11606000 ?1 ORDINARY SHARES** shares held as at the date of this return
Name: **TULLETT PREBON INVESTMENT HOLDINGS LIMITED**

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.