



Please complete in typescript,
or in bold black capitals.

m1D
£ 30x2
035541

363a

Annual Return

Company Number

2637272

Company Name in full

Fulton Prebon Group Limited



* F363AD40 *

Date of this return (See note 1)

The information in this return is made up to

Day Month Year

13 08 1997

Date of next return (See note 2)

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here.
Companies House will then send a form
at the appropriate time.

Day Month Year

13 08 1998

Registered Office (See note 3)

Show here the address at the date of
this return.

Any change of
registered office
must be notified
on form 287.

Post town

County / Region

Postcode

2ND FLOOR

155 BISHOPSGATE

LONDON

EC2N 3DA

Principal business activities

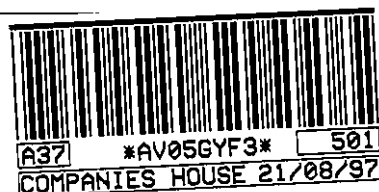
(See note 4)

Show trade classification code number(s)
for the principal activity or activities.

7415

HOLDING COMPANY

If the code number cannot be determined,
give a brief description of principal activity.



When you have completed and signed the form please send it to the
Registrar of Companies at:
Companies House, Crown Way, Cardiff, CF4 3UZ DX 33050 Cardiff
for companies registered in England and Wales
or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland DX 235 Edinburgh

Register of members *(See note 5)*

If the register of members is not kept at the registered office, state here where it is kept.

Post town
County / Region

Postcode

Register of Debenture holders

(See note 6)

If there is a register of debenture holders and it is not kept at the registered office, state here where it is kept.

Post town
County / Region

Postcode

Company type *(See note 7)*

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

X

Please mark the appropriate box

Company Secretary *(see notes 1-5)*

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name * Style / Title

MR.

*Honours etc

Forename(s)

PETER

Surname

ANKERS

* Voluntary details.

Previous forename(s)

Previous surname(s)

Address

30 FITZROY CRESCENT

CHISWICK

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town

LONDON

County / Region

Postcode

W4 3EL

Country

Directors (see notes 1 to 5)

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

| | | | | | | | | |
|--|-----------------------------|-----------|--|--|----------------------|--------------|-------------|------|
| Name | * Style / Title | MRS | | | Day | Month | Year | |
| | * Honours etc | | | | Date of birth | 27 | 10 | 1961 |
| | Forename(s) | SARAH ANN | | | | | | |
| | Surname | COLLIS | | | | | | |
| | Previous forename(s) | | | | | | | |
| | Previous surname(s) | | | | | | | |
| Address | 53 THE AVENUE, WEST EALING | | | | | | | |
| | | | | | | | | |
| Usual residential address must be given. In the case of a corporation, give the registered or principal office address. | Post town | LONDON | | | | | | |
| | County / Region | | | | Postcode | W13 8JR | | |
| | Country | ENGLAND | | | Nationality | BRITISH | | |
| Business occupation | CHARTERED ACCOUNTANT | | | | | | | |
| Other directorships | See attached list | | | | | | | |
| * Voluntary details. | | | | | | | | |

| | | | | | | | | |
|--|--------------------------------------|---------|--|--|----------------------|--------------|-------------|------|
| Name | * Style / Title | MR | | | Day | Month | Year | |
| | * Honours etc | | | | Date of birth | 11 | 05 | 1935 |
| | Forename(s) | KEIJI | | | | | | |
| | Surname | HIGAKI | | | | | | |
| | Previous forename(s) | | | | | | | |
| | Previous surname(s) | | | | | | | |
| Address | PENTHOUSE, GARDEN COURT, GARDEN ROAD | | | | | | | |
| | ST JOHNS WOOD | | | | | | | |
| Usual residential address must be given. In the case of a corporation, give the registered or principal office address. | Post town | LONDON | | | | | | |
| | County / Region | | | | Postcode | NW8 9PP | | |
| | Country | ENGLAND | | | Nationality | JAPAN | | |
| Business occupation | COMPANY DIRECTOR | | | | | | | |
| Other directorships | PREBON YAMANE INTERNATIONAL LIMITED | | | | | | | |
| | | | | | | | | |

Directors (see notes 1-5)

Please list directors in alphabetical order

Details of new directors must be notified on form 288a.

