



Companies House

**AR01** (ef)

**Annual Return**



X58LSKHK

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*Company Name:* **Tullett Prebon (Equities) Limited**

*Company Number:* **02533369**

*Date of this return:* **27/05/2016**

*SIC codes:* **66190**

*Company Type:* **Private company limited by shares**

*Situation of Registered Office:* **TOWER 42 LEVEL 37  
25 OLD BROAD STREET  
LONDON  
UNITED KINGDOM  
EC2N 1HQ**

**Officers of the company**

## *Company Secretary 1*

*Type:* **Corporate**  
*Name:* **DAVID VENUS & COMPANY LLP**

*Registered or  
principal address:* **42-50 HERSHAM ROAD  
WALTON-ON-THAMES  
SURREY  
UNITED KINGDOM  
KT12 1RZ**

## *Non European Economic Area (EEA) Company*

*Legal Form:* **LIMITED LIABILITY PARTNERSHIP**  
*Law Governed:* **COMPANIES ACT 2006**  
*Register Location:* **UNITED KINGDOM, ENGLAND AND WALES**  
*Registration Number:* **OC309455**

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*Company Director*    **1**

*Type:*                                **Person**

*Full forename(s):*                **PAUL JAMES**

*Surname:*                                **ASHLEY**

*Former names:*

*Service Address:*                **155 BISHOPSGATE  
LONDON  
UNITED KINGDOM  
EC3M 3TQ**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **\*\*/06/1978**                                *Nationality:*    **BRITISH**

*Occupation:*    **FINANCE DIRECTOR**

*Company Director* 2

*Type:* **Person**  
*Full forename(s):* **MR PAUL SIMON**

*Surname:* **DUNKLEY**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **\*\*/10/1964** *Nationality:* **BRITISH**

*Occupation:* **BROKER**

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*Company Director*    **3**

*Type:*                                **Person**  
*Full forename(s):*                **ANDREW CHARLES**

*Surname:*                            **HADLEY**

*Former names:*

*Service Address:*                **TOWER 42 LEVEL 37**  
   **25 OLD BROAD STREET**  
   **LONDON**  
   **UNITED KINGDOM**  
   **EC2N 1HQ**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **\*\*/11/1970**                                *Nationality:*    **BRITISH**  
*Occupation:*    **COMPLIANCE OFFICER**

*Company Director* 4

*Type:* **Person**  
*Full forename(s):* **ROBERT WILLIAM**

*Surname:* **OSBORNE**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **\*\*/01/1965** *Nationality:* **BRITISH**

*Occupation:* **MONEYBROKER**

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*Company Director*    **5**

*Type:*                      **Person**

*Full forename(s):*        **ANDREW ARISTOTLE**

*Surname:*                **POLYDOR**

*Former names:*

*Service Address:*        **TOWER 42 LEVEL 37  
25 OLD BROAD STREET  
LONDON  
UNITED KINGDOM  
EC2N 1HQ**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **\*\*/09/1962**                      *Nationality:*    **AUSTRALIAN**

*Occupation:*    **MANAGING DIRECTOR**

*Company Director*    **6**

*Type:*                      **Person**

*Full forename(s):*        **NICHOLAS JAMES JUSTIN**

*Surname:*                **POTTER**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **\*\*/04/1965**

*Nationality:*    **BRITISH**

*Occupation:*    **MONEYBROKER**

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*Company Director* 7

*Type:* **Person**

*Full forename(s):* **ANGUS JOHN DRENNAN**

*Surname:* **WINK**

*Former names:*

*Service Address:* **TOWER 42 LEVEL 37  
25 OLD BROAD STREET  
LONDON  
UNITED KINGDOM  
EC2N 1HQ**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **\*\*/02/1967**

*Nationality:* **BRITISH**

*Occupation:* **MONEYBROKER**

## Statement of Capital (Share Capital)

<b>Class of shares</b>	<b>ORDINARY £1</b>	<i>Number allotted</i>	<b>4618000</b>
		<i>Aggregate nominal value</i>	<b>4618000</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

**EACH ORDINARY SHAREHOLDER SHALL HAVE ONE VOTE ON A SHOW OF HANDS AND ONE VOTE PER ORDINARY SHARE ON A POLL.**

## Statement of Capital (Totals)

<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>4618000</b>
		<i>Total aggregate nominal value</i>	<b>4618000</b>

## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 27/05/2016 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for the company are shown below*

*Shareholding 1* : **4618000 ORDINARY £1 shares held as at the date of this return**  
*Name:* **TULLETT PREBON INVESTMENT HOLDINGS LIMITED**

## *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.