



SECRETARIAT

Please complete in typescript,  
or in bold black capitals.

CHFP029

363a

LTSB  
2435X29  
082899

## Annual Return

Company Number 2553782

Company Name in full Intercapital Commodity Swaps Limited

### Date of this return

The information in this return is made up to

Day Month Year  
3 1 / 1 0 / 2 0 0 1

### Date of next return

If you wish to make your next return  
to a date earlier than the anniversary  
of this return please show the date here.  
Companies House will then send a form  
at the appropriate time.

Day Month Year  
3 1 / 1 0 / 2 0 0 2

### Registered Office

Show here the address at the date of  
this return.

PARK HOUSE

16 FINSBURY CIRCUS

Any change of  
registered office  
must be notified  
on form 287.

Post town

LONDON

County / Region

UK Postcode

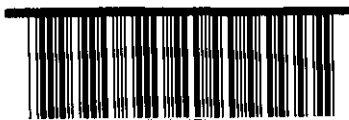
E C 2 M 7 U R

### Principal business activities

Show trade classification code number(s)  
for the principal activity or activities.

6523

If the code number cannot be determined,  
give a brief description of principal activity.



A04 COMPANIES HOUSE 0693 08/11/01

When you have completed and signed the form please send it to the  
Registrar of Companies at:  
Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff  
or  
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB  
for companies registered in Scotland DX 235 Edinburgh

## Register of members

If the register of members is not kept at the registered office, state here where it is kept.

AT REGISTERED OFFICE

Post town

County / Region

UK Postcode

## Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

NOT APPLICABLE

Post town

County / Region

UK Postcode

## Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

## Company Secretary

(Please photocopy this area to provide details of joint secretaries).

\* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

**Usual residential address** must be given. In the case of a corporation, or a Scottish firm, give the registered or principal office address.

Name

\* Style / Title

MISS

Forename(s)

HELEN FRANCES

Surname(s)

BROOMFIELD

Address

68 GLENWOOD GARDENS

GANTS HILL

Post town

ILFORD

County / Region

ESSEX

UK Postcode

I G 2 6 X U

Country

ENGLAND

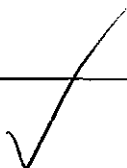
Details of a new company secretary must be notified on form 288a.

## Directors

Details of new directors must be notified on form 288a

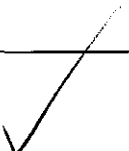
Please list directors in alphabetical order.

<b>Name</b>	<b>* Style / Title</b>	MS	
<b>Directors</b> In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	<b>Date of birth</b>	Day 24 / 02 / 1961	Month Year
	<b>Forename(s)</b>	VANESSA ELAINE	
	<b>Surname</b>	CRUWYS	
<b>Address</b>	TUMBLEWOOD, RODMELL ROAD		
<b>Usual residential address</b> must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	<b>Post town</b>	TUNBRIDGE WELLS	
	<b>County / Region</b>	KENT	<b>UK Postcode</b> TN2 5ST
	<b>Country</b>	ENGLAND	<b>Nationality</b> BRITISH
<b>Business occupation</b>	ACCOUNTANT		



\* Voluntary details.

<b>Name</b>	<b>* Style / Title</b>	MR	
<b>Directors</b> In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	<b>Date of birth</b>	Day 10 / 11 / 1947	Month Year
	<b>Forename(s)</b>	DAVID	
	<b>Surname</b>	GELBER	
<b>Address</b>	6 CLORANE GARDENS		
<b>Usual residential address</b> must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	<b>Post town</b>	LONDON	
	<b>County / Region</b>		<b>UK Postcode</b> NW3 71R
	<b>Country</b>	ENGLAND	<b>Nationality</b> CANADA
<b>Business occupation</b>	DIRECTOR		

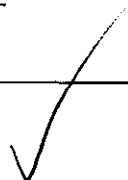


**Directors**

Details of new directors must be notified on form 288a

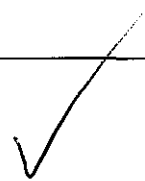
Please list directors in alphabetical order.