| | | | | | | | |
|--|---------------------------------|-------------------|--|----------------------|--------------|-------------|------|
| Name | * Style / Title | MR. | | Day | Month | Year | |
| | * Honours etc | | | Date of birth | 30 | 05 | 1952 |
| | Forename(s) | ARTHUR MCQUADE | | | | | |
| | Surname | HUGHES | | | | | |
| | Previous forename(s) | | | | | | |
| | Previous surname | | | | | | |
| Address | TUGARE, WINEHAM LANE, WINEHAM | | | | | | |
| | | | | | | | |
| Usual residential address must be given. In the case of a corporation, give the registered or principal office address. | Post town | HENFIELD | | | | | |
| | County / Region | WEST SUSSEX | | Postcode | BN5 9AZ | | |
| | Country | ENGLAND | | | | | |
| | Nationality | BRITISH | | | | | |
| | Business occupation | COMPANY DIRECTOR | | | | | |
| | Other directorships | See attached list | | | | | |
| | | | | | | | |
| Name | * Style / Title | MR. | | Day | Month | Year | |
| | * Honours etc | | | Date of birth | 04 | 10 | 1959 |
| | Forename(s) | PATRICK MICHAEL | | | | | |
| | Surname | KEENAN | | | | | |
| | Previous forename(s) | | | | | | |
| | Previous surname | | | | | | |
| Address | 84 IVERNA COURT, IVERNA GARDENS | | | | | | |
| | | | | | | | |
| Usual residential address must be given. In the case of a corporation, give the registered or principal office address. | Post town | LONDON | | | | | |
| | County / Region | | | Postcode | W8 6TU | | |
| | Country | | | | | | |
| | Nationality | AUSTRALIA | | | | | |
| | Business occupation | COMPANY DIRECTOR | | | | | |
| | Other directorships | See attached list | | | | | |
| | | | | | | | |
| * Voluntary details. | | | | | | | |

Directors (see notes 1-5)

Please list directors in alphabetical order

Details of new directors must be notified on form 288a.

| | | | | | | |
|--|----------------------------|---------------|---------------|----------|-------|------|
| Name | * Style / Title | MR. | | Day | Month | Year |
| | * Honours etc | | Date of birth | 27 | 08 | 1962 |
| | Forename(s) | DAVID EDWARD | | | | |
| | Surname | RUTTER | | | | |
| | Previous forename(s) | | | | | |
| | Previous surname | | | | | |
| Address | 232 OAKRIDGE AVENUE | | | | | |
| | | | | | | |
| Usual residential address must be given. In the case of a corporation, give the registered or principal office address. | Post town | SUMMIT | | | | |
| | County / Region | NEW JERSEY | Postcode | NJ 07901 | | |
| | Country | UNITED STATES | | | | |
| Nationality | UNITED STATES | | | | | |
| Business occupation | COMPANY DIRECTOR | | | | | |
| Other directorships | FPG HOLDINGS LIMITED | | | | | |
| | PREBON YAMANE (UK) LIMITED | | | | | |

| | | | | | | |
|--|------------------------|-------------------|---------------|-----|-------|------|
| Name | * Style / Title | MR. | | Day | Month | Year |
| | * Honours etc | | Date of birth | 25 | 11 | 1959 |
| | Forename(s) | JOHN JOSEPH | | | | |
| | Surname | WALDRON | | | | |
| | Previous forename(s) | | | | | |
| | Previous surname | | | | | |
| Address | 7111 PINEHURST CIRCLE | | | | | |
| | | | | | | |
| Usual residential address must be given. In the case of a corporation, give the registered or principal office address. | Post town | NEVILLEWOOD 15106 | | | | |
| | County / Region | PENNSYLVANIA | Postcode | | | |
| | Country | UNITED STATES | | | | |
| Nationality | UNITED STATES | | | | | |
| Business occupation | CPA | | | | | |
| Other directorships | NO OTHER DIRECTORSHIPS | | | | | |
| | | | | | | |

* Voluntary details.

Other relevant directorships

Company Number 2637272

Company Name Fulton Prebon Group Limited

Directors Name COLLIS SARAH ANN

† Directors only.

† Other directorships

FULTON PREBON ADMINISTRATION LIMITED

ONLYMATCH LEASING LIMITED

PREBON INVESTMENTS LIMITED

PREBON SERVICES LIMITED

PREBON YAMANE INTERNATIONAL LIMITED

NOTES

Show the full forenames, NOT INITIALS. If the director or secretary is a corporation or Scottish firm, show the name on surname line and registered or principal office on the usual residential line.

Give previous forenames or surname(s) except:
- for a married woman, the name by which she was known before marriage need not be given.

- for names not used since the age of 18 or for at least 20 years

A peer or individual known by a title may state the title instead of or in addition to the forenames and surname and need not give the name by which that person was known before he or she adopted the title or preceded to it.

Other directorships.

Give the name of every company incorporated in Great Britain of which the person concerned is a director or has been a director at any time in the past five years.

You may exclude a company which either is, or at all times during the past five years when the person concerned was a director, was

- dormant
- a parent company which wholly owned the company making the return, or
- another wholly owned subsidiary of the same parent company.

Other relevant directorships

Company Number 2637272

Company Name Fulton Prebon Group Limited

Directors Name HUGHES ARTHUR MCQUADE

† Directors only.

† Other directorships

ALDAMOOR 1988 LIMITED (DISSOLVED 18/08/92)

FPG HOLDINGS LIMITED

FULTON PREBON ADMINISTRATION LIMITED

L.C.F. LIMITED

ONLYMATCH LEASING LIMITED

PENAD BL LIMITED

PREBON (FINANCIAL FUTURES) LIMITED

PREBON INVESTMENTS LIMITED

PREBON YAMANE (UK) LIMITED

PREBON YAMANE INTERNATIONAL LIMITED

SYMPHONY ASSET FINANCE LIMITED(DISSOLVED 18/01/94)

WORKPRIZE LIMITED (DISSOLVED 01/12/92)

NOTES

Show the full forenames, NOT INITIALS. If the director or secretary is a corporation or Scottish firm, show the name on surname line and registered or principal office on the usual residential line.

