



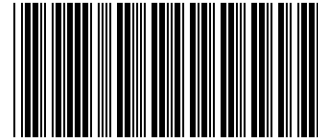
Companies House
— for the record —

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Annual Return

Company Name: **TULLETT PREBON (SECURITIES) LIMITED**

Company Number: **02670499**



XC0GNLKH

Received for filing in Electronic Format on the: **22/12/2006**

Company Details

Period Ending: **30/11/2006**

Company Type: **PRIVATE COMPANY LIMITED BY SHARES**

Principal Business Activities:

SIC Codes
6523

Registered Office:
Address:

**CABLE HOUSE
54-62 NEW BROAD STREET
LONDON
EC2M 1ST**

Register of
Members Address:

At Registered Office

Register of Debenture
Holders Address:

Not Applicable

Details of Officers of the Company

Company Secretary:

Name: **MARIA TERESA DE FREITAS**

Address: **FLAT 1 248A UPPER STREET
LONDON N1 1RU**

Director 1:

Name: **JOERG BIRKHOLZ**

Date of Birth: **10/07/1964**

Nationality: **GERMAN**

Occupation: **BROKER ON EURO
GOVERNMENT BOND**

Address: **FLAT 9 70 IRONMONGER ROW
LONDON EC1V 7QR**

Director 2:

Name: **STEPHEN ROGER CORKER** *Address:* **10 MONMOUTH ROAD**
Date of Birth: **19/07/1954** **LONDON W2 5SB**
Nationality: **BRITISH**
Occupation: **ACCOUNTANT**

Director 3:

Name: **JEVIN JOHN DAVIS** *Address:* **CEDAR HOUSE 9 BROADWAY**
Date of Birth: **04/03/1970** **GIDEA PARK**
Nationality: **BRITISH** **ROMFORD**
Occupation: **MONEY BROKER** **ESSEX RM2 5NS**

Director 4:

Name: **SIMON LINDSAY DRAKE** *Address:* **212 HAMMERSMITH GROVE**
Date of Birth: **15/04/1975** **LONDON W6 7HG**
Nationality: **BRITISH**
Occupation: **DIRECTOR OF EQUITY**
OPTIONS

Director 5:

Name: **STEPHEN DUCKWORTH** *Address:* **HOOP HOUSE**
Date of Birth: **28/07/1966** **GREEN STREET, LITTLE**
Nationality: **BRITISH** **HADHAM**
Occupation: **BROKER** **WARE**
HERTFORDSHIRE SG11 2EE

Director 6:

Name: **JONATHAN FRANCIS ELY** *Address:* **OAK HALL**
Date of Birth: **26/01/1966** **CHURCH ROAD SUNDRIDGE**
Nationality: **BRITISH** **SEVENOAKS**
Occupation: **BROKER ON THE EURO** **KENT TN14 6AT**
GOVERNMENT

Director 7:

Name: **STEVEN HORKULAK** *Address:* **RANDALLS**
Date of Birth: **18/12/1963** **MEAD ROAD**
Nationality: **BRITISH** **CHISLEHURST**
Occupation: **MONEYBROKER** **KENT BR7 6AD**

Director 8:

<i>Name:</i>	IVAN LJUBIC	<i>Address:</i>	15 RUE DES GATE CEPS
<i>Date of Birth:</i>	10/10/1958		SAINT CLOUD
<i>Nationality:</i>	FRENCH		92210
<i>Occupation:</i>	MONEY BROKER		FRANCE

Director 9:

<i>Name:</i>	MICHAEL NORTHEAST-ROFFEY	<i>Address:</i>	BLOIS HALL SIBLE HEDINGHAM HALSTEAD ESSEX CO9 3LP
<i>Date of Birth:</i>	16/05/1966		
<i>Nationality:</i>	BRITISH		
<i>Occupation:</i>	BROKER		

Director 10:

<i>Name:</i>	GARY ALBERT READ	<i>Address:</i>	112 LAMBOURNE ROAD
<i>Date of Birth:</i>	15/05/1964		CHIGWELL
<i>Nationality:</i>	BRITISH		ESSEX IG7 6EJ
<i>Occupation:</i>	MANAGER OF THE GILT REPO DESK		

Director 11:

<i>Name:</i>	SIMON ANDREW ROGERS	<i>Address:</i>	27 CULMINGTON ROAD
<i>Date of Birth:</i>	18/06/1964		EALING
<i>Nationality:</i>	BRITISH		LONDON W13 9NJ
<i>Occupation:</i>	MONEYBROKER		

Director 12:

<i>Name:</i>	AYMERIC THOUVENOT	<i>Address:</i>	15 RUE SOUFFLOT
<i>Date of Birth:</i>	29/06/1963		PARIS
<i>Nationality:</i>	FRENCH		75005
<i>Occupation:</i>	MONEY BROKER		FRANCE

Director 13:

<i>Name:</i>	ANGUS JOHN DRENNAN WINK	<i>Address:</i>	21 BEVERLEY ROAD BARNES LONDON SW13 0LX
<i>Date of Birth:</i>	25/02/1967		
<i>Nationality:</i>	BRITISH		
<i>Occupation:</i>	MONEYBROKER		

Share Capital

Issued Share Capital Details:

<i>Class of share</i>	<i>Number of shares issued</i>	<i>Aggregate nominal value of issued shares</i>
ORDINARY	11605500	GBP11605500
TOTALS	11605500	GBP11605500

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 30/11/2006, or that had ceased to be shareholders since the made up date of the previous Annual Return.

Shareholding 1:

11605500 ORDINARY Shares held as at 30/11/2006

Name: **TULLETT LIBERTY (NUMBER 2) LIMITED**

Address: **CABLE HOUSE
54-62 NEW BROAD STREET LONDON EC2M 1ST**

Authorisation

Authoriser Designation: **SECRETARY** *Date Authorised:* **22/12/2006** *Authenticated:* **Yes (E/W)**