<b>Name</b>	<b>* Style / Title</b>	MR		
<b>Directors</b> In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	<b>Date of birth</b>	Day	Month	Year
		2	1	04 / 1948
	<b>Forename(s)</b>	GEORGE		
	<b>Surname</b>	MACDONALD		
<b>Address</b>		TITNESS FARM HOUSE, TITNESS PARK		
<b>Usual residential address</b> must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.		LONDON ROAD		
	<b>Post town</b>	SUNNINGHILL		
	<b>County / Region</b>	BERKSHIRE	<b>UK Postcode</b>	S L 5 0 P S
	<b>Country</b>	ENGLAND	<b>Nationality</b>	BRITISH
<b>Business occupation</b>	CHIEF EXECUTIVE OFFICER			



\* Voluntary details.

<b>Name</b>	<b>* Style / Title</b>	MR		
<b>Directors</b> In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	<b>Date of birth</b>	Day	Month	Year
		2	2	09 / 1956
	<b>Forename(s)</b>	PAUL		
	<b>Surname</b>	NEWMAN		
<b>Address</b>		COURTNELL HOUSE, 18 COURTNEILL STREET		
<b>Usual residential address</b> must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.				
	<b>Post town</b>	LONDON		
	<b>County / Region</b>		<b>UK Postcode</b>	W 2 5 B X
	<b>Country</b>	ENGLAND	<b>Nationality</b>	BRITISH
<b>Business occupation</b>	DIRECTOR			

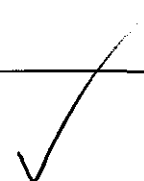


## Directors

Details of new directors must be notified on form 288a

• Please list directors in alphabetical order.

<b>Name</b>	* Style / Title	MR												
<b>Directors</b>	In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Day	Month	Year										
Date of birth		2	8	/	0	7	/	1	9	5	8			
Forename(s)		JAMES NEILSON												
Surname		PETTIGREW												
<b>Address</b>		6 PARK AVENUE SOUTH												
<b>Usual residential address</b>	must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.													
Post town		HARPENDEN												
County / Region		HERTS					UK Postcode	A	L	5		2	E	A
Country		ENGLAND					Nationality	BRITISH						
<b>Business occupation</b>		DIRECTOR (FINANCE)												



\* Voluntary details.

<b>Name</b>	* Style / Title												
<b>Directors</b>	In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Day	Month	Year									
Date of birth				/			/						
Forename(s)													
Surname													
<b>Address</b>													
<b>Usual residential address</b>	must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.												
Post town													
County / Region							UK Postcode						
Country							Nationality						
<b>Business occupation</b>													

**Issued share capital**

Enter details of all the shares in issue at the date of this return.

**Class**  
(e.g. Ordinary/Preference)

**Number of  
shares issued**

**Aggregate  
Nominal Value**  
(i.e. Number of shares issued  
multiplied by nominal value per  
share, or total amount of stock)

"A" ORDINARY £1	58,157	£ 58,157.00
"B" £1 ORDINARY	25,000	£ 25,000.00
"C" ORDINARY £1	25,250	£ 25,250.00
Totals	108,407	£ 108,407.00

**List of past and present shareholders**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☐

on paper in another format

A list of changes is enclosed

☐
☐

A full list of shareholders is enclosed

☒
☐
**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

**Signed**

*Helen Broomfield*

**Date**

31/10/01

† Please delete as appropriate.

† a director/secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

HELEN BROOMFIELD, PARK HOUSE, 16 FINSBURY CIRCUS, LONDON, EC2M 7UR

Tel 020 7463 4388

DX number

DX exchange



# List of past and present shareholders Schedule to form 363a

CHFP029

Company Number 2553782

Company Name in full Intercapital Commodity Swaps Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name INTERCAPITAL PLC Address PARK HOUSE, 16 FINSBURY CIRCUS, LONDON, ENGLAND _____ _____ UK Postcode EC2M 7UR	"A" Ordinary £1 58,157		
Name INTERCAPITAL PLC Address PARK HOUSE, 16 FINSBURY CIRCUS, LONDON, ENGLAND _____ _____ UK Postcode EC2M 7UR	"B" £1 Ordinary 25,000		
Name INTERCAPITAL PLC Address PARK HOUSE, 16 FINSBURY CIRCUS, LONDON, ENGLAND _____ _____ UK Postcode EC2M 7UR	"C" Ordinary £1 25,250		