Give previous forenames or surname(s) except:
- for a married woman, the name by which she was known before marriage need not be given.

- for names not used since the age of 18 or for at least 20 years

A peer or individual known by a title may state the title instead of or in addition to the forenames and surname and need not give the name by which that person was known before he or she adopted the title or preceded to it.

Other directorships.

Give the name of every company incorporated in Great Britain of which the person concerned is a director or has been a director at any time in the past five years.

You may exclude a company which either is, or at all times during the past five years when the person concerned was a director, was

- dormant

- a parent company which wholly owned the company making the return, or

- another wholly owned subsidiary of the same parent company.

Other relevant directorships

Company Number **2637272**

Company Name **Fulton Prebon Group Limited**

Directors Name **KEENAN PATRICK MICHAEL**

† Directors only.

† Other directorships

FPG HOLDINGS LIMITED

FULTON PREBON ADMINISTRATION LIMITED

PREBON INVESTMENTS LIMITED

PREBON YAMANE (UK) LIMITED

PREBON YAMANE INTERNATIONAL LIMITED

NOTES

Show the full forenames, NOT INITIALS. If the director or secretary is a corporation or Scottish firm, show the name on surname line and registered or principal office on the usual residential line.

Give previous forenames or surname(s) except:
- for a married woman, the name by which she was known before marriage need not be given.

- for names not used since the age of 18 or for at least 20 years

A peer or individual known by a title may state the title instead of or in addition to the forenames and surname and need not give the name by which that person was known before he or she adopted the title or preceded to it.

Other directorships.

Give the name of every company incorporated in Great Britain of which the person concerned is a director or has been a director at any time in the past five years.

You may exclude a company which either is, or at all times during the past five years when the person concerned was a director, was

- dormant

- a parent company which wholly owned the company making the return, or

- another wholly owned subsidiary of the same parent company.

Issued share capital (see note 9)
Enter details of all the shares in issue at the date of this return.

| Class (e.g. Ordinary/Preference) | Number of shares issued | Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share) |
|-------------------------------------|----------------------------|--|
| 10P ORD | 1,000 | £ 100.00 |
| DEFERRED ORDINARY | 143 | £ 14.30 |
| | | |
| | | |
| Totals | 1,143 | £ 114.30 |

List of past and present members

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

(see note 10)

There were no changes in the period

☐

on paper in another format

A list of changes is enclosed

☐
☐

A full list of members is enclosed

☒
☐

Elective resolutions

(Private companies only)

(See note 11)

If at the date of this return an election is in force to dispense with annual general meetings, mark this box

☒

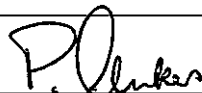
If at the date of this return an election is in force to dispense with laying accounts in general meetings, mark this box

☒

Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

19/08/97

† Please delete as appropriate.

† a director/secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to Companies House.

This return includes

5
(enter number)

continuation sheets.

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

| | |
|---|-------------|
| MR. P ANKERS, FULTON PREBON GROUP LTD, 2ND FLOOR, 155 BISHOPSGATE, LONDON, EC2N 3DA | |
| | |
| Tel 0171 200 7000 | |
| DX number | DX exchange |



SECRETARIAT

Please complete in typescript,
or in bold black capitals.

**List of past and present members
Schedule to form 363a, 363b**

Company Number 2637272

Company Name in full Fulton Prebon Group Limited

| Name and address | Number of shares or amount of stock held by existing members at date of this return. | Particulars of shares or stock transferred since the date of the last return (or in the case of the first return, since the incorporation of the company) by (a) persons who are still members, and (b) persons who have ceased to be members. | | |
|--|---|---|--|----------------------|
| | Number or amount currently held | Number or amount Transferred | Date of registration of transfer | Remarks |
| ARTHUR MCQUADE HUGHES TUGARE, WINEHAM LANE, WINEHAM, HENFIELD, WEST SUSSEX, ENGLAND, BN5 9AZ | 10p Ord 0 | 500 | 24/03/97 | FPG HOLDINGS LIMITED |
| JAMESTRON LIMITED PORTMAN HOUSE, HUE STREET, ST HELIER, JERSEY, JE1 4HH | 10p Ord 0 | 100 | 24/03/97 | FPG HOLDINGS LIMITED |
| RASTER INVESTMENTS INC. PO BOX 1586, 2ND FLOOR, ROYAL BANK BUILDING, CARDINAL AVENUE, CAYMAN ISLANDS | 10p Ord 0 | 400 | 28/02/97 | FPG HOLDINGS LIMITED |
| YAMANE PREBON CO., LTD 1-2-8 NIHONBASHI HONCHO, CHUO-KU, TOKYO 103 | Deferred Ordinary 143 | | | |
| FPG HOLDINGS LIMITED 2ND FLOOR, 155 BISHOPSGATE, LONDON, EC2N 3DA | 10p Ord 1,000 | | | |