



Companies House

AR01 (ef)

Annual Return



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Company Name: **TD SECURITIES LIMITED**

Company Number: **02650118**

Date of this return: **14/06/2014**

SIC codes: **64999**

Company Type: **Private company limited by shares**

Situation of Registered Office: **60 THREADNEEDLE STREET
LONDON
EC2R 8AP**

Officers of the company

Company Secretary 1

Type: **Person**
Full forename(s): **MR ANDREW JAMES**

Surname: **JEFFREY**

Former names:

Service Address recorded as Company's registered office

Company Director 1

Type: **Person**
Full forename(s): **MR DANIEL SASHA**

Surname: **CEROVIC**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **23/10/1977** Nationality: **CANADIAN**
Occupation: **CHIEF FINANCIAL OFFICER**

Company Director 2

Type: **Person**
Full forename(s): **MRS MEGAN JENNIFER**

Surname: **HUPPKE**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **17/01/1972** *Nationality:* **CANADIAN**

Occupation: **DIRECTOR**

Company Director 3

Type: **Person**
Full forename(s): **MR PAUL JOHN**

Surname: **MASTERSON**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **28/05/1963** *Nationality:* **CANADIAN**

Occupation: **DIRECTOR**

Company Director 4

Type: **Person**
Full forename(s): **MR STEVEN SPENCER**

Surname: **NASH**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **11/02/1971** Nationality: **ENGLISH**

Occupation: **DIRECTOR**

Company Director 5

Type: **Person**
Full forename(s): **MR BRIAN GRANT**

Surname: **SMITH**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **27/04/1964** Nationality: **AMERICAN**

Occupation: **CHIEF EXECUTIVE**

Company Director 6

Type: **Person**
Full forename(s): **JAMES NEIL**

Surname: **STEWART**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **01/06/1964** Nationality: **BRITISH**

Occupation: **BANKING**

Company Director 7

Type: **Person**
Full forename(s): **BRADLEY NEIL**

Surname: **WALLACE**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **21/12/1963** Nationality: **CANADIAN**

Occupation: **MANAGER HR**

Statement of Capital (Share Capital)

Class of shares	ORDINARY	<i>Number allotted</i>	1000000
		<i>Aggregate nominal value</i>	1000000
<i>Currency</i>	GBP	<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0
<i>Prescribed particulars</i>			
EACH SHARE IS ENTITLED TO ONE VOTE IN ANY CIRCUMSTANCES			

Class of shares	REDEEMABLE PREFERENCE	<i>Number allotted</i>	62000000
		<i>Aggregate nominal value</i>	62000000
<i>Currency</i>	GBP	<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0
<i>Prescribed particulars</i>			
EACH SHARE IS ENTITLED TO ONE VOTE IN ANY CIRCUMSTANCES			

Statement of Capital (Totals)

<i>Currency</i>	GBP	<i>Total number of shares</i>	63000000
		<i>Total aggregate nominal value</i>	63000000

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 14/06/2014 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for the company are shown below

Shareholding 1 : **1000000 ORDINARY shares held as at the date of this return**
Name: **TORONTO DOMINION HOLDINGS (UK) LTD**

Shareholding 2 : **62000000 REDEEMABLE PREFERENCE shares held as at the date of this return**
Name: **TORONTO DOMINION HOLDINGS (UK) LTD**

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.